

# ONEIDA NATION PUBLIC MEETING NOTICE

August 13, 2026, 12:15 pm

Norbert Hill Center-Business Committee Conference Room  
N7210 Seminary Rd., Oneida, Wisconsin

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920-869-4417

## VENDOR LICENSING LAW AMENDMENTS

The purpose of the Vendor Licensing law is to regulate and license vendors who provide goods or services for, and do business with, the Nation and ensure the Nation's safety, regulate compliance, minimize risk, and protect the Nation's assets.

### The Vendor Licensing law amendments will:

- ◆ Clarify it is the purpose of the law to regulate and license vendors who provide goods or services to the Nation and ensure the Nation's safety, regulate compliance, minimize risk, and protect the Nation's assets; it is no longer the purpose of this law to provide revenue for the Nation by collecting fees from vendors. [5 O.C. 506.1-1].
- ◆ Clarify it is the policy of the Nation to establish a fair system for implementing and enforcing the requirements to maintain a vendor license. [5 O.C. 506.1-2].
- ◆ Clarify in the definitions the following terms: "business entity," "certificate of insurance," "CSRA," "deactivate," "DTS," "financial information," "goods," "great harm," "licensing," "notice," "service," and "technology assessment." [5 O.C. 506.3-1(b); (c); (d); (e); (f); (g); (h); (i); (l); (n); (p); (q)].
- ◆ Clarify that any record request related to vendor licensing is subject to applicable laws and rules of the Nation. [5 O.C. 506.4-2].
- ◆ Clarify that in addition to services or goods provided by another federally recognized tribe or by another government, other vendors, as identified by Licensing through standard operating procedures and published on the Nation's website, may be exempt from licensure [5 O.C. 506.4-3].
- ◆ Clarify the requirements to complete an application for a vendor license. [5 O.C. 506.5-1].
- ◆ Clarify the requirement for all applicants to submit and complete a technology risk assessment and any follow-ups or additional assessments as determined by the Nation's Digital Technology Services Department. [5 O.C. 5-6.5-2].
- ◆ Clarify that before a vendor performs any work the vendor must demonstrate appropriate and adequate insurance coverage. [5 O.C. 506.5-4].
- ◆ Clarify the Nation's Risk Management Department is responsible for determining what constitutes appropriate and adequate insurance coverage for varying types of goods and services and making those requirements available on the Nation's website. [5 O.C. 506.5-4(a)].
- ◆ Clarify the annual and triennial requirements to maintain a vendor license. [5 O.C. 506.6].
- ◆ Various grammatical changes and other minor changes throughout the law.



Individuals may attend the public meeting for the proposed Vendor Licensing law amendments in person at the Norbert Hill Center, or virtually through Microsoft Teams. If you wish to attend the public meeting through Microsoft Teams please contact [LOC@oneidation.org](mailto:LOC@oneidation.org).

### PUBLIC COMMENT PERIOD CLOSES AUGUST 20, 2026

During the public comment period, anyone may submit written comments, questions or input. Comments may be submitted to the Oneida Nation Secretary's Office or the Legislative Reference Office in person, by U.S. mail, interoffice mail, or e-mail.



## VENDOR LICENSING LAW AMENDMENTS LEGISLATIVE ANALYSIS

### SECTION 1. EXECUTIVE SUMMARY

<i>Analysis by the Legislative Reference Office</i>	
<b>Intent of the Legislation or Amendments</b>	<ul style="list-style-type: none"> <li>▪ Clarify it is the purpose of the law to regulate vendors who provide goods or services to the Nation and ensure the Nation’s safety, regulate compliance, minimize risk, and protect the Nation’s assets; it is no longer the purpose of this law to provide revenue for the Nation by collecting fees from vendors. [5 O.C. 506.1-1].</li> <li>▪ Clarify it is the policy of the Nation to establish a fair system for implementing and enforcing the requirements for maintain a vendor license. [5 O.C. 506.1-2].</li> <li>▪ Clarify in the definitions the following terms: “business entity,” “certificate of insurance,” “CSRA,” “deactivate,” “DTS,” “financial information,” “goods,” “great harm,” “licensing,” “notice,” “service,” and “technology assessment.” [5 O.C. 506.3-1(b); (c); (d);(e); (f); (g); (h); (i); (l); (n); (p); (q)].</li> <li>▪ Clarify that any record request related to vendor licensing is subject to applicable laws and rules of the Nation. [5 O.C. 506.4-2].</li> <li>▪ Clarify that in addition to services or goods provided by another federally recognized tribe or by another government, other vendors as identified by Licensing through standard operating procedures and published on the Nation’s website may be exempt from licensure [5 O.C. 506.4-3].</li> <li>▪ Clarify the requirements to complete an application. [5 O.C. 506.5-1].</li> <li>▪ Clarify the requirement for all applicants to submit and complete screening questions and any follow-ups or additional assessments as determined by the Nation’s Digital Technology Services Department. [5 O.C. 5-6.5-2].</li> <li>▪ Clarify that before a vendor performs any work the vendor must demonstrate appropriate and adequate insurance coverage. [5 O.C. 506.5-4].</li> <li>▪ Clarify the Nation’s Risk Management Department is responsible for determining what constitutes appropriate and adequate insurance coverage for varying types of goods and services and making those requirements available on the Nation’s website. [5 O.C. 506.5-4(a)].</li> <li>▪ Clarify the annual and triennial requirements to maintain a vendor license. [5 O.C. 506.6].</li> <li>▪ Clarify what it means to have a vendor license be deactivated or revoked. [5 O.C. 506.7].</li> <li>▪ Various grammatical changes and other minor changes throughout the law.</li> </ul>
<b>Purpose</b>	The purpose of this law is to regulate and license all vendors who provide goods or services for and do business with the Oneida Nation and ensure the Nation’s safety,

