

Oneida Nation

Post Office Box 365

Phone: (920)869-2214



Oneida, WI 54155

BC Meeting Materials December 14, 2016

Open Session

CERTIFICATION

I, the undersigned, as Secretary of the Oneida Business Committee, hereby certify that the following 254 pages are the Open Session meeting materials presented at a meeting duly called, noticed and held on the 14th day of December, 2016.

A handwritten signature in blue ink that reads "Lisa Summers". The signature is written in a cursive style and is positioned above a horizontal line.

Lisa Summers, Tribal Secretary
Oneida Business Committee



Oneida Business Committee

Executive Session
9:00 a.m. Tuesday, December 13, 2016
Executive Conference Room, 2nd floor, Norbert Hill Center

Regular Meeting
9:00 a.m. Wednesday, December 14, 2016
BC Conference Room, 2nd floor, Norbert Hill Center

Agenda

To get a copy of the agenda, go to: oneida-nsn.gov/government/business-committee/agendas-packets/

I. CALL TO ORDER AND ROLL CALL

II. OPENING

- A. Recognition of retirement – Judi Skenandore with 42 years of service** *pp. 9-10*
 Sponsor: Debra Danforth, Division Director/Comprehensive Health Operations
- B. Presentation of Exxon/Mobil Education Alliance & Oneida Retail \$500 grants to Oneida Nation High School, Oneida Nation Elementary, Pioneer Elementary, King Elementary, Lannoye Elementary, and Hillcrest Elementary** *pp. 11-12*
 Sponsor: Michele Doxtator, Area Manager/Retail Profits
- C. Kanolukhwásla – LZ Lambeau “Welcoming Home Wisconsin’s Vietnam Veterans” – Appreciation gift presented by Rick Erec** *p. 13*
 Sponsor: Jennifer Webster, Councilwoman

III. ADOPT THE AGENDA

pp. 1-8

IV. OATHS OF OFFICE

- A. Oneida Election Board – Gina Powless-Buenrostro, Tonya Webster** *pp. 14-15*
- B. Oneida Pow Wow Committee – Vicki Cornelius** *pp. 16-17*

V. MINUTES

- A. Approve November 23, 2016, regular meeting minutes** *pp. 18-44*
 Sponsor: Lisa Summers, Tribal Secretary

VI. STANDING COMMITTEES**A. Legislative Operating Committee**

Chair: Brandon Stevens, Councilman

1. **Accept November 16, 2016, Legislative Operating Committee meeting minutes** pp. 45-48

B. Finance Committee

Chair: Trish King, Tribal Treasurer

1. **Approve December 5, 2016, Finance Committee meeting minutes** pp. 49-53

VII. UNFINISHED BUSINESS**A. Accept follow-up report regarding Bureau of Indian Affairs – General Assistance Grant funds**pp. 54-60

Sponsor: Chris Johns, Coordinator/Self-Governance

EXCERPT FROM OCTOBER 26, 2016: Motion by Lisa Summers to accept the update; to request a follow-up report to be completed by the GSD Division Director and Self-Governance Coordinator; and to direct the issue to the IGAC Director for the coordination of legislative follow-up at the federal level, seconded by David Jordan. Motion carried unanimously.

EXCERPT FROM OCTOBER 12, 2016: Motion by David Jordan to defer this item to the October 26, 2016, regular Business Committee meeting, seconded by Tehassi Hill. Motion carried unanimously.

EXCERPT FROM AUGUST 24, 2016: (1) Motion by Jennifer Webster to accept the request as information; and to request the Governmental Services Division and Self-Governance Director to provide a report, seconded by Lisa Summers. Motion carried unanimously. (2) Amendment to the main motion by Lisa Summers to defer this item to a Business Committee Officer's for continued monitoring and follow-up; and to request the initial report be brought back within thirty (30) days, seconded by Tehassi Hill. Motion carried unanimously.

B. Review letter regarding law enforcement agencies and Tribal Members on tribal landpp. 61-62*(This item is scheduled to begin at 10:00 a.m.)*

Sponsor: Tina Danforth, Tribal Chairwoman

EXCERPT FROM NOVEMBER 23, 2016: Motion by David Jordan to defer this item to the December 14, 2016, regular Business Committee meeting, seconded by Jennifer Webster. Motion carried with one opposed.

VIII. NEW BUSINESS**A. Review concern # 2016-CC-21 regarding Tsyunhehkwa operations**pp. 63-64

Sponsor: Tina Danforth, Tribal Chairwoman

B. Enter E-Poll results into the record in accordance with BC SOP Conducting Electronic Voting:

Sponsor: Lisa Summers, Tribal Secretary

1. **Approval of Option B: Distribute twenty-one (21) Garden of Lights complimentary passes on a first come, first serve basis**

pp. 65-78

Requestor: Lisa Summers, Tribal Secretary

2. **Approval of Membership Interest Assignment from Oneida Total Integrated Enterprises (OTIE) to Oneida Engineering Science & Construction (OESC) – contract # 2016-1347; and to authorize an Officer to sign the assignment on behalf of Oneida Nation** pp. 79-98
Requestor: Jo Anne House, Chief Counsel

- C. **Direct Treasurer to provide status update on development of SOP's for Economic Development and Diversification Set Aside at the December 28, 2016, regular Business Committee meeting** pp. 99-103
Sponsor: Lisa Summers, Tribal Secretary

IX. TRAVEL

A. TRAVEL REPORTS

1. **Accept travel report – Vice-Chairwoman Melinda J. Danforth – Medicare, Medicaid, and Health Reform Policy Committee (MMPC) & Tribal Technical Advisory Group (TTAG) meetings – Washington D.C. – November 2-3, 2016; and approve request to attend the February 2017 and July 2017 TTAG meetings in Washington D.C.** pp. 104-109

B. TRAVEL REQUESTS

1. **Enter E-Poll results into the record in accordance with BC SOP Conducting Electronic Voting:**
Sponsor: Lisa Summers, Tribal Secretary
- a. **Failed travel request - Seven (7) DOLM employees and one (1) Law Office employee to attend the BIA Midwest Region Real Estate Services Technical Guidance and Training - Prior Lake, MN - December 12-15, 2016** pp. 110-128
Requestor: Nicole Rommel, Finance and Records Area Manager/Division of Land Management

X. REPORTS *(This section of the agenda is scheduled to begin at 1:30 p.m.)*

A. UNFINISHED REPORTS

1. **Accept Comprehensive Health Division FY '16 4th quarter report (1:30 p.m.-1:50 p.m.)** pp. 129-152
Sponsors: Debra Danforth, Division Director/Comprehensive Health Operations; Dr. Ravinder Vir, Medical Director/Medical Operations

EXCERPT FROM NOVEMBER 23, 2016: Motion by Lisa Summers to defer the Land Claims Commission FY '16 4th quarter report to the December 14, 2016, seconded by David Jordan. Motion carried unanimously.

2. **Accept Land Claims Commission FY '16 quarter report (1:50 p.m.-2:05 p.m.)** pp. 153-155
Vice-Chair: Loretta Metoxen
Liaison: Brandon Stevens, Councilman

EXCERPT FROM NOVEMBER 23, 2016: Motion by Lisa Summers to defer the Land Claims Commission FY '16 4th quarter report to the December 14, 2016, seconded by David Jordan. Motion carried unanimously.

B. OPERATIONAL REPORTS

1. **Accept Self-Governance FY '16 4th quarter report (2:05 p.m.-2:25 p.m.)** pp. 156-162
Sponsor: Chris Johns, Coordinator/Self-Governance
2. **Accept Emergency Management FY '16 4th quarter report (2:25 p.m.-2:45 p.m.)** pp. 163-168
Sponsor: Kaylynn Gresham, Director/Emergency Management Dept.

C. CORPORATE BOARD REPORTS

1. **Accept Oneida Total Integrated Enterprise FY '16 4th quarter report (2:45 p.m.-2:55 p.m.)** pp. 169-188
Chair: Butch Rentmeester
Liaison: Tehassi Hill, Councilman
2. **Accept Oneida Engineering Science & Construction, LLC FY '16 4th quarter report (2:55 p.m.-3:05 p.m.)** pp. 189-196
Chair: Jacquelyn Zalim
Liaison: Tehassi Hill, Councilman
3. **Accept Bay Bancorporation Inc. FY '16 4th quarter report (3:05 p.m.-3:15 p.m.)** pp. 197-200
President: Jeff Bowman
Liaison: Tina Danforth, Tribal Chairwoman
4. **Accept Oneida Airport Hotel Corporation FY '16 4th quarter report (3:15 p.m.-3:25 p.m.)** pp. 201-206
President: Janice Skenandore-Hirth
Liaison: Trish King, Tribal Treasurer
5. **Accept Oneida Golf Enterprise FY '16 4th quarter report (3:25 p.m.-3:35 p.m.)** pp. 207-212
Agent: Janice Skenandore-Hirth
Liaison: Trish King, Tribal Treasurer
6. **Defer Oneida Seven Generations Corporation FY '16 4th quarter report to December 28, 2016, regular Business Committee meeting** pp. 213-215
Agent: Pete King III
Liaison: Tina Danforth, Tribal Chairwoman

XI. GENERAL TRIBAL COUNCIL (To obtain a copy of Members Only Materials, please visit the BC Support Office, located on the 2nd floor of the Norbert Hill Center and present Tribal I.D. card)

- A. **Schedule special General Tribal Council meeting, as directed by General Tribal Council, on February 20, 2017; and approve draft agenda** pp. 216-218
Sponsor: Lisa Summers, Tribal Secretary
- B. **Accept verified petition submitted by Sherrole Benton regarding request to change pre-employment drug testing for marijuana; and request appropriate analyses** pp. 219-232
Sponsor: Lisa Summers, Tribal Secretary
- C. **Petitioner Edward Delgado: Oneida panel of educators and retention of kindergarten students**
 1. **Accept financial analysis progress report** pp. 233-235
Sponsor: Larry Barton, Chief Financial Officer

EXCERPT FROM OCTOBER 26, 2016: (1) Motion by Lisa Summers to accept the verified petition submitted by Edward Delgado regarding Oneida panel of educators and retention of kindergarten students; and to request appropriate analyses, seconded by Fawn Billie. Motion carried unanimously. (2) Motion by Lisa Summers to send the verified petition to the Law, Finance, Legislative Reference, and Direct Report Offices for the legal, financial, legislative, and administrative analyses to be completed, seconded by Fawn Billie. Motion carried unanimously. (3) Motion by Lisa Summers to direct the Law, Finance, and Legislative Reference Offices to submit their analyses within sixty (60) days; and that a progress report be submitted within forty-five (45) days, seconded by David Jordan. Motion carried unanimously. (4) Motion by Lisa Summers to direct the Direct Reports Offices to submit the appropriate administrative analysis to the Tribal Secretary's Office within thirty (30) days, seconded by Jennifer Webster. Motion carried unanimously.

