

# 2022 4<sup>th</sup> Quarter Report (Jul'22 to Sep'22)

For Boards, Committees, and Commissions (BCCs)

Approved by official entity action on: 10/26/2022

Submitted by: Mark A. Powless Sr.

OBC Liaison: Brandon Stevens

OBC Liaison: Marie Summers

## ONEIDA GAMING COMMISSION

### **Purpose:**

The Oneida Gaming Commission and its departments collectively promote and ensure the integrity, security, honesty and fairness of the regulation and administration of all Gaming activities within the jurisdiction of the Oneida Nation.

### **BCC Members**

Mark A. Powless Sr.

Chairman

August 2023

Michelle M. Braaten

Secretary

August 2027

Reynold Tommy Danforth

Vice Chairman

August 2025

Jonas Hill

Commissioner

2024

### Substantiated Complaints (if applicable)

Per § 105.12-4.(a) of the [Boards, Committees and Commissions law](#), annual and semi-annual reports shall contain information on the number of substantiated complaints against all members of the entity.

Per § 105.3-1.(q) a “Substantiated complaint” means a complaint or allegation in a complaint that was found to be valid by clear and convincing evidence.

NUMBER OF SUBSTANTIATED COMPLAINTS: 0

### Meetings

1<sup>st</sup> and 3<sup>rd</sup> Monday of the month.

Emergency Meetings: No Emergency meetings only a special meeting for Election of Officers. Which was held on September 22, 2022.

### Contact Info

CONTACT: Mark A. Powless Sr.  
TITLE: Commission Chairman  
PHONE NUMBER: 920-497-5850  
E-MAIL: mpowles5@oneidanation.org  
MAIN WEBSITE: Enter website address

### Status report of Three-Year Outcomes/Goals

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#### Outcome/Goal # 1

Develop/Finalize all regulations, including the OGMIC’s revisions, and regulations for: Employee Licensing, Hearings, Administration/Staffing. Revisiting other regulations annually, thereafter.

IS THIS A LONG-TERM OR QUARTERLY GOAL? Long-term

#### GOOD GOVERNANCE PRINCIPLE:

Rule of Law - Ensuring the rules are known and applied equally to all with clear appeal (if needed)and are enforced by an impartial regulatory body, for the full protection of Oneida Nation stakeholders

HOW OUTCOME/GOAL SUPPORTS THE GOOD GOVERNANCE PRINCIPLE:

Implementing comprehensive and transparent regulations that promulgate the rule of law, ensures fair and consistent processes are followed by the Gaming Operation and its departments. Keeping regulations up to date and available for stakeholder allows for a transparency and knowledge of what is expected. Up-to-date regulations provide for operational and customer references that benefit the Nation and assist the OGC to regulate Oneida Gaming efficiently and effectively.

ACCOMPLISHMENTS REGARDING THE OUTCOME/GOAL:

Reviewed and accepted amendments to 3-Card Poker, Mississippi Stud, Craps, 4-Card Poker and Chapter 15 Surveillance. Chapter 1 General (Gaming Integrity), Chapter 13 Revenue Audit and OGMICS Definitions are now in final stages of review/approval. Reviewed and approved 18 amended SOPs for OGC approval. Reviewed and sent forward for OGC approval 3-progressive malfunctions, 20-progressive transfers and 24 promotions.

EXPECTATIONS/FUTURE PLANS REGARDING THE OUTCOME/GOAL:

OGMICS Revision project was to be completed in the fourth quarter, but it is now on track to be completed during FY23 quarter 1. A yearly review process for OGMICS chapters will be implemented. Our Compliance department will be moving forward to draft OGC policies and procedures that will be followed up with internal departmental procedures; including Enforcement Policy, Dispute Resolution, System of Internal Controls (SICS) for the implementation of SOPs. It should be noted that COVID-19 impacted attaining this Outcome/Goal.

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Outcome/Goal # 2

With 2 new recent staff members added, we can pursue the needed grooming for potential success for the Backgrounds & Investigations Manager position. Due to developing compliance concerns with the National Indian Gaming Commission and FBI, this comes at a critical time.

IS THIS A LONG-TERM OR QUARTERLY GOAL?      Long-term

GOOD GOVERNANCE PRINCIPLE:

Accountability - The acknowledgement and assumption of responsibility for decisions and actions as well as the applicable rules of law

HOW OUTCOME/GOAL SUPPORTS THE GOOD GOVERNANCE PRINCIPLE:

By maintaining compliance from multi-jurisdictional oversights of the State, Federal and Tribal levels.

ACCOMPLISHMENTS REGARDING THE OUTCOME/GOAL:

Acquiring and implementing qualified tribal members to fill crucial regulatory positions.

EXPECTATIONS/FUTURE PLANS REGARDING THE OUTCOME/GOAL:

Keeping up with expected changes in the regulatory processes.

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Outcome/Goal # 3

Assess staff according to job competencies. Train employees to improve skills, job knowledge and build confidence.

IS THIS A LONG-TERM OR QUARTERLY GOAL?      Long-term

GOOD GOVERNANCE PRINCIPLE:

Accountability - The acknowledgement and assumption of responsibility for decisions and actions as well as the applicable rules of law

HOW OUTCOME/GOAL SUPPORTS THE GOOD GOVERNANCE PRINCIPLE:

Building a strong work ethic and team is very important. Accountability is one factor that provides your team members with clear and concise direction. This can limit the distractions for your team to carry out their responsibilities and be productive without too many interruptions.

ACCOMPLISHMENTS REGARDING THE OUTCOME/GOAL:

To provide each team member their set of expectations and job specifics. Letting each one know they will be held accountable to the tasks assigned.

EXPECTATIONS/FUTURE PLANS REGARDING THE OUTCOME/GOAL:

To ensure each team member understands the accountability, well-defined expectations will be provided with departmental and personal goals to achieve.

**Stipends**

*Per the Boards, Committees, and Commissions law, stipends are set via OBC resolution. BC resolution # 08-12-20-C sets the stipend amounts.*

**Budget Information**

FY-2022 BUDGET: \$1,248,324.00

FY-2022 EXPENDITURES AS OF END OF REPORTING PERIOD: \$953,504.00

**Requests**

Enter request(s), if needed.