	<p>regulate compliance, minimize risk, and protect the Nation’s assets. [5 O.C. 506.1-1].</p>
<p><b>Affected Entities</b></p>	<p>The Oneida Nation Licensing Department (“Licensing”), the Oneida Nation Finance Administration (“Finance”), the Oneida Nation Purchasing Department (“Purchasing”), the Oneida Nation Law Office, the Oneida Nation Digital Technology Services Department (“DTS”), the Oneida Nation Risk Management Department (“Risk Management”), and any present or future vendor.</p>
<p><b>Enforcement</b></p>	<p>The Vendor Licensing law clarifies the authority of Licensing to administer certain provisions of the law. [5 O.C. 506.4]; [5 O.C. 506.5-5]; [5 O.C. 506.6]; [5 O.C. 506.7]. This includes the authority to:</p> <ul style="list-style-type: none"> <li>• identify vendors who are exempt from licensing requirements [5 O.C. 506.4-3];</li> <li>• promulgate rules to establish additional criteria for the approval and maintenance of a vendor license [5 O.C 506.4-4];</li> <li>• notify all applicants of the requirements of this law [5 O.C 506.5-1];</li> <li>• approve or deny applications based on the requirements and criteria of this law [5 O.C 506.5-3];</li> <li>• notify applicants of the approval or denial of their application for a vendor license [5 O.C. 506.6-5];</li> <li>• maintain a record of licenses issued [5 O.C. 506.5-5]; and</li> <li>• deactivate or revoke a vendor license. [5 O.C 506.7].</li> </ul> <p>The Vendor Licensing law clarifies the authority of DTS to screen all vendors using a technology assessment and to require the vendor complete an additional technology assessment, CSRA or both if it determines additional screening is necessary. [5 O.C 506.5-2].</p> <p>The Vendor Licensing law clarifies the authority of Risk Management to determine what constitutes appropriate and adequate insurance coverage and to make those requirements available on the Nation’s website. [5 O.C 506.4].</p>
<p><b>Due Process</b></p>	<p>Licensing may promulgate rules establishing additional criteria for the approval and maintenance of a vendor license. [5 O.C. 506.4-4]. Any rule Licensing wishes to adopt must follow the rule making requirements of the Nation’s Administrative Rulemaking law which requires a public meeting and opportunity for public input. [1 O.C. 106.6].</p> <p>Risk Management shall publish on the Nation’s website appropriate and adequate insurance requirements. [5 O.C. 506.5-4(a)].</p> <p>Licensing shall notify an applicant of the approval or denial of their application within twenty (20) business days. [5 O.C. 506.6-5].</p>

	<p>If Licensing decides to revoke a vendor, it must send notice to the vendor containing the effective date and duration of revocation and the reason(s) for revocation. [5 O.C. 506.7-2(c)].</p> <p>“Notice” means a communication from the Licensing Department to a vendor. [5 O.C. 506.3-1(n)]. To communicate the renewal and maintenance of a vendor license, the Licensing Department will send notice by email or regular mail; to communicate the loss of licensure, the Licensing Department will send notice by certified mail. [Id].</p> <p>The Judiciary is granted jurisdiction to hear complaints filed regarding actions taken by Licensing pursuant to this law. [5 O.C 506.8-1].</p>
Public Meeting	A public meeting will be scheduled to be held on August 13, 2026.
Fiscal Impact	A fiscal impact statement prepared in accordance with the Legislative Procedures Act has not been requested.

1 **SECTION 2. LEGISLATIVE DEVELOPMENT**

- 2 A. **Background.** The Vendor Licensing law was originally adopted by the Oneida Business Committee on  
3 February 19, 1992, through resolution BC-2-19-92-C. The law was subsequently amended by the  
4 Oneida Business Committee on March 5, 1997, through resolution BC-3-5-97-E, on February 25, 2015,  
5 through resolution BC-02-25-2015-C, and on October 10, 2016, through resolution BC-10-12-16-E.  
6 The Vendor Licensing law provides guidelines for the regulation and licensing of vendors who do  
7 business with the Nation. [5 O.C. 506.1].
- 8 B. **Request for Amendments.** This item was added to the Active Files List on June 19, 2024, at the request  
9 of Ralinda Ninham-Lamberies, the Chief Financial Officer. Amendments are being sought to update  
10 the law, specifically sections 506.1-1, 506.6, and 506.8. The sponsor of the Vendor Licensing law  
11 amendments is Councilman Jonas Hill.

12  
13 **SECTION 3. CONSULTATION AND OUTREACH**

- 14 ■ Representatives from the following departments or entities participated in the development of the  
15 amendments to this Law and legislative analysis:
- 16 ■ Oneida Nation Licensing Department (“Licensing”);
  - 17 ■ Oneida Nation Purchasing Department (“Purchasing”);
  - 18 ■ Oneida Nation Finance Administration (“Finance”);
  - 19 ■ Oneida Nation Digital Technology Services Department (“DTS”);
  - 20 ■ Oneida Nation Risk Management Department (“Risk Management”) and
  - 21 ■ Oneida Law Office.
- 22 ● The following laws and policies of the Nation were reviewed in the drafting of this analysis:
- 23 ■ Independent Contractors [5 O.C 503];
  - 24 ■ Indian Preference in Contracting [5 O.C. 502]; and

25 **SECTION 4. PROCESS**

- 26 A. The amendments to this Law comply with the process set forth in the Legislative Procedures Act.
- 27     ▪ On June 19, 2024, the Legislative Operating Committee added this Law to its Active Files List
- 28     for amendments this legislative term.
- 29     ▪ On June 17, 2026, the Legislative Operating Committee approved the draft of the Vendor
- 30     Licensing Law Amendments and directed the Legislative Reference Office to complete a
- 31     Legislative Analysis and public meeting packet.
- 32 B. At the time this legislative analysis was developed the following work meetings had been held
- 33     regarding the development of the amendments to this law:
- 34     ▪ October 23, 2024: LOC work meeting with Purchasing, Licensing, Finance, DTS, and Risk
- 35     Management.
- 36     ▪ March 3, 2025: LOC work meeting with Purchasing, Finance, Licensing, and Risk
- 37     Management.
- 38     ▪ July 14, 2025: LOC work meeting with Purchasing, Finance, and Licensing.
- 39     ▪ July 22, 2025: work meeting with DTS.
- 40     ▪ August 19, 2025: LOC work meeting with Purchasing, Licensing, Finance, and DTS.
- 41     ▪ September 2, 2025: LOC work meeting with Purchasing, Licensing, and the Oneida Law
- 42     Office.
- 43     ▪ October 6, 2025: LOC work meeting with Purchasing, Licensing, Finance, and DTS.
- 44     ▪ January 22, 2026: LOC work meeting with Purchasing, Licensing, DTS, and Finance.
- 45     ▪ March 16, 2026: LOC work meeting with Purchasing, Licensing, Finance, Oneida Law Office.
- 46     ▪ March 30, 2026: LOC work meeting with Purchasing, Licensing, Oneida Law Office, and
- 47     Risk Management.
- 48     ▪ April 14, 2026: work meeting with Licensing, Purchasing, and Risk Management.
- 49     ▪ June 2, 2026: work meeting with Finance, Risk Management, Purchasing, Licensing, and the
- 50     Oneida Law Office where the final draft was approved.
- 51     ▪ June 3, 2026: LOC work session where the LOC discussed and approved the draft.
- 52

53 **SECTION 5. CONTENTS OF THE LEGISLATION**

- 54 A. *Scope of the Law.* The proposed amendments improve the ability of the Nation to regulate, monitor,
- 55     and enforce its relationship with vendors providing goods or services to the Nation. [5 O.C 506.1; 5
- 56     O.C. 506.4].
- 57     ▪ *Effect.* The proposed amendments clarify the duties and responsibilities of the Nation regarding
- 58     its relationship with vendors and further clarify the responsibilities of vendors providing goods
- 59     or services to the Nation, making the vendor licensing procedures and requirements more
- 60     accessible and transparent.
- 61 B. *Application Procedures.* The proposed amendments clarify the requirements for all applications for a
- 62     vendor license which shall include: the application, certificate of insurance, completed DTS screening
- 63     for technology risk assessment, vendor payment authorization form, and W-9. [5 O.C. 506.5-1].
- 64     ▪ *Effect.* The proposed amendments clarify the application requirements for all applicants
- 65     applying for a vendor license with the Nation; this amendment makes the vendor licensing
- 66     requirements more accessible and transparent.

