

Oneida Nation

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Oneida, WI 54155

BC Resolution # 06-14-23-A

Adoption of the Restated and Amended Oneida Business Committee 401(k) Savings Plan

- WHEREAS,** the Oneida Nation is a federally recognized Indian government and a treaty tribe recognized by the laws of the United States of America; and
- WHEREAS,** the Oneida General Tribal Council is the governing body of the Oneida Nation; and
- WHEREAS,** the Oneida Business Committee has been delegated the authority of Article IV, Section 1, of the Oneida Tribal Constitution by the Oneida General Tribal Council; and
- WHEREAS,** the Oneida Business Committee 401(k) savings plan was adopted with an effective date of January 1, 2001; and
- WHEREAS,** the Oneida Business Committee desires to amend the Plan to comply with the changes permitted by Treasury Regulation sections 1.401(k)-6 and 1.401(m)-1; and
- WHEREAS,** section 12.01 of the Plan document authorizes the Oneida Business Committee to amend the plan from time to time; and
- WHEREAS,** the Oneida Business Committee has reviewed the documentation and believes that compliance with the Treasury Regulations and maintaining an up to date 401(k) savings plan is in the best interests of the Nation; and
- WHEREAS,** the plan documents have been provided a legal review approving the documents to be executed after Oneida Business Committee approval in contract review # 2023-0164;

NOW THEREFORE BE IT RESOLVED, that the Oneida Business Committee hereby ratifies and approves the restated Oneida Nation Business Committee 401(k) Savings Plan effective as of January 1, 2022 as associated with contract review # 2023-0164.

BE IT FURTHER RESOLVED, that the Oneida Business Committee, as the elected representative of the Primary Sponsor the Oneida Nation, appoints Keith Doxtator, Chief Financial Officer and RaLinda Ninham-Lamberies, Assistant Chief Financial Officer, as Trustees of the Trust.


BE IT FURTHER RESOLVED, that the amended and restated Plan and Trust is hereby adopted, confirmed and ratified by the Oneida Business Committee, in the form presented for its approval this date as associated with contract review # 2023-0164.

BE IT FURTHER RESOLVED, that the Chair and Vice-Chair of the Oneida Business Committee are authorized to execute the restated Plan and Trust on behalf of the Oneida Nation.

BE IT FINALLY RESOLVED, that the actions taken by the Oneida Business Committee and personnel in connection with the matters addressed in this resolution are ratified, confirmed and adopted as actions of the Oneida Nation.

CERTIFICATION

I, the undersigned, as Secretary of the Oneida Business Committee, hereby certify that the Oneida Business Committee is composed of 9 members of whom 5 members constitute a quorum; 5 members were present at a meeting duly called, noticed and held on the 14th day of June, 2023; that the forgoing resolution was duly adopted at such meeting by a vote of 4 members for, 0 members against, and 0 members not voting*; and that said resolution has not been rescinded or amended in any way.



Lisa Liggins, Secretary
Oneida Business Committee

*According to the By-Laws, Article I, Section 1, the Chair votes "only in the case of a tie."