D. Review Fletcher, PLLC legal analysis of June 13, 2016, General Tribal Council meeting regarding per capita and determine next steps

pp. 236-249

Sponsor: Larry Barton, Chief Financial Officer

EXCERPT FROM AUGUST 24, 2016: (1) Motion by Melinda J. Danforth to take this item from the table, seconded by David Jordan. Motion carried unanimously. (2) Motion by Lisa Summers to adopt resolution titled Implementing Per Capita Plan for Fiscal Year 2017 to Fiscal Year 2021 Adopted by General Tribal Council on June 13, 2016, seconded by Brandon Stevens. Motion ruled out of order by Chairwoman Tina Danforth. (3) Motion by David Jordan to request an external third party attorney's office to provide an opinion regarding the per capita actions taken by the General Tribal Council during a special meeting on June 13, 2016, seconded by Lisa Summers. Motion carried with three opposed. (4) Amendment to the main motion by Melinda J. Danforth to request the Finance Office be the person to administer and request the legal opinion, seconded by Lisa Summers. Motion carried with one opposed and one abstention. (5) Motion by Lisa Summers to place this item on the next Business Committee work meeting agenda for further discussion on possible ways to continue to approach the concerns that were addressed here today, seconded by Jennifer Webster. Motion carried unanimously.

EXCERPT FROM AUGUST 10, 2016: Motion by Trish King to defer the remaining agenda items to the August 24, 2016, regular Business Committee meeting..., seconded by Lisa Summers. Motion carried unanimously.

EXCERPT FROM JULY 13, 2016: (1) Motion by Lisa Summers to adopt resolution titled Implementing Per Capita Plan for Fiscal Year 2017 to Fiscal Year 2021 Adopted by General Tribal Council on June 13, 2016, seconded by Brandon Stevens. Motion ruled out of order by Chairwoman Tina Danforth. (2) Motion by Lisa Summers to table this item to the July 27, 2016, regular Business Committee meeting, seconded by David Jordan. Motion carried unanimously.

XII. EXECUTIVE SESSION

A. REPORTS

1. Accept Oneida Total Integrated Enterprise FY '16 4th quarter report (9:30 a.m.-10:00 a.m.)

Chair: Butch Rentmeester
Liaison: Tehassi Hill, Councilman

pp. 250-256

2. Accept Oneida Engineering Science & Construction, LLC FY '16 4th quarter report (10:00 a.m.-10:30 a.m.)

Chair: Jacquelyn Zalim
Liaison: Tehassi Hill, Councilman

pp. 257-263

3. **Accept Bay Bancorporation Inc. FY '16 4th quarter report (10:30 a.m.-11:00 a.m.)** pp. 264-275
 President: Jeff Bowman
 Liaison: Tina Danforth, Tribal Chairwoman
4. **Accept Oneida Airport Hotel Corporation FY '16 4th quarter report (1:30 p.m.-2:00 p.m.)** pp. 276-309
 President: Janice Skenandore-Hirth
 Liaison: Trish King, Tribal Treasurer
5. **Accept Oneida Golf Enterprise FY '16 4th quarter report (2:00 p.m.-2:30 p.m.)** pp. 310-319
 Agent: Janice Skenandore-Hirth
 Liaison: Trish King, Tribal Treasurer
6. **Defer Oneida Seven Generations Corporation FY '16 4th quarter report to December 28, 2016, regular Business Committee meeting** pp. 320-322
 Agent: Pete King III
 Liaison: Tina Danforth, Tribal Chairwoman
7. **Accept Chief Counsel report – Jo Anne House, Chief Counsel** p. 323
8. **Intergovernmental Affairs & Communications – Nathan King, Director**
 - a. **Approve Government Policy Solutions – contract # 2016-1287** pp. 324-331
 - b. **Approve Pace LLP – contract # 2016-1286** pp. 332-338

B. STANDING ITEMS

1. **Land Claims Strategy (No Requested Action)**
2. **Oneida Golf Enterprise – Ladies Professional Golf Association update** pp. 339-350
(This item is scheduled to begin at 2:30 p.m.)
 Sponsor: Trish King, Tribal Treasurer
 - a. **Accept update regarding requested vendor list** pp. 351-354
 Sponsor: Larry Barton, Chief Financial Officer
 - b. **Accept update regarding procedure for draw down decisions** pp. 355-358
 Sponsor: Larry Barton, Chief Financial Officer

EXCERPT FROM NOVEMBER 23, 2016: (1) Motion by David Jordan to accept the update regarding Oneida Golf Enterprise – Ladies Professional Golf Association, seconded by Fawn Billie. Motion carried unanimously. (2) Motion by Jennifer Webster for the Chief Financial Officer to provide a top 30 vendor list with low and high spend ranges for each vendor to the Business Committee team representatives that include Secretary Lisa Summers, Treasurer Trish King, and Danelle Wilson no later than December 9, 2016, seconded by Lisa Summers. Motion carried unanimously. (3) Motion by Lisa Summers to direct the Chief Financial Officer to provide a written procedure identifying how draw down decisions are made against their approved loan agreement, including a flow chart of how the payments are processed once the requests come in from Oneida Golf Enterprise; and that's to be done by December 16, 2016, seconded by Fawn Billie. Motion carried unanimously.

C. UNFINISHED BUSINESS

- 1. Approve recommendation to terminate appointment of Oneida Library Board member** pp. 359-361
Sponsor: Tina Danforth, Tribal Chairwoman

EXCERPT FROM NOVEMBER 23, 2016: Motion by David Jordan to defer the request back to the Chair's Office; and to request a recommendation be provided at the December 14, 2016, regular Business Committee meeting, in accordance with section 6-5 of the Comprehensive Policy Governing Boards, Committees, and Commissions, seconded by Lisa Summers. Motion carried unanimously.

EXCERPT FROM NOVEMBER 9, 2016: Motion by Lisa Summers to defer the remaining items to the November 23, 2016, regular Business Committee unless there are items that the Tribal Secretary can identify that need immediate attention by the Business Committee at which time the Tribal Secretary will ask for an E-Poll to be conducted to address those items, seconded by Tehassi Hill. Motion carried unanimously.

- 2. Final Monitoring Report regarding on-site performance review of Indian Housing Block Grant and Indian Community Development Block grant programs:**

- a. Accept funding recommendation regarding finding # 2016-IHGB-3 (Not submitted)**

Sponsors: Dale Wheelock, Executive Director/Oneida Housing Authority; Jacque Boyle, Director/Development Division-Operations; Larry Barton, Chief Financial Officer

- b. Accept status report from Legislative Operating Committee regarding completion of tribal policy to address finding # 2016-IHGB-4 (Not submitted)**

Sponsor: Brandon Stevens, Councilman/ Legislative Operating Committee Chair

EXCERPT FROM NOVEMBER 23, 2016: (1) Motion by Lisa Summers to accept the Final Monitoring Report regarding on-site performance review of the Indian Housing Block grant and Indian Community Development Block grant programs; and to move the report to open session, seconded by Fawn Billie. Motion carried unanimously. (2) Motion by Lisa Summers to assign the Oneida Housing Authority Director, Development Division Director, and the Chief Financial Officer to work together to identify the funds for open finding # 2016-IHGB-3; and for the recommendation to be brought back to the December 14, 2016, regular Business Committee meeting for consideration, noting the deadline of February 20, 2017, to transfer the funds, seconded by David Jordan. Motion carried unanimously. (3) Motion by Jennifer Webster to assign the Legislative Operating Committee to bring back a status report on the completion of tribal policy that would address finding # 2016-IHGB-4 for the December 14, 2016, regular Business Committee meeting, seconded by Brandon Stevens. Motion carried unanimously.

EXCERPT FROM NOVEMBER 9, 2016: Motion by Lisa Summers to defer the remaining items to the November 23, 2016, regular Business Committee unless there are items that the Tribal Secretary can identify that need immediate attention by the Business Committee at which time the Tribal Secretary will ask for an E-Poll to be conducted to address those items, seconded by Tehassi Hill. Motion carried unanimously.

- 3. Accept update regarding Duck Creek Trail strategy**

pp. 362-364

Sponsors: Nathan King, Director/Intergovernmental Affairs & Communications

EXCERPT FROM NOVEMBER 23, 2016: Motion by Lisa Summers to direct the Intergovernmental Affairs & Communications Dept. and the Development Division to work with the Law Office regarding the right-of-way and signage issues; and to bring back an update to the December 14, 2016, regular Business Committee meeting, seconded by Jennifer Webster. Motion carried unanimously.

EXCERPT FROM NOVEMBER 9, 2016: Motion by Lisa Summers to defer the remaining items to the November 23, 2016, regular Business Committee unless there are items that the Tribal Secretary can identify that need immediate attention by the Business Committee at which time the Tribal Secretary will ask for an E-Poll to be conducted to address those items, seconded by Tehassi Hill. Motion carried unanimously.