- 67 **C. *DTS Security Review.*** The proposed amendments clarify the requirement for all applicants for a  
68 vendor license to complete a DTS security review, and complete additional assessments if DTS  
69 determines additional assessments are necessary and unless the requirement for additional  
70 assessments is waived. [5 O.C. 506.5-2; 506.5-2(a)].
- 71     ▪ *Effect.* The proposed amendments strengthen the Nation’s ability to monitor and protect its  
72 assets by ensuring DTS has the authority to verify the technological safety and security of any  
73 vendor before the Nation’s begins working with that vendor. The proposed amendments also  
74 allow DTS to continue to monitor and protect the Nation’s assets by allowing DTS to complete  
75 a security review and any follow-up as determined necessary as part of the initial application  
76 process and renewal. By allowing the Nation to waive any additional assessments, the  
77 amendments further reinforce the Nation’s ability to monitor and protect its assets.
- 78 **D. *Licensing.*** The proposed amendments clarify the Licensing Department shall approve or deny an  
79 application based on compliance with the law. [5 O.C. 505.5-3].
- 80     ▪ *Effect.* The proposed amendments strengthen the authority of the Nation’s Licensing  
81 Department to approve or deny any vendor applying to do business with the Nation. The  
82 proposed amendments clarify that the Licensing Department is the department of the Nation  
83 with the authority to approve or deny a vendor license. Even though the proposed amendments  
84 give the ultimate authority to the Licensing Department to approve or deny a vendor license,  
85 the proposed amendments also require input from Risk Management, DTS, and Finance which  
86 ensures all affected departments of the Nation have the authority to review, approve, or deny a  
87 vendor, and ultimately to protect the Nation and its assets.
- 88 **E. *Insurance.*** The proposed amendments clarify that before a vendor performs any work, the vendor must  
89 demonstrate proof of appropriate and adequate insurance coverage. [5 O.C. 506.5-4]. The proposed  
90 amendments further clarify the Nation’s Risk Management Department shall determine what  
91 constitutes appropriate and adequate insurance coverage and shall make their determinations available  
92 on the Nation’s website. [5 O.C. 506.5-4(a)].
- 93     ▪ *Effect.* The proposed amendments ensure the Nation’s ability to protect itself and its assets by  
94 requiring all potential vendors to demonstrate proof of appropriate and adequate insurance and  
95 requiring the Nation’s Risk Management Department to determine what appropriate and  
96 adequate insurance coverage will mean for potential vendors.
- 97 **F. *Deactivation or Revocation.*** The proposed amendments clarify what it will mean for a vendor license  
98 to be deactivated. [5 O.C 506.7-1]. The proposed amendments further clarify what it will mean for a  
99 vendor license to be revoked; including revocation for insufficient insurance and revocation for cause.  
100 [5 O.C. 506.7-2].
- 101     ▪ *Effect.* The proposed amendments clarify the Nation’s ability to discontinue working with a  
102 vendor if a vendor fails to comply with the law and does not maintain their vendor license; in  
103 which case, the Nation may deactivate the vendor license. The proposed amendments also  
104 clarify the Nation’s ability to revoke a vendor license if the vendor fails to maintain appropriate  
105 and adequate insurance coverage or for cause. The proposed amendments clarify and  
106 strengthen the Nation’s ability to monitor and enforce all vendors with whom it chooses to do  
107 business.
- 108 **G. *Other amendments.*** Overall, a variety of other amendments and revisions were made to the law to  
109 address formatting, drafting style, and organization that did not affect the substance of the law.

110 **SECTION 6. EXISTING LEGISLATION**

111 A. *Related legislation.* The following laws and policy of the Nation are related to the proposed  
112 amendments to this law:

113     ▪ *Independent Contractors Policy.* The purpose of the Independent Contractors Policy is to  
114 ensure proper classification of employees and independent contractors for federal labor and  
115 tax law purposes; ensure the use of contract forms approved by the Oneida Law Office and  
116 the Oneida Purchasing Department; ensure contracting with a current employee does not  
117 create a conflict of interest or unintended tax consequences; and ensure independent  
118 contractors have appropriate insurance coverages. [5 O.C. 503.1-1].

119             ▪ The Independent Contractors Policy contains approval requirements, including  
120 the requirement to obtain a vendor license subject to any requirements of the  
121 Nation’s Vendor Licensing Law, unless the vendor is exempted. [5 O.C. 503.6-  
122 3].

123             ▪ The Independent Contractors Policy also requires independent contractors to  
124 work with the Oneida Law Office and the Oneida Purchasing Department to  
125 execute a contract; work with the Purchasing Department to approve a purchase  
126 order; work with the Risk Management Department to demonstrate appropriate  
127 and adequate insurance coverage; and demonstrate appropriate tax identification  
128 before the independent contractor begins work. [5 O.C. 503.6].

129             ▪ The proposed amendments align with the Independent Contractors Policy by  
130 strengthening the Nation’s ability to monitor and enforce its relationship with  
131 independent contractors that are also subject to the requirements of the Nation’s  
132 vendor licensing law.

133     ▪ *Indian Preference in Contracting Law.* The purpose of the Indian Preference in Contracting  
134 law is to establish an Indian Preference Office and increase economic benefits for the Nation  
135 and members of the Nation by providing for the maximum utilization of Indian workers and  
136 businesses on projects of the Nation which occur on or near the Reservation. [5 O.C. 502.1-  
137 1].

138             ▪ The Indian Preference in Contracting law applies to all contracts to which the  
139 Nation is a party, all subcontractors, or other entities working with, for, or on  
140 behalf of a party to a contract. [5 O.C. 502.6-1].

141             ▪ In order for an entity to qualify for Indian Preference, the entity must submit an  
142 application to the Nation’s Indian Preference office and demonstrate it meets the  
143 criteria of the Indian Preference law in order to be eligible for Indian preference in  
144 contracting. [5 O.C. 502.5-2].

