

| Gaming Compliance Audit Reports             |                   |
|---------------------------------------------|-------------------|
| Area of Audit                               | Report Issue Date |
| Craps                                       | June 3, 2024      |
| Cage/Vault/Kiosk                            | August 7, 2024    |
| Table Games                                 | October 8, 2024   |
| Sports Wagering                             | October 15, 2024  |
| Cage/Vault/Kiosk YE                         | October 17, 2024  |
| Gaming Promotions & Player Tracking Systems | December 10, 2024 |
| Controlled Keys                             | January 9, 2025   |
| Complimentary Services                      | January 9, 2025   |
| Drop & Count                                | January 30, 2025  |
| Ultimate Texas Hold'em                      | February 25, 2025 |
| Mini-Baccarat                               | February 26, 2025 |
| Four Card Poker                             | February 28, 2025 |
| Blackjack                                   | March 17, 2025    |
| Roulette                                    | April 1, 2025     |
| Card Games & Poker                          | April 15, 2025    |
| Surveillance                                | April 30, 2025    |
| Three Card Poker                            | June 9, 2025      |
| Bingo                                       | July 10, 2025     |
| Information Technology                      | July 1, 2025      |
| Title 31                                    | July 28, 2025     |
| Gaming Machines (Slots)                     | August 25, 2025   |
| Gaming Contracts                            | September 9, 2025 |
| Gaming Machines (Slots) YE                  | September 9, 2025 |
| Mississippi Stud                            | September 5, 2025 |
| Revenue                                     | September 4, 2025 |
| Table Games                                 | September 3, 2025 |

| Tribal Audit Reports                                               |                |                    |
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| Area of Audit                                                      | Type of Audit  | Report Issue Date  |
| Contract Procurement                                               |                |                    |
| Legislative                                                        | Operational    | January 15, 2008   |
| IT Security                                                        | Operational    | April 2, 2008      |
| Land Management Legislative                                        | Operational    | June 19, 2008      |
| Retail                                                             | Operational    | July 22, 2008      |
| Veterans Services                                                  | Operational    | November 17, 2008  |
| Tribal Investments & Allocations Process                           | Operational    | November 25, 2008  |
| Oneida Seven Generations Corp.                                     | Operational    | December 16, 2008  |
| Gaming Commission                                                  | Operational    | July 28, 2009      |
| New York Land Claims                                               | Financial      | July 8, 2009       |
| Employee-vendor relationships                                      | Data Analytics | August 21, 2009    |
| IRS 1099-Misc Cash payment reporting & document retrieval          | Data Analytics | August 21, 2009    |
| Payables documentation                                             | Data Analytics | August 21, 2009    |
| Travel Advances                                                    | Data Analytics | August 21, 2009    |
| Slot Management & Admin                                            | Operational    | August 27, 2009    |
| Dept. of Public Works                                              | Operational    | September 25, 2009 |
| Insurance Broker Selection                                         | Due Diligence  | February 18, 2010  |
| MIS Business Process Survey                                        | Operational    | February 19, 2010  |
| Food Pantry Restart                                                | Consultation   | February 22, 2010  |
| Behavioral Health                                                  | Operational    | March 3, 2010      |
| Purchasing                                                         | Operational    | April 8, 2010      |
| Coca Cola Fund                                                     | Financial      | May 14, 2010       |
| Due Diligence Process for Business Investments                     | Consultation   | May 21, 2010       |
| Center for Self Sufficiency Follow up                              | Operational    | June 7, 2010       |
| Land Commission & Land Management Follow up                        | Operational    | July 28, 2010      |
| Gaming Accounting                                                  | Operational    | October 22, 2010   |
| Land Management Leasing Supplement                                 | Operational    | May 24, 2011       |
| Gmg Commission Follow-up                                           | Operational    | May 6, 2011        |
| Oakridge Plaza Leasing                                             | Operational    | May 20, 2011       |
| Cash & Debt Management                                             | Operational    | August 11, 2011    |
| Voluntary Severance Program                                        | Operational    | September 16, 2011 |
| Oneida Pharmacy (Co-source)                                        | Operational    | November 7, 2011   |
| Internal Security                                                  | Operational    | November 9, 2011   |
| Contract Health                                                    | Operational    | May 17, 2011       |
| Slot Drop Variances                                                | Investigative  | December 22, 2011  |
| Oneida Golf Enterprise                                             | Investigative  | December 21, 2011  |
| IRS 1099-Misc Reporting                                            | Data Analytics | January 26, 2012   |
| Oneida Veterans' Transportation                                    | Investigative  | February 10, 2012  |
| Oneida Resident Centered Community Care Facility - 1               | Operational    | February 10, 2012  |
| OHA Mutual Help Equity Prog.                                       | Operational    | February 10, 2012  |
| IRS 1099-Misc Reporting                                            | Investigative  | June 10, 2011      |
| HRD and Gaming Personnel Services Hiring Practices and Backgrounds | Investigative  | May 14, 2012       |
| OHA ARRA Grant                                                     | Operational    | June 26, 2012      |
| Oneida Resident Centered Community Care Facility - 2               | Operational    | January 14, 2013   |
| Oneida Golf Enterprise & Supplement                                | Operational    | June 13, 2013      |
| Proper Commssion Records Disposal                                  | Investigative  | February 18, 2014  |