D. NEW BUSINESS

1. **Approve fifty-four (54) new enrollments** pp. 365-370
Sponsor: Brandon Stevens, Councilman
2. **Review complaint # 2016-DR06-08** *(This item is scheduled to begin at 9:00 a.m.)* pp. 371-381
Sponsor: Lisa Summers, Tribal Secretary
3. **Review complaint # 2016-DR06-09** pp. 382-408
Sponsor: Melinda J. Danforth, Tribal Vice-Chairwoman
4. **Review complaint # 2016-DR06-10** pp. 409-417
Sponsor: Lisa Summers, Tribal Secretary
5. **Accept update regarding Tribal Ombudsman position** pp. 418-422
Sponsor: Melinda J. Danforth, Tribal Vice-Chairwoman
6. **Review results of Investigative Case # 16-61 and determine next steps** pp. 423-431
Sponsor: Lisa Summers, Tribal Secretary
7. **Approve Cooperative Governance Agreement between Oneida Nation and Town of Oneida – contract # 2016-1357** pp. 432-442
Sponsor: Lisa Summers, Tribal Secretary

XIII. ADJOURN

Posted on the Oneida Nation's official website, www.oneida-nsn.gov, at 4:00 p.m., on Thursday, December 8, 2016, pursuant to the Open Records and Open Meetings Law, section 7.17-1.

The meeting packet of the open session materials for this meeting is available by going to the Oneida Nation's official website at: <https://oneida-nsn.gov/government/business-committee/agendas-packets/>

For information about this meeting, please call the Business Committee Support Office at (920) 869-4364 or (800) 236-2214.

Oneida Business Committee Agenda Request

1. Meeting Date Requested: 12 / 14 / 16

2. General Information:

Session: Open Executive - See instructions for the applicable laws, then choose one:

Agenda Header:

Accept as Information only

Action - please describe:

Recognition of retirement of Judi Skenandore after 42 years of service to the Oneida Nation.

3. Supporting Materials

Report Resolution Contract

Other:

1.

3.

2.

4.

Business Committee signature required

4. Budget Information

Budgeted - Tribal Contribution Budgeted - Grant Funded Unbudgeted

5. Submission

Authorized Sponsor / Liaison:

Primary Requestor/Submitter: Debbie Danforth, Division Director/Operations
Your Name, Title / Dept. or Tribal Member

Additional Requestor: _____
Name, Title / Dept.

Additional Requestor: _____
Name, Title / Dept.

Oneida Business Committee Agenda Request

6. Cover Memo:

Describe the purpose, background/history, and action requested:

Judi Skenandore has submitted her official notice of retirement from the organization. She has been with the organization since her start here on August 17, 1974 serving 42 years of service for the Oneida Nation. We would like to recognize her years of service and congratulate her on her retirement from the organization. HRD will provide the Pendleton Blanket which will be presented to Judi.

- 1) Save a copy of this form for your records.
- 2) Print this form as a *.pdf *OR* print and scan this form in as *.pdf.
- 3) E-mail this form and all supporting materials in a **SINGLE** *.pdf file to: BC_Agenda_Requests@oneidanation.org

Oneida Business Committee Agenda Request

1. Meeting Date Requested: 12 / 14 / 16

2. General Information:

Session: Open Executive - See instructions for the applicable laws, then choose one:

Other - type reason

Agenda Header: Announcement/Recognition

Accept as Information only

Action - please describe:

Recognition and award of \$500 grant (checks) to six different schools.

Grant is being funded by the Exxon/Mobil Education Alliance program in cooperation with the Oneida One Stops and Oneida Casino Travel Center

3. Supporting Materials

Report Resolution Contract

Other:

1.

3.

2.

4.

Business Committee signature required

4. Budget Information

Budgeted - Tribal Contribution Budgeted - Grant Funded Unbudgeted

5. Submission

Authorized Sponsor / Liaison: Michele Doxtator, Area Manager/Retail Profits

Primary Requestor/Submitter: _____
Your Name, Title / Dept. or Tribal Member

Additional Requestor: _____
Name, Title / Dept.

Additional Requestor: _____
Name, Title / Dept.

Oneida Business Committee Agenda Request

6. Cover Memo:

Describe the purpose, background/history, and action requested:

Each year Oneida Retail submits schools for a \$500 grant offered to the Retailer who then provides the funds to the schools. The funds are reimbursed by the Exxon Mobil Education Alliance program after retailer presents funds to school/s.

The schools being awarded a \$500 grant each this year are: Oneida HS, Oneida ES, Pioneer ES, King ES, Lannoye ES, and Hillcrest ES.

We request the inclusion on the Agenda to ensure the award winners are clear the funds are provided by an Enterprise owned and operated by the Oneida Nation and are as a direct result of the Oneida Nation Community Efforts as a whole.

- 1) Save a copy of this form for your records.
- 2) Print this form as a *.pdf OR print and scan this form in as *.pdf.
- 3) E-mail this form and all supporting materials in a **SINGLE** *.pdf file to: BC_Agenda_Requests@oneidanation.org

Oneida Business Committee Agenda Request

1. Meeting Date Requested: 12 / 14 / 16

2. General Information:

Session: Open Executive - See instructions for the applicable laws, then choose one:

Agenda Header:

Accept as Information only

Action - please describe:

Kanolukhwásla – LZ Lambeau “Welcoming Home Wisconsin’s Vietnam Veterans” – Appreciation gift presented by Rick Erec

3. Supporting Materials

Report Resolution Contract

Other:

1.

3.

2.

4.

Business Committee signature required

4. Budget Information

Budgeted - Tribal Contribution Budgeted - Grant Funded Unbudgeted

5. Submission

Authorized Sponsor / Liaison:

Primary Requestor/Submitter: _____
Your Name, Title / Dept. or Tribal Member

Additional Requestor: _____
Name, Title / Dept.

Additional Requestor: _____
Name, Title / Dept.

Oneida Business Committee Agenda Request

1. Meeting Date Requested: 12 / 14 / 16

2. General Information:

Session: Open Executive - See instructions for the applicable laws, then choose one:

Agenda Header:

Accept as Information only

Action - please describe:

Administer Oath of Office to Tonya Webster and Gina Powless-Buenrostro to the Oneida Election Board.

3. Supporting Materials

Report Resolution Contract

Other:

1.

3.

2.

4.

Business Committee signature required

4. Budget Information

Budgeted - Tribal Contribution Budgeted - Grant Funded Unbudgeted

5. Submission

Authorized Sponsor / Liaison:

Primary Requestor/Submitter: Kathleen M. Metoxen, executive Tribal Clerk
Your Name, Title / Dept. or Tribal Member

Additional Requestor: _____
Name, Title / Dept.

Additional Requestor: _____
Name, Title / Dept.

Oneida Business Committee Agenda Request

6. Cover Memo:

Describe the purpose, background/history, and action requested:

The posting was in the October 6, 2016 issue of the Kalihwisaks for Two (2) of vacancies on the Oneida Election Board for a Three (3) year term with the deadline of November 4, 2016. There were Five (5) applicants for the Two (2) vacancies on the Oneida Election Board. The appointment was made on the November 23, 2016 BC Agenda.

- 1) Save a copy of this form for your records.
- 2) Print this form as a *.pdf *OR* print and scan this form in as *.pdf.
- 3) E-mail this form and all supporting materials in a **SINGLE** *.pdf file to: BC_Agenda_Requests@oneidation.org

Oneida Business Committee Agenda Request

1. Meeting Date Requested: 12 / 14 / 16

2. General Information:

Session: Open Executive - See instructions for the applicable laws, then choose one:

Agenda Header:

Accept as Information only

Action - please describe:

Administer Oath of Office to Vicki Cornelius to the Oneida Pow Wow Committee.

3. Supporting Materials

Report Resolution Contract

Other:

1.

3.

2.

4.

Business Committee signature required

4. Budget Information

Budgeted - Tribal Contribution Budgeted - Grant Funded Unbudgeted

5. Submission

Authorized Sponsor / Liaison:

Primary Requestor/Submitter: Kathleen M. Metoxen, executive Tribal Clerk
Your Name, Title / Dept. or Tribal Member

Additional Requestor: _____
Name, Title / Dept.

Additional Requestor: _____
Name, Title / Dept.

Oneida Business Committee Agenda Request

6. Cover Memo:

Describe the purpose, background/history, and action requested:

The posting was in the September 15, 2016 issue of the Kalihwisaks for Three (3) of vacancies on the Oneida Pow Wow Committee for a Three (3) year term with the deadline of October 10, 2016. There were Five (5) applicants for the Three (3) vacancies on the Oneida Pow Wow Committee. The appointment was made on the November 9, 2016 BC Agenda. She was schedule to take her oath at the November 23, 2016 but was unable to attend.

- 1) Save a copy of this form for your records.
- 2) Print this form as a *.pdf *OR* print and scan this form in as *.pdf.
- 3) E-mail this form and all supporting materials in a **SINGLE** *.pdf file to: BC_Agenda_Requests@oneidanation.org

Oneida Business Committee Agenda Request

1. Meeting Date Requested: 12 / 14 / 16

2. General Information:

Session: Open Executive - See instructions for the applicable laws, then choose one:

Agenda Header:

Accept as Information only

Action - please describe:

Approve November 23, 2016, regular meeting minutes

3. Supporting Materials

Report Resolution Contract

Other:

| | |
|--|-------------------------|
| 1. <input type="text" value="November 23, 2016, regular meeting minutes"/> | 3. <input type="text"/> |
| 2. <input type="text"/> | 4. <input type="text"/> |

Business Committee signature required

4. Budget Information

Budgeted - Tribal Contribution Budgeted - Grant Funded Unbudgeted

5. Submission

Authorized Sponsor / Liaison:

Primary Requestor/Submitter: Submitted by: Heather Heuer, Info. Mgmt. Spec./BC Support Office
Your Name, Title / Dept. or Tribal Member

Additional Requestor: _____
Name, Title / Dept.

Additional Requestor: _____
Name, Title / Dept.