145             ▪ The proposed amendments align with the Indian Preference in Contracting law by  
146 strengthening the Nation’s ability to determine, monitor, and enforce the  
147 requirements for any entity wishing to contract with the Nation.  
148

149 **SECTION 7. ENFORCEMENT AND ACCOUNTABILITY**

150 A. The Vendor Licensing law amendments require all applicants for a vendor license to submit a complete  
151 application containing the application, a certificate of insurance demonstrating the vendor meets the  
152 minimum insurance requirements, a complete DTS screening for technology risk assessment, and any

- 153 follow-up as determined necessary, a vendor payment authorization form, and a W-9. [5 O.C. 506.5; 5  
154 O.C. 506.5-2]. The Vendor Licensing law also requires vendors to provide Licensing with an updated  
155 certificate of insurance and completed cyber security risk assessment on an annual basis and on a  
156 triennial basis to complete a full update of their information by providing an updated application with  
157 all required forms. [5 O.C. 506.6].
- 158 **B.** The Vendor Licensing law amendments authorize the Licensing Department to deactivate or revoke a  
159 vendor license. [5 O.C. 506.7].
- 160     ▪ If a vendor falls out of compliance with the requirements of this law to maintain their vendor  
161     license, the vendor’s license may be deactivated by Licensing. [5 O.C 506.7-1].
  - 162     ▪ Prior to potential deactivation, Licensing shall provide notice to a vendor of the requirements  
163     to comply with this law to maintain their vendor license. [Id].
  - 164     ▪ If the vendor does not satisfactorily complete the requirements to maintain their vendor license  
165     within the allowable timeframe, Licensing shall deactivate the vendor’s license. [Id].
  - 166     ▪ Revocation is a cancellation of a vendor license for cause. [5 O.C 506.7-2]. Revocation is  
167     subject to Licensing’s discretion except that revocation is required for a failure to meet the  
168     Nation’s insurance requirements. [Id]. A vendor that has been revoked may not conduct  
169     business with the Nation. [Id].
  - 170     ▪ In order to maintain a vendor license, a vendor must maintain appropriate and adequate  
171     insurance coverage, as determined by the Nation’s Risk Management Department. [5 O.C  
172     506.7-2(a)]. If or when Risk Management learns that a vendor does not meet the Nation’s  
173     insurance requirements, Risk Management shall provide the vendor with a minimum of ten  
174     (10) business days to supply a satisfactory certificate of insurance. [Id].
  - 175     ▪ A vendor license may be revoked for cause as determined by Licensing upon the occurrence  
176     of any of the following:
    - 177         ▪ A vendor’s actions, whether directly or indirectly related to the vendor’s agreement with  
178         the Nation, present public health, safety, or welfare concerns to the Nation or its members.  
179         [5 O.C 506.7-2(b)(1)].
    - 180         ▪ A vendor’s actions or inactions implicate poor quality of work or performance, or a lack of  
181         sound business practice as determined by Licensing in consultation with the Oneida Law  
182         Office and the Nation’s business unit receiving goods or services from the vendor. [5 O.C  
183         506.7-2(b)(2)].
- 184 **C.** The Vendor Licensing law amendments give authority to the Nation’s Licensing Department to  
185 promulgate rules establishing additional criteria for the approval and maintenance of a vendor license,  
186 notify all applicants of the requirements of this law, approve or deny applications and notify applicants  
187 of their approval or denial, maintain records of the applications for vendor licenses, maintain a record  
188 of the statuses of vendor licenses, notify vendors if their vendor license has been deactivated, notify  
189 vendors if there vendor license has been revoked. [5 O.C. 506.4-4; 506.5-1; 506.5-3; 506.5-5; 506.7].
- 190 **D.** The Vendor Licensing law amendments clarify the responsibility of all applicants for a vendor license  
191 to complete a DTS security review. [5 O.C. 506.5-2]. A vendor may be required to complete an  
192 additional technology assessment or cyber security risk assessment or both, if determined necessary by  
193 DTS. [Id]. The requirement to complete an additional technology assessment or Cyber Security risk  
194 assessment or both may be waived by DTS, Risk Management, and the Nation’s Chief Financial  
195 Officer. [5 O.C. 506.5-2(a)].

- 196 C. The Vendor Licensing law amendments require the Nation’s Risk Management Department to verify  
197 appropriate and adequate insurance coverage of a vendor before the vendor begins work. [5 O.C. 506.5-  
198 4].
- 199     ▪ The law requires Risk Management to determine what constitutes appropriate and adequate  
200 insurance coverage for varying types of goods and services. [5 O.C. 506.5-4(a)].
  - 201     ▪ The law requires Risk Management to make available on the Nation’s website the minimum  
202 insurance requirements and to identify the types of goods or services would require escalated  
203 insurance coverage, wherein appropriate and adequate insurance will be determined by Risk  
204 Management on a case-by-case basis. [5 O.C. 506.5-4].

## 205 **SECTION 8. OTHER CONSIDERATIONS**

206 **Fiscal Impact.** Under the Legislative Procedures Act, a fiscal impact statement is required for all legislation  
207 except emergency legislation [1 O.C. 109.6-1]. Oneida Business Committee resolution BC-10-28-20-A  
208 titled, “*Further Interpretation of ‘Fiscal Impact Statement’ in the Legislative Procedures Act,*” provides  
209 further clarification on who the Legislative Operating Committee may direct complete a fiscal impact  
210 statement at various stages of the legislative process, as well as timeframes for completing the fiscal impact  
211 statement.

- 212     ▪ **Conclusion.** The Legislative Operating Committee has not yet directed that a fiscal impact be  
213 completed.

Title 5. Business - Chapter 506

VENDOR LICENSING

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506.1. Purpose and Policy-
506.2. Adoption, Amendment Repeal-
506.3. Definitions
506.4. Scope

506.5. Application Procedures Application/Renewal Procedures
506.6. Fees for Licensure
506.76. Maintaining a Vendor License Revocation of Vendor's License
506.78. Deactivation or Revocation of a Vendor License Exemptions and Deferments
506.89. Appeal Department Decisions

506.1. Purpose and Policy.

506.1-1. Purpose. The purpose of this law is to:

- (a) regulate and license all vendors who provide a goods or services for and do business with the Oneida Nation, and
(b) provide revenue for the Nation by collecting fees from vendors for a license to perform a service for or do business with the Nation.
(b) ensure the Nation's safety, regulate compliance, minimize risk, and protect the Nation's assets.

506.1-2. Policy. It is the policy of the Oneida Nation to establish a fair system to-for implementing, administering, and enforcing the issuance of vendor's licenses the requirements for maintaining a vendor license, provided that, and collection of fees.

(a) It is the policy of the Nation to utilize Native American businesses to complete work that the Nation is unable to complete through use of its own employees. All programs, enterprises, and government agencies tribal entities are encouraged to seek within their own employees those with expertise to meet the Nation's needs.

