

| Gaming Compliance Audit Reports | |
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| Area of Audit | Report Issue Date |
| Mississippi Stud | March 1, 2023 |
| Card Games & Poker | June 28, 2023 |
| Cage/Vault/Kiosk | July 27, 2023 |
| Craps | August 17, 2023 |
| Surveillance | September 11, 2023 |
| Gaming Contracts | September 14, 2023 |
| Gaming Machines | September 19, 2023 |
| Table Games | September 26, 2023 |
| Slots Year End | October 3, 2023 |
| Title 31 | October 5, 2023 |
| Information Technology | October 6, 2023 |
| Cage/Vault/Kiosk YE | October 19, 2023 |
| Sports Wagering | October 20, 2023 |
| Gaming Promotions and Player Tracking Systems | January 9, 2024 |
| Roulette | January 17, 2024 |
| Complimentary Services | January 18, 2024 |
| Three Card Poker | February 20, 2024 |
| Blackjack | February 22, 2024 |
| Four Card Poker | March 5, 2024 |
| Controlled Keys | March 14, 2024 |
| Drop & Count | April 11, 2024 |
| Mini-Baccarat | April 23, 2024 |
| Bingo | May 3, 2024 |

| Tribal Audit Reports | | |
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| Area of Audit | Type of Audit | Report Issue Date |
| Contract Procurement | | |
| Legislative | Operational | January 15, 2008 |
| IT Security | Operational | April 2, 2008 |
| Land Management Legislative | Operational | June 19, 2008 |
| Retail | Operational | July 22, 2008 |
| Veterans Services | Operational | November 17, 2008 |
| Tribal Investments & Allocations Process | Operational | November 25, 2008 |
| Oneida Seven Generations Corp. | Operational | December 16, 2008 |
| Gaming Commission | Operational | July 28, 2009 |
| New York Land Claims | Financial | July 8, 2009 |
| Employee-vendor relationships | Data Analytics | August 21, 2009 |
| IRS 1099-Misc Cash payment reporting & document retrieval | Data Analytics | August 21, 2009 |
| Payables documentation | Data Analytics | August 21, 2009 |
| Travel Advances | Data Analytics | August 21, 2009 |
| Slot Management & Admin | Operational | August 27, 2009 |
| Dept. of Public Works | Operational | September 25, 2009 |
| Insurance Broker Selection | Due Diligence | February 18, 2010 |
| MIS Business Process Survey | Operational | February 19, 2010 |
| Food Pantry Restart | Consultation | February 22, 2010 |
| Behavioral Health | Operational | March 3, 2010 |
| Purchasing | Operational | April 8, 2010 |
| Coca Cola Fund | Financial | May 14, 2010 |
| Due Diligence Process for Business Investments | Consultation | May 21, 2010 |
| Center for Self Sufficiency Follow up | Operational | June 7, 2010 |
| Land Commission & Land Management Follow up | Operational | July 28, 2010 |
| Gaming Accounting | Operational | October 22, 2010 |
| Land Management Leasing Supplement | Operational | May 24, 2011 |
| Gmg Commission Follow-up | Operational | May 6, 2011 |
| Oakridge Plaza Leasing | Operational | May 20, 2011 |
| Cash & Debt Management | Operational | August 11, 2011 |
| Voluntary Severance Program | Operational | September 16, 2011 |
| Oneida Pharmacy (Co-source) | Operational | November 7, 2011 |
| Internal Security | Operational | November 9, 2011 |
| Contract Health | Operational | May 17, 2011 |
| Slot Drop Variances | Investigative | December 22, 2011 |
| Oneida Golf Enterprise | Investigative | December 21, 2011 |
| IRS 1099-Misc Reporting | Data Analytics | January 26, 2012 |
| Oneida Veterans' Transportation | Investigative | February 10, 2012 |
| Oneida Resident Centered Community Care Facility - 1 | Operational | February 10, 2012 |
| OHA Mutual Help Equity Prog. | Operational | February 10, 2012 |
| IRS 1099-Misc Reporting | Investigative | June 10, 2011 |
| HRD and Gaming Personnel Services Hiring Practices and Backgrounds | Investigative | May 14, 2012 |
| OHA ARRA Grant | Operational | June 26, 2012 |
| Oneida Resident Centered Community Care Facility - 2 | Operational | January 14, 2013 |
| Oneida Golf Enterprise & Supplement | Operational | June 13, 2013 |