DRAFT**Oneida Business Committee****Executive Session****9:00 a.m. Tuesday, November 22, 2016****Executive Conference Room, 2nd floor, Norbert Hill Center****Regular Meeting****9:00 a.m. Wednesday, November 23, 2016****BC Conference Room, 2nd floor, Norbert Hill Center****Reconvened Meeting****3:30 p.m. Tuesday, November 29, 2016****BC Conference Room, 2nd floor, Norbert Hill Center****Minutes – DRAFT****EXECUTIVE SESSION**

Present: Chairwoman Tina Danforth, Vice-Chairwoman Melinda J. Danforth, Treasurer Trish King, Secretary Lisa Summers, Council members: Fawn Billie, David Jordan, Brandon Stevens, Jennifer Webster;

Not Present: Councilman Tehassi Hill (Vacation);

Arrived at: ;

Others present: Louise Cornelius, Justin Fox, Janice Hirth-Skenandore, Kelly McAnally, Jo Anne House, Larry Barton, Dale Wheelock;

REGULAR MEETING

Present: Chairwoman Tina Danforth, Vice-Chairwoman Melinda J. Danforth, Treasurer Trish King, Council members: David Jordan, Brandon Stevens, Jennifer Webster;

Not Present: Councilman Tehassi Hill (Vacation);

Arrived at: Secretary Lisa Summers at 1:30 p.m., Councilwoman Fawn Billie at 10:47 a.m.;

Others present: Jo Anne House, Brad Graham, Bill Graham, Matt J Denny Sr., Gina Buenrostro, Jessica Wallenfang, Heather Heuer, Cathy Bachhuber, Mark A. Powless, Yasiman Metoxen, Floyd Silas Jr., Richard Hill-Elm, Yvonne Jourdan, Cathy Metoxen, Larry Barton, Chaz Wheelock, Nancy Barton, April Skenandore, Henrietta Cornelius, Paul Witek, Jeff Metoxen, Danelle Wilson, Dale Powless, Lisa Liggins, Laura Manthe, Chad Wilson, Megan White, Lou Williams, Marsha Danforth, Bridget John, Cherice Santiago, Sharon Mousseau, Yvette Peguero, Shelly Stevens, Lucy Neville, Carol Elm, Roger Yealey, Matt W. Denny, Wes Martin, Barb Erickson, Jolene Hensberger, Judith Nicholas, Scott Cottrell, Dorothy Antone, Brenda Haen, Victoria Johnson;

RECONVENED MEETING

Present: Vice-Chairwoman Melinda J. Danforth, Council members: Fawn Billie, Tehassi Hill, David Jordan, Brandon Stevens, Jennifer Webster;

Not Present: Chairwoman Tina Danforth, Treasurer Trish King, Secretary Lisa Summers;

Arrived at: ;

Others present: Larry Barton, Heather Heuer;

I. CALL TO ORDER AND ROLL CALL by Chairwoman Tina Danforth at 9:08 a.m.

DRAFT

For the record: Councilwoman Fawn Billie will be arriving for 10:30 a.m. Councilman Tehassi Hill is out of the office on vacation time. Secretary Lisa Summers is an interviews this morning. Chairwoman Tina Danforth departed for the afternoon session due to a personal emergency.

II. OPENING by Tribal Member Yvonne Jourdan**A. Special Recognition for Years of Service**

Sponsor: Geraldine Danforth, Area Manager/Human Resources Dept.

Special Recognition by Lucy Neville of Judith Nicholas (25 years); Special Recognition by Yvette Peguero of Shellyrae Stevens (25 years); Special Recognition by Scott Cottrell of Bridget John (30 years); Special Recognition by Dorothy Antone of Roger Yealey (30 years); Special Recognition by Brenda Haen of Victoria Johnson (30 years); Special Recognition by Sharon Mousseau of Yvette Peguero (35 years); and Special Recognition by Mark A. Powless of Reynold Danforth (25 years). Recognition by Matt Denny of the following individuals who were not present: Grace Delgado for 25 years of service, Lee Schuyler for 25 years of service, Wayne Metoxen for 25 years of service, Richard Cottrell for 25 years, Gail Danforth for 30 years of service, Lori Hill for 30 years of service, Colleen Cottrell for 30 years of service, and Cheryl Vandenberg for 40 years of service.

III. ADOPT THE AGENDA (00:18:21)

Motion by Melinda J. Danforth to adopt the agenda with the following changes: [Delete item 09.F. Approve procedural exception to the Disposition of Excess Tribal Property policy to allow Oneida Lab to sell Hematology Analyzer to outside vendor; Delete item 11.A.06 Accept "Save the Date" regarding Bemidji Area Indian Health Service – Annual Tribal Budget Formulation meeting – Bloomington, MN – December 6-8, 2016, as information; and Move item 14.E.14. Review request to reconsider denial of Oneida Personnel Commission – Attorney Retainer Agreement – contract # 2016-1084, to after section 05. Minutes], seconded by David Jordan. Motion carried unanimously:

Ayes: Melinda J. Danforth, David Jordan, Trish King, Brandon Stevens,
Jennifer Webster

Not Present: Fawn Billie, Tehassi Hill, Lisa Summers

IV. OATHS OF OFFICE (00:19:31) administered by Chairwoman Trina Danforth

A. Oneida Pow Wow Committee – Vicki Cornelius (Not Present), Yasiman Metoxen, Floyd Silas Jr.

B. Oneida Personnel Commission – Richard Hill-Elm

V. MINUTES**A. Approve corrected October 12, 2016, regular meeting minutes (00:22:55)**

Sponsor: Lisa Summers, Tribal Secretary

Motion by David Jordan to approve the corrected October 12, 2016 regular meeting minutes, seconded by Jennifer Webster. Motion carried unanimously:

Ayes: Melinda J. Danforth, David Jordan, Trish King, Brandon Stevens,
Jennifer Webster

Not Present: Fawn Billie, Tehassi Hill, Lisa Summers

DRAFT**B. Approve November 9, 2016, regular meeting minutes (00:23:30)**

Sponsor: Lisa Summers, Tribal Secretary

Motion by David Jordan to approve the November 9, 2016, regular meeting minutes, seconded by Jennifer Webster. Motion carried with one abstention:

Ayes: David Jordan, Trish King, Brandon Stevens, Jennifer Webster
 Abstained: Melinda J. Danforth
 Not Present: Fawn Billie, Tehassi Hill, Lisa Summers

VI. RESOLUTIONS**A. Adopt resolution entitled CY 2017 County Tribal Law Enforcement – Outagamie County (00:35:19)**

Sponsor: Joanie Buckley, Division Director/Internal Services

Motion by Jennifer Webster to adopt resolution # 11-23-16-A CY 2017 County Tribal Law Enforcement – Outagamie County, seconded by David Jordan. Motion carried unanimously:

Ayes: Melinda J. Danforth, David Jordan, Trish King, Brandon Stevens, Jennifer Webster
 Not Present: Fawn Billie, Tehassi Hill, Lisa Summers

B. Adopt resolution entitled CY 2017 County Tribal Law Enforcement – Brown County (00:42:30)

Sponsor: Joanie Buckley, Division Director/Internal Services

Motion by Jennifer Webster to adopt resolution # 11-23-16-B CY 2017 County Tribal Law Enforcement – Brown County, seconded by Brandon Stevens. Motion carried unanimously:

Ayes: Melinda J. Danforth, David Jordan, Trish King, Brandon Stevens, Jennifer Webster
 Not Present: Fawn Billie, Tehassi Hill, Lisa Summers

C. Adopt resolution entitled Oneida Business Committee Regular Meeting Schedule (00:43:03)

Sponsor: Lisa Summers, Tribal Secretary

Motion by Jennifer Webster to adopt resolution # 11-23-16-C Oneida Business Committee Regular Meeting Schedule, seconded by David Jordan. Motion carried unanimously:

Ayes: Melinda J. Danforth, David Jordan, Trish King, Brandon Stevens, Jennifer Webster
 Not Present: Fawn Billie, Tehassi Hill, Lisa Summers

VII. STANDING COMMITTEES**A. Legislative Operating Committee**

Chair: Brandon Stevens, Councilman

1. Accept November 2, 2016, Legislative Operating Committee meeting minutes (00:44:25)

Motion by Melinda J. Danforth to accept the November 2, 2016, Legislative Operating Committee meeting minutes, seconded by Brandon Stevens. Motion carried unanimously:

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Ayes: Melinda J. Danforth, David Jordan, Trish King, Brandon Stevens,
Jennifer Webster
Not Present: Fawn Billie, Tehassi Hill, Lisa Summers

2. Modify Oneida Business Committee agenda to have Reports and Executive Session moved up the agenda and placed just after Minutes (00:44:54)

Motion by Brandon Stevens to forward this item to a Business Committee work meeting, seconded by Jennifer Webster. Motion carried unanimously:

Ayes: Melinda J. Danforth, David Jordan, Trish King, Brandon Stevens,
Jennifer Webster
Not Present: Fawn Billie, Tehassi Hill, Lisa Summers

B. Finance Committee

Chair: Trish King, Tribal Treasurer

Chairwoman Tina Danforth departs at 10:13 a.m. on November 23, 2016, Vice-Chairwoman Melinda J. Danforth assumes responsibility of the Chair.

1. Approve November 14, 2016, Finance Committee meeting minutes (00:49:00)

Motion by David Jordan to approve the November 14, 2016, Finance Committee meeting minutes, seconded by Jennifer Webster. Motion carried unanimously:

Ayes: David Jordan, Trish King, Brandon Stevens, Jennifer Webster
Not Present: Tina Danforth, Fawn Billie, Tehassi Hill, Lisa Summers

C. Quality of Life Committee

Chair: Fawn Billie, Councilwoman

1. Accept September 15, 2016, Quality of Life Committee meeting minutes (1:32:37)

Motion by David Jordan to accept the September 15, 2016, Quality of Life Committee meeting minutes, seconded by Brandon Stevens. Motion carried unanimously:

Ayes: David Jordan, Trish King, Brandon Stevens, Jennifer Webster
Not Present: Tina Danforth, Fawn Billie, Tehassi Hill, Lisa Summers

VIII. APPOINTMENTS

Chairwoman Tina Danforth returns at 10:38 a.m. on November 23, 2016 and resumes responsibility of the Chair.