506.2. Adoption, Amendment Repeal.

506.2-1. This law was adopted by the Oneida Business Committee by resolution BC-02-19-92-C and amended by resolutions BC-3-5-97-E, BC-02-25-15-C and BC-10-12-16-E.

506.2-2. This law may be amended or repealed by the Oneida Business Committee pursuant to the procedures set out in the Legislative Procedures Act.

506.2-3. Should a provision of this law or the application thereof to any person or circumstances be held as invalid, such invalidity shall not affect other provisions of this law which are considered to have legal force without the invalid portions.

506.2-4. In the event of a conflict between a provision of this law and a provision of another law, the provisions of this law shall control.

506.2-5. This law is adopted under authority of the Constitution of the Oneida Nation.

506.3. Definitions

506.3-1. This section shall govern the definitions of words and phrases used within this law. All words not defined herein shall be used in their ordinary and everyday sense.

(a) "Business day" means Monday through Friday from 8:00 a.m. to 4:30 p.m., excluding holidays recognized by the Nation.

(b) "Business entity" means that which exists as a particular and discrete unit, which may include, but is not limited to, any person, independent contractor, sole proprietorship,

38 partnership, corporation, joint venture, franchise, governmental enterprise, or any other natural  
39 or artificial person or organization. The term “entity” is intended to be as broad and  
40 encompassing as possible to ensure ~~this law covers all contracted goods or services within~~ the  
41 jurisdiction of the ~~Nation.is law.~~

42 (c) ~~“Certificate of Insurance” means a document issued by an insurance company that verifies~~  
43 ~~the existence of an insurance policy and summarizes key aspects and conditions of the policy.~~

44 (d) ~~“CSRA” means the Cyber Security Risk Assessment done by the Nation’s Digital Technology~~  
45 ~~Services department to assess potential cyber threats and vulnerabilities. The goal of the Digital~~  
46 ~~CSRA is to identify and prioritize risks and to develop strategies to reduce the likelihood and~~  
47 ~~impact of a cyberattack. “Department” means the Oneida Licensing Department.~~

48 (e)(f) ~~“Deactivate” means to turn off the vendor in the Licensing system that is not for cause for~~  
49 ~~lack of current of vendor information.~~

50 (ff) ~~“DTS” means the Nation’s Digital Technology Services department.~~

51 (gh) ~~“Financial information” means any information related to the financial activities and~~  
52 ~~performance of a business. For the purposes of this law, “financial information” may refer to~~  
53 ~~income and tax reporting documents or direct deposit and banking information.~~

54 (hig) ~~“Goods” means quantifiable products and, tangible or intangible, products that must be~~  
55 ~~provided completion of a project pursuant to an Agreement with the Nation.~~

56 (ijhg) ~~“Great harm” means the conviction of, or a civil judgement for, the credible evidence exists~~  
57 ~~that substantiates commission of any of the following actions: fraud, any violation of Federal or~~  
58 ~~State antitrust statutes, embezzlement, theft, forgery, bribery, falsification or destruction of~~  
59 ~~records, making false statements, tax evasion, receiving stolen property, obstruction of justice,~~  
60 ~~or any other action -so serious that it affects the vendor’s ability to satisfy its responsibilities to~~  
61 ~~the Nation.~~

62 (jkih) ~~“Judiciary” means the judicial system that was established by Oneida General Tribal~~  
63 ~~Council resolution GTC-01-07-13-B to administer the judicial authorities and responsibilities of~~  
64 ~~the Nation.~~

65 (e) ~~“License fee” means that fee charged for a vendor’s license issued in accordance with this~~  
66 ~~law.~~

67 (k) ~~“Licensing” means the Licensing Department of the Oneida Nation.~~

68 (lmkif) ~~“Nation” means the Oneida Nation.~~

69 (mnlkg) ~~“Non-renewal” means the a vendor has chosen not did not to renew their vendor license~~  
70 ~~and is no longer doing business with the Nation as a vendor without consideration, cause, or~~  
71 ~~imposition of any penalty.~~

72 (nom) ~~“Notice” means communication from the Licensing Department to a vendor. To~~  
73 ~~communicate the renewal and maintenance of a vendor license, the Licensing Department will~~  
74 ~~send notice by email or regular mail; to communicate the loss of licensure, the Licensing~~  
75 ~~Department will send notice by certified mail.~~

76 (op) ~~“Rule” means a set of requirements enacted in accordance with the Nation’s Administrative~~  
77 ~~Rulemaking Law.~~

78 (pqamh) ~~“Service” means an action performed by a vendor pursuant to an agreement with the~~  
79 ~~Nation where the service subject to the agreement must be described sufficiently as to set the~~  
80 ~~expectations for all parties; it must be adequately described as to the action that will be taken~~  
81 ~~and the final result of those actions.~~

82 (qron) ~~“Technology assessment” means the process where the Nation evaluates the technical~~  
83 ~~capabilities and infrastructure of a potential or existing vendor to ensure they can meet the~~  
84 ~~Nation’s needs and requirements.~~

~~(rspe)~~ “Vendor’s license” means a license issued by the Licensing Department to a business entity that does business with the Nation by entering agreements to ~~provides~~ provide a goods or service for, or that does business withto the Nation.

#### 506.4. Scope

506.4-1. This law shall be adhered to by all business entities and shall apply to every business entity that performs services ~~and/or~~ does business with the Nation separate from and in addition to those requirements imposed by other laws and rules of the Nation.

506.4-2. Any record request related to vendor licensing is ~~All information given for the purpose of receiving a vendor’s license is:~~

~~(a) subject to a request for information and available for public inspection as provided in~~ applicable laws and rules of the Nation; ~~and~~

~~(b) subject to internal audit of the Nation.~~

506.4-3. Exemptions. The following are exempt from licensure.

(a) Services or goods provided by another federally recognized tribe, subject to all laws and rules of the Nation.

(b) Services or goods provided by another government, subject to all laws and rules of the Nation.

(c) Other vendors as identified by Licensing through standard operating procedures and published on the Nation’s website.

506.4-4. Rulemaking. Licensing may promulgate rules establishing additional criteria for the approval and maintenance of a vendor license.

#### 506.55. Application ~~Procedures~~/Renewal Procedures

506.55-1. Application. ~~The Licensing~~ Department shall notify all ~~new~~ applicants of the requirements of this law; including any applicable rules and any necessary documentation that ~~they~~ the Department may ask the applicant to provide. A complete application shall include:

(a) the application;

(b) a certificate of insurance demonstrating the vendor meets the minimum insurance requirements;

(c) completed DTS screening for technology risk assessment;

(d) vendor payment authorization form; and

(e) W-9.