| Tribal Audit Reports                                    |               |                   |
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| Area of Audit                                           | Type of Audit | Report Issue Date |
| Oneida Housing Low Income Home Construction             | Operational   | February 7, 2014  |
| Anna John Resident Centered Care Community              | Operational   | April 14, 2014    |
| Law Enforcement Compliant Process                       | Operational   | May 30, 2014      |
| Governmental Services Division Office Governance        | Operational   | July 15, 2014     |
| Land Management/Land Commission Real Estate Acquisition |               |                   |
| Fuction Preliminary Evaluation                          | Consultation  | July 15, 2014     |
| Ecolane Transit System                                  | Consultation  | December 13, 2013 |
| Oneida Food Pantry                                      | Operational   | July 20, 2007     |
| DPW Cemetery Maintenance                                | Operational   | October 11, 2016  |
| Oneida Minors Trust Fund                                | Operational   | October 14, 2016  |
| Retail Open Road Rewards Prog                           | Consultation  | December 1, 2016  |
| Ticket & Merchandise Distribution                       | Operational   | February 10, 2017 |
| Employee Advocacy Dept.                                 | Performance   | October 18, 2017  |
| Kalihwisaks                                             | Performance   | October 18, 2017  |
| Integrated Food Systems                                 | Performance   | October 19, 2017  |
| Tourism                                                 | Performance   | November 27, 2017 |
| Veterans Service Admin                                  | Performance   | November 29, 2017 |
| Grants                                                  | Performance   | November 30, 2017 |
| Utilities                                               | Performance   | November 30, 2017 |
| Environmental Health & Safety                           | Performance   | November 30, 2017 |
| SEOTS                                                   | Performance   | December 13, 2017 |
| Pardon & Forgiveness Screening Committee                | Performance   | January 3, 2018   |
| Tsyunhehkwa                                             | Performance   | January 17, 2018  |
| Oneida Police Department                                | Performance   | January 17, 2018  |
| Vocational Rehab Job Training                           | Performance   | January 23, 2018  |
| Custodial                                               | Performance   | February 9, 2018  |
| Gaming Custodial                                        | Performance   | February 9, 2018  |
| Human Resource Depart                                   | Performance   | February 28, 2018 |
| Oneida Election Board                                   | Performance   | March 8, 2018     |
| Oneida Elder Services                                   | Performance   | March 9, 2018     |
| Higher Education                                        | Performance   | March 9, 2018     |
| Oneida Seven Generations                                | Performance   | April 5, 2018     |
| Business Committee Credit Card Activity                 | Operational   | May 18, 2018      |
| Oneida Golf                                             |               |                   |
| Enterprise/Thornberry Creek at                          | Investigative | July 26, 2018     |
| Medical Professionals                                   | Performance   | August 1, 2018    |
| Engineering                                             | Performance   | August 2, 2018    |
| Behavioral Health                                       | Performance   | October 15, 2018  |
| Court                                                   | Performance   | May 16, 2019      |
| Judiciary - Family Court                                | Performance   | May 16, 2019      |
| Development Division Admin                              | Performance   | August 2, 2018    |
| Gaming CR Shuttle Dept.                                 | Performance   | August 13, 2018   |
| Planning Department                                     | Performance   | October 15, 2018  |
| Gaming Compliance                                       | Performance   | October 23, 2018  |
| Zoning Administration                                   | Performance   | October 31, 2018  |
| GIS                                                     | Performance   | March 22, 2019    |
| DPW Administration                                      | Performance   | March 22, 2019    |
| Patient Registration                                    | Performance   | May 30, 2019      |
| Central Purchasing                                      | Performance   | August 13, 2018   |
| Anna John RCCC Board                                    | Performance   | January 10, 2019  |
| Oneida Nation School Board                              | Performance   | January 10, 2019  |
| Gaming Support Administration                           | Performance   | November 19, 2018 |