A. Approve recommendation to appoint Tonya Webster and Gina Powless-Buenrostro to Oneida Election Board (1:33:01)

Sponsor: Tina Danforth, Tribal Chairwoman

Motion by David Jordan to approve the recommendation to appoint Tonya Webster and Gina Powless-Buenrostro to the Oneida Election Board, seconded by Jennifer Webster. Motion carried unanimously:

Ayes: Melinda J. Danforth, David Jordan, Trish King, Brandon Stevens,
Jennifer Webster
Not Present: Fawn Billie, Tehassi Hill, Lisa Summers

DRAFT**IX. UNFINISHED BUSINESS**

Councilwoman Fawn Billie arrives at 10:47 a.m. on November 23, 2016

A. Review request from Tribal Member for assistance and donations to Standing Rock Sioux Tribe, Sacred Stone camp, and Oceti Sakowin Camps in their fight against Dakota Access Pipeline (1:33:43)

Sponsor: Lisa Summers, Tribal Secretary

EXCERPT FROM NOVEMBER 9, 2016: Motion by Lisa Summers to defer the remaining items to the November 23, 2016, regular Business Committee unless there are items that the Tribal Secretary can identify that need immediate attention by the Business Committee at which time the Tribal Secretary will ask for an E-Poll to be conducted to address those items, seconded by Tehassi Hill. Motion carried unanimously.

Motion by David Jordan to defer this item to a Business Committee work meeting; and to have further discussion around the bigger picture, seconded by Melinda J. Danforth. Motion withdrawn.

Motion by Melinda J. Danforth to accept and acknowledge the request from Frank Vandehei requesting assistance and donations for the Standing Rock Sioux Tribe, noting that the Business Committee already has this issue being discussed in a Business Committee work meeting and other initiatives are being pursued at this time; and to invite Frank Vandehei to the next Business Committee work meeting to have discussions with the committee on what he foresees for his request, seconded by David Jordan. Motion carried unanimously:

Vice-Chairwoman Melinda J. Danforth departs at 11:15 a.m. on November 23, 2016 before voting occurs.

| | |
|--------------|--|
| Ayes: | Fawn Billie, David Jordan, Trish King, Brandon Stevens, Jennifer Webster |
| Not Present: | Melinda J. Danforth, Tehassi Hill, Lisa Summers |

Treasurer Trish King departs at 11:26 a.m. on November 23, 2016

B. Approve draft letter to President Barack Obama regarding Dakota Access Pipeline (2:21:29)

Sponsor: Brandon Stevens, Councilman

EXCERPT FROM NOVEMBER 9, 2016: Motion by Lisa Summers to defer the remaining items to the November 23, 2016, regular Business Committee unless there are items that the Tribal Secretary can identify that need immediate attention by the Business Committee at which time the Tribal Secretary will ask for an E-Poll to be conducted to address those items, seconded by Tehassi Hill. Motion carried unanimously.

Motion by Brandon Stevens to approve the draft letter, with the comments and additions approval via E-Poll, seconded by Fawn Billie. Motion carried unanimously:

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|--------------|--|
| Ayes: | Fawn Billie, David Jordan, Brandon Stevens, Jennifer Webster |
| Not Present: | Melinda J. Danforth, Tehassi Hill, Trish King, Lisa Summers |

Treasurer Trish King returns at 11:44 a.m. on November 23, 2016

DRAFT**C. Review, discuss, and make any recommendations regarding letter from Ray Halbritter****(2:28:19)**

Sponsor: Tina Danforth, Tribal Chairwoman

EXCERPT FROM NOVEMBER 9, 2016: Motion by Lisa Summers to defer the remaining items to the November 23, 2016, regular Business Committee unless there are items that the Tribal Secretary can identify that need immediate attention by the Business Committee at which time the Tribal Secretary will ask for an E-Poll to be conducted to address those items, seconded by Tehassi Hill. Motion carried unanimously.

Motion by David Jordan to accept this item as information; and to add this item to the January 2017 work meeting of the Business Committee, seconded by Brandon Stevens. Motion carried with one abstention:

Ayes: Fawn Billie, David Jordan, Brandon Stevens, Jennifer Webster
 Abstained: Trish King
 Not Present: Melinda J. Danforth, Tehassi Hill, Lisa Summers

Vice-Chairwoman Melinda J. Danforth returns at 11:45 a.m. on November 23, 2016

D. Approve request to re-post one (1) vacancy on the Southeastern Oneida Tribal Services Advisory Board, as there were no applications received (2:40:51)

Sponsor: Tina Danforth, Tribal Chairwoman

EXCERPT FROM NOVEMBER 9, 2016: Motion by Lisa Summers to defer the remaining items to the November 23, 2016, regular Business Committee unless there are items that the Tribal Secretary can identify that need immediate attention by the Business Committee at which time the Tribal Secretary will ask for an E-Poll to be conducted to address those items, seconded by Tehassi Hill. Motion carried unanimously.

Motion by Fawn Billie to re-post one (1) vacancy on the Southeastern Oneida Tribal Services Advisory Board, as there were no applications received, seconded by David Jordan. Motion carried unanimously:

Ayes: Melinda J. Danforth, Fawn Billie, David Jordan, Trish King, Brandon Stevens, Jennifer Webster
 Not Present: Tehassi Hill, Lisa Summers

E. Approve request to post one (1) vacancy on the Southeastern Oneida Tribal Services Advisory Board, due to resignation (2:41:16)

Sponsor: Lisa Summers, Tribal Secretary

EXCERPT FROM NOVEMBER 9, 2016: Motion by Lisa Summers to defer the remaining items to the November 23, 2016, regular Business Committee unless there are items that the Tribal Secretary can identify that need immediate attention by the Business Committee at which time the Tribal Secretary will ask for an E-Poll to be conducted to address those items, seconded by Tehassi Hill. Motion carried unanimously.

Motion by Melinda J. Danforth to post one (1) vacancy on the Southeastern Oneida Tribal Services Advisory Board, due to resignation, seconded by Jennifer Webster. Motion carried unanimously:

Ayes: Melinda J. Danforth, Fawn Billie, David Jordan, Trish King, Brandon Stevens, Jennifer Webster
 Not Present: Tehassi Hill, Lisa Summers

~~F. Approve procedural exception to the Disposition of Excess Tribal Property policy to allow Oneida Lab to see Hematology Analyzer to outside vendor~~~~Sponsor: Melinda J. Danforth, Tribal Vice Chairwoman~~

~~*EXCERPT FROM NOVEMBER 9, 2016: Motion by Lisa Summers to defer the remaining items to the November 23, 2016, regular Business Committee unless there are items that the Tribal*~~

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~~Secretary can identify that need immediate attention by the Business Committee at which time the Tribal Secretary will ask for an E-Poll to be conducted to address those items, seconded by Tehassi Hill. Motion carried unanimously.~~

Item deleted at the adoption of the agenda.

X. NEW BUSINESS**A. Post one (1) vacancy on Oneida Personnel Commission to fulfill a full term length (2:41:50)**

Sponsor: Lisa Summers, Tribal Secretary

Motion by Melinda J. Danforth to post one (1) vacancy on Oneida Personnel Commission to fulfill a full term length, seconded by David Jordan. Motion carried unanimously:

Ayes: Melinda J. Danforth, Fawn Billie, David Jordan, Trish King, Brandon Stevens, Jennifer Webster

Not Present: Tehassi Hill, Lisa Summers

Councilman David Jordan departs at 11:47 a.m. on November 23, 2016

Chairwoman Tina Danforth departs at 12:10 p.m. on November 23, 2016, Vice-Chairwoman Melinda J. Danforth assumes responsibility of the Chair.

B. Approve concept paper regarding CIP # 16-014 – Tsyunhehkwa Storage/Shelter (2:42:23)

Sponsor: Troy Parr, Assistant Division Director/Development

Motion by Jennifer Webster to approve the concept paper regarding CIP # 16-014 – Tsyunhehkwa Storage/Shelter, seconded by Fawn Billie. Motion carried unanimously:

Ayes: Fawn Billie, David Jordan, Trish King, Brandon Stevens, Jennifer Webster

Not Present: Tina Danforth, Tehassi Hill, Lisa Summers

For the record: Vice-Chairwoman Melinda J. Danforth stated that I asked for how this project relates to the repositioning of Tsyunhehkwa as well as the agricultural strategy to be included so we can have that discussion.

C. Approve postings of vacancies to occur through 2017 for Boards, Committees, Commissions, and Tribal Corporations (4:29:23)

Sponsor: Lisa Summers, Tribal Secretary

Motion by Trish King to approve posting the vacancies to occur through 2017 for Boards, Committees, Commissions, and Tribal Corporations, seconded by Brandon Stevens. Motion carried unanimously:

Ayes: Fawn Billie, David Jordan, Trish King, Brandon Stevens, Lisa Summers, Jennifer Webster

Not Present: Tina Danforth, Tehassi Hill

D. Review letter regarding law enforcement agencies and Tribal Members on tribal land (4:32:59)

Sponsor: Tina Danforth, Tribal Chairwoman

Motion by David Jordan to defer this item to the December 14, 2016, regular Business Committee meeting, seconded by Jennifer Webster. Motion carried with one opposed:

Ayes: Fawn Billie, David Jordan, Trish King, Brandon Stevens, Jennifer Webster

Opposed: Lisa Summers

Not Present: Tina Danforth, Tehassi Hill

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Councilwoman Fawn Billie departs at 2:58 p.m. on November 23, 2016

E. Accept letter from Great Lakes Inter-Tribal Council Inc. regarding Native American Adolescent Wellness Treatment Center Project as information (4:33:30)

Sponsor: Tina Danforth, Tribal Chairwoman

Motion by Lisa Summers to accept the letter from Great Lakes Inter-Tribal Council Inc. regarding Native American Adolescent Wellness Treatment Center Project as information, seconded by Jennifer Webster. Motion carried unanimously:

Ayes: David Jordan, Trish King, Brandon Stevens, Lisa Summers, Jennifer Webster

Not Present: Tina Danforth, Fawn Billie, Tehassi Hill

F. Enter E-Poll results into the record in accordance with BC SOP Conducting Electronic Voting:

Sponsor: Lisa Summers, Tribal Secretary

1. Failed request to allow procedural exception to Disposition of Excess Tribal Property Policy for Oneida Lab to sell Hematology Analyzer to outside vendor (4:35:04)