~~All business entities shall obtain and maintain adequate insurance coverage, as determined by the Risk Management Department, in cooperation with the Department.~~

506.5-2. DTS Security Review. All applicants for a ~~new~~ vendor license shall complete ~~the~~ DTS screening questions. ~~and, if the vendor responded “yes” to any of the DTS screening questions, required of all new applications,~~ the vendor will be required to complete an additional technology assessment or CSRA or both. ~~Licensing may not proceed with processing a~~ A license may not be issued until the technology assessment or CSRA or both are completed and approved by DTS.

(a) Waiver. Even if a vendor responded “yes” to any of the DTS screening questions, the vendor may be waived from completing an additional technology assessment or CSRA or both, if DTS, Risk Management, and the Chief Financial Officer waive further technology assessments or the CSRA or both.

506.5-3-2. Licensing Review. Licensing shall approve or deny an application based on compliance with the criteria set in this law, ~~other Oneida laws,~~ and any applicable rules, policies, or procedures.

~~506.6-4. Rulemaking.~~ Licensing may promulgate rules establishing its own criteria for the approval and maintenance of a vendor license.

133 506.65-53. Notice to Applicant. ~~Licensing The Department~~ shall notify the applicant of the approval or  
134 denial of the application within ~~twenty ten (210)~~ business days of Licensing receipt of a complete  
135 application, and:

136 (a) if approved, ~~Licensing the Department~~ shall issue the vendor's license to the business entity;  
137 or

138 (b) if denied, ~~Licensing the Department~~ shall provide the reason(s) for denial of the vendor's  
139 license; and notify the applicant of ~~their his or her~~ right to file a complaint with the Judiciary  
140 challenging ~~Licensing's denial. the Department's action.~~

141 506.5-4. Insurance. Before the vendor performs any work, the vendor must demonstrate proof of  
142 appropriate and adequate insurance coverage; and if applicable, comply with all other laws, rules, or  
143 policies of the Nation implicating vendor licensing.

144 ~~(a) The Nation's Risk Management Department is delegated rule making authority to determine~~  
145 ~~what constitutes appropriate and adequate insurance coverages shall determine what constitutes~~  
146 ~~appropriate and adequate insurance coverage for varying types of goods and services. -The~~  
147 ~~appropriate and adequate minimum insurance requirements for all vendors shall be made~~  
148 ~~available on the Nation's website and shall identify the types of services or goods that require~~  
149 ~~escalated insurance coverage, wherein the amount of coverage may be determined on a case-~~  
150 ~~by-case basis.~~

151 506.55-54. Record Retention. All applications for a vendor's license ~~and a copy of each vendor's~~  
152 ~~license and licenses issued by Licensing as a result thereof~~ shall be retained by ~~Licensing the Department~~  
153 ~~in accordance with applicable law and rules of the Nation for~~ a period of seven (7) years from the later of  
154 the applicable date of application or issuance.

## 156 **506.6. Maintaining a Vendor License**

157 506.6-1. Annual Licensing Update. On an annual basis, vendors shall provide Licensing with an updated  
158 certificate of insurance and complete the CSRA, to the extent the CSRA is required based on the initial  
159 cybersecurity risk assessment.

160 506.6-2. Triennial Licensing Update. Every three years vendors shall complete a full update of their  
161 information by providing an updated application with all forms required for a new application.

162 506.7-3. Insurance Requirements. ~~In order to maintain a vendor license, a vendor must maintain~~  
163 ~~appropriate and adequate insurance coverage, as determined by the Nation's Risk Management~~  
164 ~~Department.~~

165 ~~(a) Risk Management shall provide a vendor with a minimum of ten (10) days to cure insurance~~  
166 ~~requirements and to ensure the insurance coverage remains appropriate and adequate as~~  
167 ~~determined by Risk Management.~~

168 ~~(1) If the vendor does not cure within the timeframe given by Risk Management,~~  
169 ~~Licensing may deactivate or revoke the vendor.~~

170 ~~(2) If the vendor cures within the timeframe, to the satisfaction of Risk Management,~~  
171 ~~and otherwise remains in full compliance with this law and all applicable contracts with~~  
172 ~~the Nation, the vendor may avoid license deactivation or revocation, subject to certain~~  
173 ~~limitations, as identified by Licensing in consultation with the Oneida Law Office.~~

## 175 **506.7. Deactivation or Revocation of a Vendor License**

176 506.7-1. Deactivation. If a vendor is not in compliance with the requirements of section 506.7 of this law,  
177 to maintain their vendor license, the vendor's license may be deactivated. Licensing shall provide notice  
178 to a vendor prior to potential deactivation stating the requirements to comply with this law to maintain a  
179 vendor license. If the vendor has not satisfactorily completed the requirements to maintain their vendor  
180 license within the allowable timeframe, Licensing shall deactivate the vendor's license.

181 506.7-2. Revocation. Revocation is a cancellation of a vendor license for cause. Revocation is subject to  
 182 Licensing discretion except that revocation is required for a failure to meet the Nation's insurance  
 183 requirements. A vendor that has been revoked may not conduct business with the Nation.

184 (a) Revocation for Insufficient Insurance. In order to maintain a vendor license, a vendor must  
 185 maintain appropriate and adequate insurance coverage, as determined by the Nation's Risk  
 186 Management Department. If or when Risk Management learns that a vendor does not meet the  
 187 Nation's insurance requirements, Risk Management shall provide the vendor with a minimum of  
 188 ten (10) business days to supply a satisfactory certificate of insurance. If the vendor does not  
 189 cure within the timeframe given by Risk Management, Licensing shall revoke the vendor.

190 (b) Revocation for Cause. A vendor license may be revoked ~~which is the temporary or permanent~~  
 191 ~~removal of a vendor license,~~ for cause, as ~~identified~~ determined by Licensing upon the  
 192 occurrence of any of the following:-

193 (1a) A vendor's actions, whether directly or ~~or not~~ indirectly related to the vendor's  
 194 agreement with the Nation, present ~~Licensing may immediately revoke a vendor license~~  
 195 ~~for~~ public health, safety, or welfare concerns to the Nation or its citizens.

196 (2) A vendor's actions or inactions implicate poor quality of work or performance, or a  
 197 lack of sound business practice as determined by Licensing in consultation with the  
 198 Oneida Law Office and the Nation's business unit receiving goods or services from the  
 199 vendor.

200 (cb) Form of Revocation. Licensing may revoke the license upon notice to the vendor containing  
 201 the effective date and duration of the revocation and the reason(s) for revocation.