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| Area of Audit | Type of Audit | Report Issue Date |
| Proper Commission Records Disposal | Investigative | February 18, 2014 |
| Oneida Housing Low Income Home Construction | Operational | February 7, 2014 |
| Anna John Resident Centered Care Community | Operational | April 14, 2014 |
| Law Enforcement Compliant Process | Operational | May 30, 2014 |
| Governmental Services Division Office Governance | Operational | July 15, 2014 |
| Land Management/Land Commission Real Estate Acquisition Function Preliminary Evaluation | Consultation | July 15, 2014 |
| Ecolane Transit System | Consultation | December 13, 2013 |
| Oneida Food Pantry | Operational | July 20, 2007 |
| DPW Cemetery Maintenance | Operational | October 11, 2016 |
| Oneida Minors Trust Fund | Operational | October 14, 2016 |
| Retail Open Road Rewards Prog | Consultation | December 1, 2016 |
| Ticket & Merchandise Distribution | Operational | February 10, 2017 |
| Employee Advocacy Dept. | Performance | October 18, 2017 |
| Kalihwisaks | Performance | October 18, 2017 |
| Integrated Food Systems | Performance | October 19, 2017 |
| Tourism | Performance | November 27, 2017 |
| Veterans Service Admin | Performance | November 29, 2017 |
| Grants | Performance | November 30, 2017 |
| Utilities | Performance | November 30, 2017 |
| Environmental Health & Safety | Performance | November 30, 2017 |
| SEOTS | Performance | December 13, 2017 |
| Pardon & Forgiveness Screening Committee | Performance | January 3, 2018 |
| Tsyunhehkwá | Performance | January 17, 2018 |
| Oneida Police Department | Performance | January 17, 2018 |
| Vocational Rehab Job Training | Performance | January 23, 2018 |
| Custodial | Performance | February 9, 2018 |
| Gaming Custodial | Performance | February 9, 2018 |
| Human Resource Depart | Performance | February 28, 2018 |
| Oneida Election Board | Performance | March 8, 2018 |
| Oneida Elder Services | Performance | March 9, 2018 |
| Higher Education | Performance | March 9, 2018 |
| Oneida Seven Generations | Performance | April 5, 2018 |
| Business Committee Credit Card Activity | Operational | May 18, 2018 |
| Oneida Golf Enterprise/Thornberry Creek at | Investigative | July 26, 2018 |
| Medical Professionals | Performance | August 1, 2018 |
| Engineering | Performance | August 2, 2018 |
| Behavioral Health | Performance | October 15, 2018 |
| Court | Performance | May 16, 2019 |
| Judiciary - Family Court | Performance | May 16, 2019 |
| Development Division Admin | Performance | August 2, 2018 |
| Gaming CR Shuttle Dept. | Performance | August 13, 2018 |
| Planning Department | Performance | October 15, 2018 |
| Gaming Compliance | Performance | October 23, 2018 |
| Zoning Administration | Performance | October 31, 2018 |
| GIS | Performance | March 22, 2019 |
| DPW Administration | Performance | March 22, 2019 |
| Patient Registration | Performance | May 30, 2019 |
| Central Purchasing | Performance | August 13, 2018 |
| Anna John RCCC Board | Performance | January 10, 2019 |