Requestor: Debra Danforth, Division Director/Comprehensive Health Operations

Motion by Jennifer Webster to enter the E-Poll results into the record for failed request to allow procedural exception to Disposition of Excess Tribal Property Policy for Oneida Lab to sell Hematology Analyzer to outside vendor, seconded by Lisa Summers. Motion carried unanimously:

Ayes: David Jordan, Trish King, Brandon Stevens, Lisa Summers, Jennifer Webster

Not Present: Tina Danforth, Fawn Billie, Tehassi Hill

XI. TRAVEL

A. TRAVEL REQUESTS

1. Enter E-Poll results into the record in accordance with BC SOP Conducting Electronic Voting:

Sponsor: Lisa Summers, Tribal Secretary

a. Failed 100% grant funded travel request – Councilwoman Jennifer Webster – 2016 Head Start Program Performance Standards – Chandler, AZ – December 12-16, 2016 (4:36:27)

Requestor: Jennifer Webster, Councilwoman

Motion by Lisa Summers to enter the E-Poll results into the record for failed 100% grant funded travel request – Councilwoman Jennifer Webster – 2016 Head Start Program Performance Standards – Chandler, AZ – December 12-16, 2016, seconded by David Jordan. Motion carried unanimously:

Ayes: David Jordan, Trish King, Brandon Stevens, Lisa Summers, Jennifer Webster

Not Present: Tina Danforth, Fawn Billie, Tehassi Hill

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- 2. Enter E-Poll results into the record in accordance with BC SOP Conducting Electronic Voting:**
- a. Failed travel request – Secretary Lisa Summers – State-Tribal Relations Committee Caucus & Intertribal Criminal Justice meetings – Danbury, WI – November 16-17, 2016 (4:37:10)**
Requestor: Lisa Summers, Tribal Secretary

Motion by Jennifer Webster to enter E-Poll results into the record for failed travel request – Secretary Lisa Summers – State-Tribal Relations Committee Caucus & Intertribal Criminal Justice meetings – Danbury, WI – November 16-17, 2016, seconded by David Jordan. Motion carried unanimously:

Ayes: David Jordan, Trish King, Brandon Stevens, Lisa Summers, Jennifer Webster
Not Present: Tina Danforth, Fawn Billie, Tehassi Hill

- 3. Approve travel request – Secretary Lisa Summers – Post-Presidential Election results review – Prior Lake, MN – December 3-4, 2016 (4:37:40)**

Motion by Jennifer Webster to approve the travel request – Secretary Lisa Summers and Councilwoman Fawn Billie – Post-Presidential Election results review – Prior Lake, MN – December 3-4, 2016, seconded by David Jordan. Motion carried with one abstention:

Ayes: David Jordan, Trish King, Brandon Stevens, Jennifer Webster
Abstained: Lisa Summers
Not Present: Tina Danforth, Fawn Billie, Tehassi Hill

Councilwoman Fawn Billie returns at 3:15 p.m. on November 23, 2016

- 4. Approve travel request – Chairwoman Tina Danforth – Bureau of Indian Affairs Midwest Regional Director Retirement Celebration of Diane Rosen & Post-Presidential Election results review – Prior Lake, MN – December 4-6, 2016 (4:38:34)**

Motion by David Jordan to approve the travel request – Chairwoman Tina Danforth – Bureau of Indian Affairs Midwest Regional Director Retirement Celebration of Diane Rosen & Post-Presidential Election results review, with the exception of any food costs associated with the retirement celebration according to the GTC directive – Prior Lake, MN – December 4-6, 2016, seconded by Jennifer Webster. Motion carried unanimously:

Ayes: Fawn Billie, David Jordan, Trish King, Brandon Stevens, Lisa Summers, Jennifer Webster
Not Present: Tina Danforth, Tehassi Hill

Motion by Lisa Summers to approve Chairwoman Tina Danforth to participate in the Annual Tribal Budget Formulation meeting – Bloomington, MN – December 6-8, 2016, seconded by Jennifer Webster. Motion withdrawn.

- 5. Approve travel request – Vice-Chairwoman Melinda J. Danforth – Bemidji Area Indian Health Service – Annual Tribal Budget Formulation meeting – Bloomington, MN – December 6-8, 2016 (4:55:03)**

Motion by Lisa Summers to approve the travel request – Vice-Chairwoman Melinda J. Danforth and Chairwoman Tina Danforth – Bemidji Area Indian Health Service – Annual Tribal Budget Formulation meeting – Bloomington, MN – December 6-8, 2016, seconded by Jennifer Webster. Motion carried unanimously:

Ayes: Melinda J. Danforth, Fawn Billie, David Jordan, Trish King, Brandon Stevens, Jennifer Webster
Not Present: Tehassi Hill, Lisa Summers

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- ~~6. Accept "Save the Date" regarding Bemidji Area Indian Health Service—Annual Tribal Budget Formulation meeting—Bloomington, MN—December 6-8, 2016, as information Sponsor: Tina Danforth, Tribal Chairwoman~~

Item deleted at the adoption of the agenda.

Motion by Jennifer Webster to recess at 12:18 p.m. until 1:30 p.m. on November 23, 2016, seconded by Brandon Stevens. Motion carried unanimously: **(3:13:31)**

Ayes: Fawn Billie, Trish King, Brandon Stevens, Jennifer Webster
Not Present: Tina Danforth, David Jordan, Tehassi Hill, Lisa Summers

Meeting called to order by Vice-Chairwoman Melinda J. Danforth at 1:38 p.m. on November 23, 2016

Chairwoman Tina Danforth, Treasurer Trish King, and Councilman Tehassi Hill not present on November 23, 2016.

XII. REPORTS *(This section of the agenda is scheduled to begin at 1:30 p.m.)*

A. UNFINISHED REPORTS

- 1. Accept Governmental Services Division FY '16 4th quarter report (3:14:29)**
(1:30 p.m.-1:50 p.m.)

Sponsor: Liz Somers, Division Director/Governmental Services

EXCERPT FROM NOVEMBER 9, 2016: Motion by Lisa Summers to defer the remaining items to the November 23, 2016, regular Business Committee unless there are items that the Tribal Secretary can identify that need immediate attention by the Business Committee at which time the Tribal Secretary will ask for an E-Poll to be conducted to address those items, seconded by Tehassi Hill. Motion carried 17unanimously.

Motion by David Jordan to accept the Governmental Services Division FY '16 4th quarter report, seconded by Jennifer Webster. Motion carried unanimously:

Ayes: Fawn Billie, David Jordan, Brandon Stevens, Lisa Summers, Jennifer Webster
Not Present: Tina Danforth, Tehassi Hill, Trish King

Treasurer Trish King arrives at 1:41 p.m. on November 23, 2016

- 2. Accept Oneida Election Board FY '16 4th quarter report (3:44:15)**
(1:50 p.m.-2:05 p.m.)

Chair: Racquel Hill
Liaison: Melinda J. Danforth, Tribal Vice-Chairwoman

EXCERPT FROM NOVEMBER 9, 2016: Motion by Lisa Summers to defer the remaining items to the November 23, 2016, regular Business Committee unless there are items that the Tribal Secretary can identify that need immediate attention by the Business Committee at which time the Tribal Secretary will ask for an E-Poll to be conducted to address those items, seconded by Tehassi Hill. Motion carried unanimously.

Motion by Brandon Stevens to accept the Oneida Election Board FY '16 4th quarter report, seconded by Jennifer Webster. Motion carried unanimously:

Ayes: Fawn Billie, David Jordan, Trish King, Brandon Stevens, Lisa Summers, Jennifer Webster
Not Present: Tina Danforth, Tehassi Hill

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Motion by Lisa Summers that the topic of requiring Boards, Committees, and Commission representatives to be present during the reports be clarified through the Legislative Operating Committee's work on Comprehensive Policy Governing Boards, Committees, Commissions, seconded by Fawn Billie. Motion carried unanimously:

Ayes: Fawn Billie, David Jordan, Trish King, Brandon Stevens, Lisa Summers, Jennifer Webster
Not Present: Tina Danforth, Tehassi Hill

3. Accept Oneida Nation Commission on Aging FY '16 4th quarter report (3:16:16)
(2:05 p.m.-2:20 p.m.)

Chair: Patricia Lassila
Liaison: Melinda J. Danforth, Tribal Vice-Chairwoman

EXCERPT FROM NOVEMBER 9, 2016: Motion by Lisa Summers to defer the remaining items to the November 23, 2016, regular Business Committee unless there are items that the Tribal Secretary can identify that need immediate attention by the Business Committee at which time the Tribal Secretary will ask for an E-Poll to be conducted to address those items, seconded by Tehassi Hill. Motion carried unanimously.

Motion by Lisa Summers to accept the Oneida Nation Commission on Aging FY '16 4th quarter report, seconded by David Jordan. Motion carried unanimously:

Ayes: Fawn Billie, David Jordan, Trish King, Brandon Stevens, Lisa Summers, Jennifer Webster
Not Present: Tina Danforth, Tehassi Hill

4. Accept Oneida Gaming Commission FY '16 4th quarter report (3:27:29)
(2:20 p.m.-2:35 p.m.)

Chair: Mark A. Powless
Liaison: Brandon Stevens, Councilman

EXCERPT FROM NOVEMBER 9, 2016: Motion by Lisa Summers to defer the remaining items to the November 23, 2016, regular Business Committee unless there are items that the Tribal Secretary can identify that need immediate attention by the Business Committee at which time the Tribal Secretary will ask for an E-Poll to be conducted to address those items, seconded by Tehassi Hill. Motion carried unanimously.