202 (1) ~~(c) A vendor that has been revoked may not conduct business with the Nation.~~

203 ~~(d) A vendor license may be revoked where a vendor fails to comply with Licensing's~~  
 204 ~~requirements, or when a vendor's actions or inactions implicate poor quality of work or~~  
 205 ~~performance, or a lack of sound business practice as determined by Licensing in~~  
 206 ~~consultation with the Oneida Law Office pursuant to this law or any additional rules or~~  
 207 ~~policies promulgated by Licensing and in consultation with the Oneida Law Office for a~~  
 208 ~~duration to be identified by Licensing in consultation with the Oneida Law Office.~~

209 (2e) In extreme circumstances, where a vendor has caused great harm to the Nation, a  
 210 vendor ~~shall~~ may be permanently revoked.

211 506.8-4. Probation and Potential revocation. Licensing may revoke a vendor in the event of  
 212 noncompliance with this law or applicable contracts with the Nation that do not immediately implicate  
 213 public health, safety, or welfare.

214 (a) Licensing may place the vendor on probation until the vendor cures the identified  
 215 noncompliance.

216 (1) During probation, if the vendor fails to comply with any terms of probation identified  
 217 by Licensing or does not remain in full compliance with this law and any applicable  
 218 contracts with the Nation, Licensing may revoke the vendor.

219 (2) If the vendor cures the identified noncompliance, to the satisfaction of Risk  
 220 Management, and otherwise remains in full compliance with this law and all applicable  
 221 contracts with the Nation, Licensing may choose not to revoke the license, subject to  
 222 certain limitations, as identified by Licensing in consultation with the Oneida Law Office.

## 224 **506.89. Appeal Department Decisions**

225 506.89-1. The Judiciary is granted jurisdiction to hear complaints filed regarding actions taken by  
 226 Licensing the Department pursuant to this law.

227 506.89-2. No administrative hearing body, including a board, committee, or commission, is authorized to  
 228 hear a complaint filed regarding actions taken pursuant to this law.

229 506.~~89~~-3. Complaints filed with the Judiciary shall name the Licensing Department as the responding  
230 party.

231

232 End.

233 Adopted- BC-2-19-92-C Amended- BC-3-5-97-E Amended- BC-02-25-15-C Amended- BC-10-12-16-E

**Title 5. Business - Chapter 506**  
**VENDOR LICENSING**  
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506.1. Purpose and Policy  
506.2. Adoption, Amendment Repeal  
506.3. Definitions  
506.4. Scope

506.5. Application Procedures  
506.6. Maintaining a Vendor License  
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**506.1. Purpose and Policy**

506.1-1. *Purpose.* The purpose of this law is to:

- (a) regulate and license vendors who provide goods or services for, and do business with, the Nation; and
- (b) ensure the Nation’s safety, regulate compliance, minimize risk, and protect the Nation’s assets.

506.1-2. *Policy.* It is the policy of the Nation to establish a fair system for implementing, administering, and enforcing the requirements to maintain a vendor license provided that all programs, enterprises, and tribal entities are encouraged to seek within their own employees those with expertise to meet the Nation’s needs.

**506.2. Adoption, Amendment Repeal**

506.2-1. This law was adopted by the Oneida Business Committee by resolution BC-02-19-92-C and amended by resolutions BC-3-5-97-E, BC-02-25-15-C and BC-10-12-16-E.

506.2-2. This law may be amended or repealed by the Oneida Business Committee pursuant to the procedures set out in the Legislative Procedures Act.

506.2-3. Should a provision of this law or the application thereof to any person or circumstances be held as invalid, such invalidity shall not affect other provisions of this law which are considered to have legal force without the invalid portions.

506.2-4. In the event of a conflict between a provision of this law and a provision of another law, the provisions of this law shall control.

506.2-5. This law is adopted under authority of the Constitution of the Oneida Nation.

**506.3. Definitions**

506.3-1. This section shall govern the definitions of words and phrases used within this law. All words not defined herein shall be used in their ordinary and everyday sense.

- (a) “Business day” means Monday through Friday from 8:00 a.m. to 4:30 p.m., excluding holidays recognized by the Nation.
- (b) “Business entity” means a particular and discrete unit, which may include, but is not limited to, any person, independent contractor, sole proprietorship, partnership, corporation, joint venture, franchise, governmental enterprise, or any other natural or artificial person or organization. The term “entity” is intended to be as broad and encompassing as possible to ensure this law covers all contracted goods or services within the jurisdiction of the Nation.
- (c) “Certificate of Insurance” means a document issued by an insurance company that verifies the existence of an insurance policy and summarizes key aspects and conditions of the policy.

38 (d) “CSRA” means the Cyber Security Risk Assessment done by the Nation’s Digital Technology  
39 Services department to assess potential cyber threats and vulnerabilities. The goal of the CSRA is  
40 to identify and prioritize risks and to develop strategies to reduce the likelihood and impact of a  
41 cyberattack.

42 (e) “Deactivate” means to turn off the vendor in the Licensing system for lack of current vendor  
43 information.

44 (f) “DTS” means the Nation’s Digital Technology Services department.

45 (g) “Financial information” means any information related to the financial activities and  
46 performance of a business. For the purposes of this law, “financial information” may refer to  
47 income and tax reporting documents or direct deposit and banking information.

48 (h) “Goods” means quantifiable products, tangible or intangible, that must be provided pursuant  
49 to an Agreement with the Nation.

50 (i) “Great harm” means credible evidence exists that substantiates commission of any of the  
51 following actions: fraud, any violation of Federal or State antitrust statutes, embezzlement, theft,  
52 forgery, bribery, falsification or destruction of records, making false statements, tax evasion,  
53 receiving stolen property, obstruction of justice, or any other action so serious that it affects the  
54 vendor’s ability to satisfy its responsibilities to the Nation.

55 (j) “Judiciary” means the judicial system that was established by Oneida General Tribal Council  
56 resolution GTC-01-07-13-B to administer the judicial authorities and responsibilities of the  
57 Nation.

58 (k) “Licensing” means the Licensing Department of the Oneida Nation.

59 (l) “Nation” means the Oneida Nation.

60 (m) “Non-renewal” means a vendor has chosen not to renew their vendor license without  
61 consideration, cause, or imposition of any penalty.

62 (n) “Notice” means a communication from the Licensing Department to a vendor. To  
63 communicate the renewal and maintenance of a vendor license, the Licensing Department will  
64 send notice by email or regular mail; to communicate the loss of licensure, the Licensing  
65 Department will send notice by certified mail.

66 (o) “Rule” means a set of requirements enacted in accordance with the Nation’s Administrative  
67 Rulemaking Law.

68 (p) “Service” means an action performed by a vendor pursuant to an agreement with the Nation  
69 where the service subject to the agreement must be described sufficiently as to set the  
70 expectations for all parties.

71 (q) “Technology assessment” means the process where the Nation evaluates the technical  
72 capabilities and infrastructure of a potential or existing vendor to ensure they can meet the  
73 Nation’s needs and requirements.

74 (r) “Vendor license” means a license issued by the Licensing Department to a business entity  
75 that does business with the Nation by entering agreements to provide goods or services to the  
76 Nation.