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| Area of Audit                                 | Type of Audit | Report Issue Date  |
| Oneida Pharmacy                               | Performance   | January 14, 2019   |
| Printing/Mail Center                          | Performance   | July 18, 2019      |
| Oneida Nation Arts Board                      | Performance   | November 20, 2018  |
| Intergovernmental Affairs/Communications      | Performance   | October 29, 2018   |
| Internal Services Division Admin              | Performance   | July 18, 2019      |
| Oneida Community Library                      | Performance   | July 26, 2019      |
| Oneida Engineering Science & Construction LLC | Performance   | July 25, 2019      |
| Oneida Family Fitness                         | Performance   | May 30, 2019       |
| Transit Department                            | Performance   | October 26, 2018   |
| Central Accounting                            | Performance   | September 5, 2019  |
| Emergency Management                          | Performance   | October 29, 2018   |
| Gaming Valet                                  | Performance   | October 15, 2018   |
| Oneida Experiential Adventures                | Performance   | July 26, 2019      |
| Comprehensive Housing Division                | Performance   | October 24, 2019   |
| Human Resource Department                     | Performance   | November 18, 2019  |
| Food Distribution                             | Performance   | November 18, 2019  |
| Medical Records                               | Performance   | January 2, 2020    |
| Oneida Pow-wow Committee                      | Performance   | November 22, 2019  |
| Environmental Quality Dept                    | Performance   | November 18, 2019  |
| Health & Industrial Services                  | Performance   | November 18, 2019  |
| Oneida Apple Orchard Admin                    | Performance   | January 7, 2020    |
| Risk Management                               | Performance   | February 11, 2020  |
| Legal Office                                  | Performance   | February 13, 2020  |
| Enterprise Division - Retail                  | Performance   | September 18, 2019 |
| Packers Ticket & Merchandise Distribution     | Operational   | September 20, 2019 |
| HRD Hiring & Compensation                     | Performance   | February 19, 2020  |
| Elementary School                             | Performance   | February 24, 2020  |
| Oneida Airport Hotel Corp                     | Performance   | October 19, 2020   |
| Cultural Heritage                             | Performance   | October 10, 2019   |
| Oneida Child Care                             | Performance   | February 6, 2020   |
| BC Members Credit Card Activity               | Operational   | December 9, 2020   |
| OGE/Thornberry Creek Followup                 | Operational   | January 15, 2021   |
| Anna John Resident Centered Care Community    | Performance   | February 18, 2020  |
| Eco-Services                                  | Performance   | March 12, 2021     |
| Finance Administration                        | Performance   | March 3, 2021      |
| Natural Resources                             | Performance   | March 12, 2021     |
| Gaming Profits                                | Performance   | March 16, 2021     |
| Oneida Land Commission                        | Performance   | April 9, 2021      |
| AJRCCC Patient Account Receivables            | Operational   | April 12, 2021     |
| Child Support                                 | Performance   | July 20, 2021      |
| Legislative Operating Committee               | Performance   | July 29, 2021      |
| Oneida Community Education Cn                 | Performance   | December 7, 2021   |
| Oneida Nation High School                     | Performance   | December 17, 2021  |
| ONCOA                                         | Investigative | January 28, 2022   |
| Comprehensive Health Center Admin             | Performance   | January 31, 2022   |
| Oneida Recreation                             | Performance   | November 10, 2021  |
| BC Members Credit Card Activity               | Operational   | April 8, 2022      |
| Governmental Services Admin                   | Performance   | May 26, 2022       |
| Oneida Police Commission                      | Performance   | June 1, 2022       |
| Education & Training Admin                    | Performance   | June 22, 2022      |
| Economic Support Services Ad                  | Performance   | September 23, 2022 |
| Oneida Nation High School                     | Controls Ax   | October 12, 2022   |
| Gaming Gift and Gas cards                     | Controls Ax   | October 13, 2022   |

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| Area of Audit                           | Type of Audit | Report Issue Date  |
| Oneida Emergency Food Pantry            | Performance   | November 4, 2022   |
| Self-Governance                         | Performance   | November 29, 2022  |
| Security Department Gift Cards          | Controls Ax   | December 1, 2022   |
| Tourism Gift Cards                      | Controls Ax   | December 1, 2022   |
| NEW Program Gift Cards                  | Controls Ax   | December 1, 2022   |
| SEOTS Gift Cards                        | Controls Ax   | December 1, 2022   |
| Economic Support Gift Cards             | Controls Ax   | December 6, 2022   |
| Oneida Nation Library System Gift Cards | Controls Ax   | February 28, 2023  |
| Oneida Nation Farm                      | Performance   | February 17, 2023  |
| Oneida Police Department                | Controls Ax   | April 14, 2023     |
| Oneida Nation Museum                    | Controls Ax   | April 25, 2023     |
| Tsyunhehkwa Farm                        | Controls Ax   | May 23, 2023       |
| Property Management Admin               | Performance   | June 1, 2023       |
| Purchased Referred Care                 | Performance   | July 19, 2023      |
| Food Distribution                       | Controls Ax   | August 22, 2023    |
| Kalihwisaks                             | Controls Ax   | October 5, 2023    |
| Trust Enrollment Department             | Performance   | September 29, 2023 |
| Dental                                  | Performance   | November 17, 2023  |
| Gaming Marketing                        | Performance   | December 20, 2023  |
| Tsyunhehkwa Farm followup               | Controls Ax   | August 22, 2024    |
| Optical Department                      | Performance   | August 23, 2024    |
| Oneida Nation Language NEST             | Investigative | May 16, 2025       |
| Forensic Analysis of Expenditures       | Investigative | May 16, 2025       |
| Government Cultural Arts                | Operational   | May 15, 2025       |
| AJRCCC Activities                       | Operational   | May 21, 2025       |

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