Motion by Lisa Summers to accept the Oneida Gaming Commission FY '16 4th quarter report, seconded by Jennifer Webster. Motion carried unanimously:

Ayes: Fawn Billie, David Jordan, Trish King, Brandon Stevens, Lisa Summers, Jennifer Webster
Not Present: Tina Danforth, Tehassi Hill

B. OPERATIONAL REPORTS

1. Defer Comprehensive Health Division FY '16 4th quarter report to the December 14, 2016, regular Business Committee meeting (3:53:18)

Sponsors: Debra Danforth Division Director/Comprehensive Health Operations; Dr. Ravinder Vir, Medical Director/Medical Operations

Motion by Jennifer Webster to defer the Comprehensive Health Division FY '16 4th quarter report to the December 14, 2016, regular Business Committee meeting, seconded by Fawn Billie. Motion carried unanimously:

Ayes: Fawn Billie, David Jordan, Trish King, Brandon Stevens, Lisa Summers, Jennifer Webster
Not Present: Tina Danforth, Tehassi Hill

DRAFT**C. BOARDS, COMMITTEES, AND COMMISSIONS****1. Accept Environmental Resources Board FY '16 4th quarter report (4:12:15)**
(2:35 p.m.-2:50 p.m.)

Chair: Marlene Garvey
Liaison: Tehassi Hill, Councilman

Motion by Brandon Stevens to request Councilman Tehassi Hill, as the Liaison, coordinate the effort on the back forty mine and how the tribe can be more involved, as well as community outreach, seconded by Lisa Summers. Motion carried unanimously:

Ayes: Fawn Billie, David Jordan, Trish King, Brandon Stevens, Lisa Summers,
Jennifer Webster
Not Present: Tina Danforth, Tehassi Hill

Motion by David Jordan to accept the Environmental Resources Board FY '16 4th quarter report, seconded by Lisa Summers. Motion carried unanimously:

Ayes: Fawn Billie, David Jordan, Trish King, Brandon Stevens, Lisa Summers,
Jennifer Webster
Not Present: Tina Danforth, Tehassi Hill

Secretary Lisa Summers departs at 2:36 p.m. on November 23, 2016

Secretary Lisa Summers returns at 2:38 p.m. on November 23, 2016

2. Accept Oneida Child Protective Board FY '16 4th quarter report (3:54:02)
(2:50 p.m.-3:05 p.m.)

Chair: Dale Powless
Liaison: Lisa Summers, Tribal Secretary

Motion by Lisa Summers to accept the Oneida Child Protective Board FY '16 4th quarter report, seconded by Trish King. Motion carried unanimously:

Ayes: Fawn Billie, David Jordan, Trish King, Brandon Stevens, Lisa Summers,
Jennifer Webster
Not Present: Tina Danforth, Tehassi Hill

3. Accept Oneida Library Board FY '16 4th quarter report (4:25:58)
(3:05 p.m.-3:20 p.m.)

Vice-Chair: Roxanne Anderson
Liaison: Fawn Billie, Councilwoman

Motion by David Jordan to accept the Oneida Library Board FY '16 4th quarter report, seconded by Fawn Billie. Motion carried unanimously:

Ayes: Fawn Billie, David Jordan, Trish King, Brandon Stevens, Lisa Summers,
Jennifer Webster
Not Present: Tina Danforth, Tehassi Hill

4. Accept Land Claims Commission FY '16 quarter report (5:46:39)
(3:20 p.m.-3:35 p.m.)

Vice-Chair: Loretta Metoxen
Liaison: Brandon Stevens, Councilman

Motion by Lisa Summers to defer the Land Claims Commission FY '16 4th quarter report to the December 14, 2016, seconded by David Jordan. Motion carried unanimously:

Ayes: Fawn Billie, David Jordan, Trish King, Brandon Stevens, Lisa Summers,
Jennifer Webster
Not Present: Tina Danforth, Tehassi Hill

DRAFT**5. Accept Oneida Nation School Board FY '16 4th quarter report (5:46:09)
(3:35 p.m.-3:50 p.m.)**

Chair: Debra Danforth
Liaison: Fawn Billie, Councilwoman

Motion by David Jordan to accept the Oneida Nation School Board FY '16 4th quarter report, seconded by Jennifer Webster. Motion carried unanimously:

Ayes: Fawn Billie, David Jordan, Trish King, Brandon Stevens, Lisa Summers,
Jennifer Webster
Not Present: Tina Danforth, Tehassi Hill

XIII. GENERAL TRIBAL COUNCIL (To obtain a copy of Members Only Materials, please visit the BC Support Office, located on the 2nd floor of the Norbert Hill Center and present Tribal I.D. card)**A. Petitioners Madelyn Genskow and Yvonne Metivier: Incentive stipends for Oneida Nation elections starting 2017**

EXCERPT FROM NOVEMBER 9, 2016: Motion by Lisa Summers to defer the remaining items to the November 23, 2016, regular Business Committee unless there are items that the Tribal Secretary can identify that need immediate attention by the Business Committee at which time the Tribal Secretary will ask for an E-Poll to be conducted to address those items, seconded by Tehassi Hill. Motion carried unanimously.

EXCERPT FROM OCTOBER 26, 2016: (1) Motion by Brandon Stevens to accept the updated legislative analysis, seconded by Lisa Summers. Motion carried unanimously. (2) Motion by Jennifer Webster to accept the progress report regarding the legal analysis, seconded by Lisa Summers. Motion carried unanimously. (3) Motion by Lisa Summers to accept the progress report regarding the financial analysis, seconded by Jennifer Webster. Motion carried unanimously.

EXCERPT FROM AUGUST 24, 2016: (1) Motion by Lisa Summers to accept the verified petition submitted by Madelyn Genskow and Yvonne Metivier regarding incentive stipends for Oneida Nation elections starting 2017, seconded by Jennifer Webster. Motion carried unanimously. (2) Motion by Lisa Summers to send the verified petition to the Law, Finance, and Legislative Reference, and Direct Report Offices for the legal, financial, legislative, and administrative analyses to be completed, seconded by Fawn Billie. Motion carried unanimously. (3) Motion by Lisa Summers to direct the Law, Finance, and Legislative Reference Offices to submit their analyses to the Tribal Secretary's Office within sixty (60) days; and that a progress report be submitted in forty-five (45) days, seconded by Jennifer Webster. Motion carried unanimously. (4) Motion by Lisa Summers to direct the Direct Report Offices to submit appropriate administrative analyses regarding the petition to be submitted to the Tribal Secretary's Office within thirty (30) days, seconded by Jennifer Webster. Motion carried unanimously.

1. Accept legal analysis (4:55:56)

Sponsor: Jo Anne House, Chief Counsel

Motion by Brandon Stevens to accept the legal analysis regarding Petitioners Madelyn Genskow and Yvonne Metivier: Incentive stipends for Oneida Nation elections starting 2017, seconded by Lisa Summers. Motion carried unanimously:

Ayes: Fawn Billie, David Jordan, Trish King, Brandon Stevens, Lisa Summers,
Jennifer Webster
Not Present: Tina Danforth, Tehassi Hill

DRAFT**2. Accept financial analysis (4:56:29)**

Sponsor: Larry Barton, Chief Financial Officer

Motion by Jennifer Webster to accept the financial analysis regarding Petitioners Madelyn Genskow and Yvonne Metivier: Incentive stipends for Oneida Nation elections starting 2017, seconded by Fawn Billie.

Motion carried unanimously:

Ayes: Fawn Billie, David Jordan, Trish King, Brandon Stevens, Lisa Summers, Jennifer Webster

Not Present: Tina Danforth, Tehassi Hill

Motion by Jennifer Webster to request the Tribal Secretary's Office to bring back a proposed special General Tribal Council meeting date now that all analysis have been completed, seconded by Fawn Billie.

Motion carried unanimously:

Ayes: Fawn Billie, David Jordan, Trish King, Brandon Stevens, Lisa Summers, Jennifer Webster

Not Present: Tina Danforth, Tehassi Hill

B. Forward resolution entitled Designation of the Oneida Judiciary as the Judiciary Authorized Pursuant to Article V – Judiciary of the Oneida Nation Constitution, to the General Tribal Council for consideration (4:59:24)

Sponsor: Melinda J. Danforth, Tribal Vice-Chairwoman

EXCERPT FROM NOVEMBER 9, 2016: Motion by Lisa Summers to defer the remaining items to the November 23, 2016, regular Business Committee unless there are items that the Tribal Secretary can identify that need immediate attention by the Business Committee at which time the Tribal Secretary will ask for an E-Poll to be conducted to address those items, seconded by Tehassi Hill. Motion carried unanimously.

Motion by Lisa Summers to approve the resolution entitled Designation of the Oneida Judiciary as the Judiciary Authorized Pursuant to Article V – Judiciary of the Oneida Nation Constitution to be sent to the General Tribal Council for consideration; and to direct the Tribal Secretary to bring back a recommended date for that to occur, seconded by Fawn Billie. Motion carried unanimously:

Ayes: Fawn Billie, David Jordan, Trish King, Brandon Stevens, Lisa Summers, Jennifer Webster

Not Present: Tina Danforth, Tehassi Hill

C. Accept petition submitted by Frank Vandehei regarding support for Oceti Sakowin Camp and the Standing Rock Sioux Tribe in their battle against the Dakota Access Pipeline, as information only, as it does not meet the number of required signatures in accordance with the Oneida Nation Constitution and By-laws to call a special GTC meeting; and direct the Tribal Secretary to notify the petitioner of the action taken (5:01:12)

Sponsor: Lisa Summers, Tribal Secretary

EXCERPT FROM NOVEMBER 9, 2016: Motion by Lisa Summers to defer the remaining items to the November 23, 2016, regular Business Committee unless there are items that the Tribal Secretary can identify that need immediate attention by the Business Committee at which time the Tribal Secretary will ask for an E-Poll to be conducted to address those items, seconded by Tehassi Hill. Motion carried unanimously.