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| Area of Audit | Type of Audit | Report Issue Date |
| Oneida Nation School Board | Performance | January 10, 2019 |
| Gaming Support Administration | Performance | November 19, 2018 |
| Oneida Pharmacy | Performance | January 14, 2019 |
| Printing/Mail Center | Performance | July 18, 2019 |
| Oneida Nation Arts Board | Performance | November 20, 2018 |
| Intergovernmental Affairs/Communications | Performance | October 29, 2018 |
| Internal Services Division Admin | Performance | July 18, 2019 |
| Oneida Community Library | Performance | July 26, 2019 |
| Oneida Engineering Science & Construction LLC | Performance | July 25, 2019 |
| Oneida Family Fitness | Performance | May 30, 2019 |
| Transit Department | Performance | October 26, 2018 |
| Central Accounting | Performance | September 5, 2019 |
| Emergency Management | Performance | October 29, 2018 |
| Gaming Valet | Performance | October 15, 2018 |
| Oneida Experiential Adventures | Performance | July 26, 2019 |
| Comprehensive Housing Division | Performance | October 24, 2019 |
| Human Resource Department | Performance | November 18, 2019 |
| Food Distribution | Performance | November 18, 2019 |
| Medical Records | Performance | January 2, 2020 |
| Oneida Pow-wow Committee | Performance | November 22, 2019 |
| Environmental Quality Dept | Performance | November 18, 2019 |
| Health & Industrial Services | Performance | November 18, 2019 |
| Oneida Apple Orchard Admin | Performance | January 7, 2020 |
| Risk Management | Performance | February 11, 2020 |
| Legal Office | Performance | February 13, 2020 |
| Enterprise Division - Retail | Performance | September 18, 2019 |
| Packers Ticket & Merchandise Distribution | Operational | September 20, 2019 |
| HRD Hiring & Compensation | Performance | February 19, 2020 |
| Elementary School | Performance | February 24, 2020 |
| Oneida Airport Hotel Corp | Performance | October 19, 2020 |
| Cultural Heritage | Performance | October 10, 2019 |
| Oneida Child Care | Performance | February 6, 2020 |
| BC Members Credit Card Activity | Operational | December 9, 2020 |
| OGE/Thornberry Creek Followup | Operational | January 15, 2021 |
| Anna John Resident Centered Care Community | Performance | February 18, 2020 |
| Eco-Services | Performance | March 12, 2021 |
| Finance Administration | Performance | March 3, 2021 |
| Natural Resources | Performance | March 12, 2021 |
| Gaming Profits | Performance | March 16, 2021 |
| Oneida Land Commission | Performance | April 9, 2021 |
| AJRCCC Patient Account Receivables | Operational | April 12, 2021 |
| Child Support | Performance | July 20, 2021 |
| Legislative Operating Committee | Performance | July 29, 2021 |
| Oneida Community Education Cn | Performance | December 7, 2021 |
| Oneida Nation High School | Performance | December 17, 2021 |
| ONCOA | Investigative | January 28, 2022 |
| Comprehensive Health Center Admin | Performance | January 31, 2022 |
| Oneida Recreation | Performance | November 10, 2021 |
| BC Members Credit Card Activity | Operational | April 8, 2022 |
| Governmental Services Admin | Performance | May 26, 2022 |
| Oneida Police Commission | Performance | June 1, 2022 |
| Education & Training Admin | Performance | June 22, 2022 |
| Economic Support Services Ad | Performance | September 23, 2022 |

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|---|----------------------|--------------------------|
| Area of Audit | Type of Audit | Report Issue Date |
| Oneida Nation High School | Controls Ax | October 12, 2022 |
| Gaming Gift and Gas cards | Controls Ax | October 13, 2022 |
| Oneida Emergency Food Pantry | Performance | November 4, 2022 |
| Self-Governance | Performance | November 29, 2022 |
| Security Department Gift Cards | Controls Ax | December 1, 2022 |
| Tourism Gift Cards | Controls Ax | December 1, 2022 |
| NEW Program Gift Cards | Controls Ax | December 1, 2022 |
| SEOTS Gift Cards | Controls Ax | December 1, 2022 |
| Economic Support Gift Cards | Controls Ax | December 6, 2022 |
| Oneida Nation Library System Gift Cards | Controls Ax | February 28, 2023 |
| Oneida Nation Farm | Performance | February 17, 2023 |
| Oneida Police Department | Controls Ax | April 14, 2023 |
| Oneida Nation Museum | Controls Ax | April 25, 2023 |
| Tsyunhehkwá Farm | Controls Ax | May 23, 2023 |
| Property Management Admin | Performance | June 1, 2023 |
| Purchased Referred Care | Performance | July 19, 2023 |
| Food Distribution | Controls Ax | August 22, 2023 |
| Kalihwisaks | Controls Ax | October 5, 2023 |
| Trust Enrollment Department | Performance | September 29, 2023 |
| Dental | Performance | November 17, 2023 |
| Gaming Marketing | Performance | December 20, 2023 |

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