77  
78 **506.4. Scope**

79 506.4-1. This law shall be adhered to by all business entities and shall apply to every business entity that  
80 performs services or does business with the Nation separate from and in addition to those requirements  
81 imposed by other laws and rules of the Nation.

82 506.4-2. Any record request related to a vendor license is subject to applicable laws and rules of the  
83 Nation.

84 506.4-3. *Exemptions.* The following are exempt from licensure.

85 (a) Services or goods provided by another federally recognized tribe, subject to all laws and rules  
86 of the Nation.

87 (b) Services or goods provided by another government, subject to all laws and rules of the  
88 Nation.

89 (c) Other vendors as identified by Licensing through standard operating procedures and  
90 published on the Nation’s website.

91 506.4-4. *Rulemaking*. Licensing may promulgate rules establishing additional criteria for the approval and  
92 maintenance of a vendor license.

93

94 **506.5. Application Procedures**

95 506.5-1. *Application*. Licensing shall notify all applicants of the requirements of this law; including any  
96 applicable rules and any necessary documentation that they may ask the applicant to provide. A  
97 complete application shall include:

98 (a) the application;

99 (b) a certificate of insurance demonstrating the vendor meets the minimum insurance  
100 requirements;

101 (c) completed DTS screening for technology risk assessment;

102 (d) vendor payment authorization form; and

103 (e) W-9.

104 506.5-2. *DTS Security Review*. All applicants for a vendor license shall complete DTS screening questions.  
105 If the vendor responded “yes” to any of the DTS screening questions, the vendor will be required to  
106 complete an additional technology assessment or CSRA or both. A license may not be issued until the  
107 technology assessment or CSRA or both are completed and approved by DTS.

108 (a) *Waiver*. Even if a vendor responded “yes” to any of the DTS screening questions, the vendor  
109 may be waived from completing an additional technology assessment or CSRA or both, if DTS,  
110 Risk Management, and the Chief Financial Officer waive an additional technology assessment or  
111 the CSRA or both.

112 506.5-3. *Licensing Review*. Licensing shall approve or deny an application based on compliance with the  
113 criteria set in this law and any applicable rules, policies, or procedures.

114 506.6-5. *Notice to Applicant*. Licensing shall notify the applicant of the approval or denial of the  
115 application within twenty (20) business days of Licensing’s receipt of a complete application, and:

116 (a) if approved, Licensing shall issue the vendor license to the business entity; or

117 (b) if denied, Licensing shall notice the reason(s) for denial of the vendor license; and notify the  
118 applicant of their right to file a complaint with the Judiciary challenging Licensing’s denial.

119 506.5-4. *Insurance*. Before the vendor performs any work, the vendor must demonstrate proof of  
120 appropriate and adequate insurance coverage; and if applicable, comply with all other laws, rules, or  
121 policies of the Nation implicating vendor licensing.

122 (a) The Nation’s Risk Management Department shall determine what constitutes appropriate  
123 and adequate insurance coverage for varying types of goods and services; and shall make the  
124 minimum insurance requirements for all vendors available on the Nation’s website, including the  
125 identification of the types of services or goods that require escalated insurance coverage,  
126 wherein the amount of coverage may be determined on a case-by-case basis.

127 506.5-5. *Record Retention*. All applications for a vendor license and licenses issued by Licensing as a  
128 result thereof shall be retained by Licensing for a period of seven (7) years from the later date of  
129 application or issuance.

130

131 **506.6. Maintaining a Vendor License**

132 506.6-1. *Annual Licensing Update*. On an annual basis, vendors shall provide Licensing with an updated  
133 certificate of insurance and complete the CSRA, to the extent the CSRA is required based on the initial  
134 cybersecurity risk assessment.

135 506.6-2. *Triennial Licensing Update*. Every three years vendors shall complete a full update of their  
136 information by providing an updated application with all forms required for a new application.

137

138 **506.7. Deactivation or Revocation of a Vendor License**

139 506.7-1. *Deactivation*. If a vendor is not in compliance with the requirements of section 506.7 of this law  
140 to maintain their vendor license, the vendor’s license may be deactivated. Licensing shall provide notice  
141 to a vendor prior to potential deactivation stating the requirements to comply with this law to maintain a  
142 vendor license. If the vendor has not satisfactorily completed the requirements to maintain their vendor  
143 license within the allowable timeframe, Licensing shall deactivate the vendor’s license.

144 506.7-2. *Revocation*. Revocation is a cancellation of a vendor license for cause. Revocation is subject to  
145 Licensing discretion except that revocation is required for a failure to meet the Nation’s insurance  
146 requirements. A vendor that has been revoked may not conduct business with the Nation.

147 (a) *Revocation for Insufficient Insurance*. In order to maintain a vendor license, a vendor must  
148 maintain appropriate and adequate insurance coverage, as determined by the Nation’s Risk  
149 Management Department. If or when Risk Management learns that a vendor does not meet the  
150 Nation’s insurance requirements, Risk Management shall provide the vendor with a minimum of  
151 ten (10) business days to supply a satisfactory certificate of insurance. If the vendor does not  
152 cure within the timeframe given by Risk Management, Licensing shall revoke the vendor.

153 (b) *Revocation for Cause*. A vendor license may be revoked for cause as determined by Licensing  
154 upon the occurrence of any of the following:

155 (1) A vendor’s actions, whether directly or indirectly related to the vendor’s agreement  
156 with the Nation, present public health, safety, or welfare concerns to the Nation or its  
157 members.

158 (2) A vendor’s actions or inactions implicate poor quality of work or performance, or a  
159 lack of sound business practice as determined by Licensing in consultation with the  
160 Oneida Law Office and the Nation’s business unit receiving goods or services from the  
161 vendor.

162 (c) *Form of Revocation*. Licensing may revoke the license upon notice to the vendor containing  
163 the effective date and duration of the revocation and the reason(s) for revocation.

164 (1) A vendor license may be revoked for a duration to be identified by Licensing in  
165 consultation with the Oneida Law Office.

166 (2) In extreme circumstances, where a vendor has caused great harm to the Nation, a  
167 vendor may be permanently revoked.

168

169 **506.8. Appeal**

170 506.8-1. The Judiciary is granted jurisdiction to hear complaints filed regarding actions taken by Licensing  
171 pursuant to this law.

172 506.8-2. No administrative hearing body, including a board, committee, or commission, is authorized to  
173 hear a complaint filed regarding actions taken pursuant to this law.

174 506.8-3. Complaints filed with the Judiciary shall name the Licensing Department as the responding  
175 party.

176

177 End.

178 Adopted- BC-2-19-92-C

- 179 Amended- BC-3-5-97-E
- 180 Amended- BC-02-25-15-C
- 181 Amended- BC-10-12-16-E