Motion by Jennifer Webster to accept the petition submitted by Frank Vandehei regarding support for Oceti Sakowin Camp and the Standing Rock Sioux Tribe in their battle against the Dakota Access Pipeline, as information only, as it does not meet the number of required signatures in accordance with the Oneida Nation Constitution and By-laws to call a special GTC meeting; and to direct the Tribal Secretary to notify the petitioner of the action taken, seconded by Brandon Stevens. Motion carried unanimously:

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Ayes: Fawn Billie, David Jordan, Trish King, Brandon Stevens, Lisa Summers, Jennifer Webster
 Not Present: Tina Danforth, Tehassi Hill

D. Enter E-Poll results into the record in accordance with BC SOP Conducting Electronic Voting:

- 1. Approved 2017 Annual General Tribal Council meeting agenda, noting the remaining back up materials must be submitted by Noon on Friday, November 18, 2016 (5:02:30)**
 Sponsor: Lisa Summers, Tribal Secretary

Motion by Jennifer Webster to enter the E-Poll results into the record for approved 2017 Annual General Tribal Council meeting agenda, noting the remaining back up materials must be submitted by Noon on Friday, November 18, 2016, seconded by David Jordan. Motion carried unanimously:

Ayes: Fawn Billie, David Jordan, Trish King, Brandon Stevens, Lisa Summers, Jennifer Webster
 Not Present: Tina Danforth, Tehassi Hill

E. Approve 2017 Annual General Tribal Council meeting materials and reports (5:03:12)
 Sponsor: Lisa Summers, Tribal Secretary

Motion by Lisa Summers to table this item; and for Tribal Secretary's Office to bring back the identified changes, seconded by Jennifer Webster. Motion carried unanimously:

Ayes: Fawn Billie, David Jordan, Trish King, Brandon Stevens, Lisa Summers, Jennifer Webster
 Not Present: Tina Danforth, Tehassi Hill

Motion by Tehassi Hill to take this item from the table, seconded by Fawn Billie. Motion carried unanimously: **(6:16:51)**

Ayes: Fawn Billie, Tehassi Hill, David Jordan, Brandon Stevens, Jennifer Webster
 Not Present: Tina Danforth, Trish King, Lisa Summers

Motion by Tehassi Hill to enter the E-Poll results into the record for the approved 2017 Annual General Tribal Council meeting materials and reports, seconded by Brandon Stevens. Motion carried unanimously: **(6:17:06)**

Ayes: Fawn Billie, Tehassi Hill, David Jordan, Brandon Stevens, Jennifer Webster
 Not Present: Tina Danforth, Trish King, Lisa Summers

F. Request Tribal Chair to call a special General Tribal Council meeting to consider the Employment Law (5:47:26)

Sponsor: Councilman Brandon Stevens/Legislative Operating Committee Chair

Motion by Lisa Summers to accept this request as information; and to request the Tribal Secretary to include this topic in one of the upcoming special General Tribal Council agendas, seconded by Fawn Billie. Motion carried unanimously:

Ayes: Fawn Billie, David Jordan, Trish King, Brandon Stevens, Lisa Summers, Jennifer Webster
 Not Present: Tina Danforth, Tehassi Hill

DRAFT**XIV. EXECUTIVE SESSION****A. UNFINISHED REPORTS****1. Accept Intergovernmental Affairs & Communications report from October 25, 2016 – Nathan King, Director (5:51:57)**

EXCERPT FROM NOVEMBER 9, 2016: Motion by Lisa Summers to defer the remaining items to the November 23, 2016, regular Business Committee unless there are items that the Tribal Secretary can identify that need immediate attention by the Business Committee at which time the Tribal Secretary will ask for an E-Poll to be conducted to address those items, seconded by Tehassi Hill. Motion carried unanimously.

EXCERPT FROM OCTOBER 26, 2016: Motion by Jennifer Webster to defer the Intergovernmental Affairs & Communications report to the November 9, 2016, seconded by Lisa Summers. Motion carried unanimously.

Motion by Lisa Summers to accept the Intergovernmental Affairs & Communications report from October 25, 2016, seconded by Brandon Stevens. Motion carried unanimously:

Ayes: Fawn Billie, David Jordan, Trish King, Brandon Stevens, Lisa Summers, Jennifer Webster

Not Present: Tina Danforth, Tehassi Hill

Motion by Lisa Summers to direct the Secretary Lisa Summers and Councilwoman Fawn Billie to work together to set up a special Business Committee work meeting to address the follow-ups that were presented during this report, seconded by David Jordan. Motion carried unanimously:

Ayes: Fawn Billie, David Jordan, Trish King, Brandon Stevens, Lisa Summers, Jennifer Webster

Not Present: Tina Danforth, Tehassi Hill

Motion by Lisa Summers to direct the Law Office to send correspondence to the appropriate law officials regarding the identified gaming concerns, seconded by Jennifer Webster. Motion carried unanimously:

Ayes: Fawn Billie, David Jordan, Trish King, Brandon Stevens, Lisa Summers, Jennifer Webster

Not Present: Tina Danforth, Tehassi Hill

2. Accept Chief Counsel report from November 8, 2016 – Jo Anne House, Chief Counsel (5:53:27)

EXCERPT FROM NOVEMBER 9, 2016: Motion by Lisa Summers to defer the remaining items to the November 23, 2016, regular Business Committee unless there are items that the Tribal Secretary can identify that need immediate attention by the Business Committee at which time the Tribal Secretary will ask for an E-Poll to be conducted to address those items, seconded by Tehassi Hill. Motion carried unanimously.

Motion by David Jordan to accept the Chief Counsel report from November 8, 2016, seconded by Trish King. Motion carried unanimously:

Ayes: Fawn Billie, David Jordan, Trish King, Brandon Stevens, Lisa Summers, Jennifer Webster

Not Present: Tina Danforth, Tehassi Hill

DRAFT**B. REPORTS****1. Accept Intergovernmental Affairs & Communications report – Nathan King, Director
(10:00 a.m.-11:30 a.m.) (5:53:49)**

Motion by Lisa Summers to accept the Intergovernmental Affairs & Communications report, seconded by Fawn Billie. Motion carried unanimously:

Ayes: Fawn Billie, David Jordan, Trish King, Brandon Stevens, Lisa Summers,
Jennifer Webster
Not Present: Tina Danforth, Tehassi Hill

**2. Accept Gaming General Manager report – Louise Cornelius, Gaming General Manager
(5:54:09)**

Motion by Lisa Summers to accept the Gaming General Manager report, seconded by David Jordan. Motion carried unanimously:

Ayes: Fawn Billie, David Jordan, Trish King, Brandon Stevens, Lisa Summers,
Jennifer Webster
Not Present: Tina Danforth, Tehassi Hill

Motion by Lisa Summers to request the Gaming General Manager to provide a written chronology to the Business Committee of the wage adjustments and where the budget was accounted for for the adjustments by December 1, 2016, seconded by Jennifer Webster. Motion carried unanimously:

Ayes: Fawn Billie, David Jordan, Trish King, Brandon Stevens, Lisa Summers,
Jennifer Webster
Not Present: Tina Danforth, Tehassi Hill

3. Accept Chief Counsel report – Jo Anne House, Chief Counsel (5:55:03)

Motion by David Jordan to accept the Chief Counsel report, seconded by Lisa Summers. Motion carried unanimously:

Ayes: Fawn Billie, David Jordan, Trish King, Brandon Stevens, Lisa Summers,
Jennifer Webster
Not Present: Tina Danforth, Tehassi Hill

C. STANDING ITEMS**1. Land Claims Strategy (No Requested Action)****2. Oneida Golf Enterprise – Ladies Professional Golf Association (5:55:23)
(This item is scheduled to begin at 9:00 a.m.)**

Motion by David Jordan to accept the update regarding Oneida Golf Enterprise – Ladies Professional Golf Association, seconded by Fawn Billie. Motion carried unanimously:

Ayes: Fawn Billie, David Jordan, Trish King, Brandon Stevens, Lisa Summers,
Jennifer Webster
Not Present: Tina Danforth, Tehassi Hill
For the record: Vice-Chairwoman Melinda J. Danforth stated there is a note here that says for the record that we are going to note that an updated format will be provided for the next report.

Motion by Jennifer Webster for the Chief Financial Officer to provide a top 30 vendor list with low and high spend ranges for each vendor to the Business Committee team representatives that include Secretary Lisa Summers, Treasurer Trish King, and Danelle Wilson no later than December 9, 2016, seconded by Lisa Summers. Motion carried unanimously:

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Ayes: Fawn Billie, David Jordan, Trish King, Brandon Stevens, Lisa Summers,
Jennifer Webster
Not Present: Tina Danforth, Tehassi Hill

Motion by Lisa Summers to direct the Chief Financial Officer to provide a written procedure identifying how draw down decisions are made against their approved loan agreement, including a flow chart of how the payments are processed once the requests come in from Oneida Golf Enterprise; and that's to be done by December 16, 2016, seconded by Fawn Billie. Motion carried unanimously:

Ayes: Fawn Billie, David Jordan, Trish King, Brandon Stevens, Lisa Summers,
Jennifer Webster
Not Present: Tina Danforth, Tehassi Hill

D. AUDIT COMMITTEE

Chair: Tehassi Hill, Councilman

1. Approve Table Games audit and lift confidentiality requirement to allow Tribal Members to view the audit (5:57:00)

EXCERPT FROM NOVEMBER 9, 2016: Motion by Lisa Summers to defer the remaining items to the November 23, 2016, regular Business Committee unless there are items that the Tribal Secretary can identify that need immediate attention by the Business Committee at which time the Tribal Secretary will ask for an E-Poll to be conducted to address those items, seconded by Tehassi Hill. Motion carried unanimously.

Motion by David Jordan to approve the Table Games audit and lift confidentiality requirement to allow Tribal Members to view the audit, seconded by Lisa Summers. Motion carried unanimously:

Ayes: Fawn Billie, David Jordan, Trish King, Brandon Stevens, Lisa Summers,
Jennifer Webster
Not Present: Tina Danforth, Tehassi Hill

E. UNFINISHED BUSINESS

1. Accept close-out report and recommendations regarding complaint # 2016-DR07-01 (5:57:22)

Sponsor: Lisa Summers, Tribal Secretary

EXCERPT FROM NOVEMBER 9, 2016: Motion by Lisa Summers to defer the remaining items to the November 23, 2016, regular Business Committee unless there are items that the Tribal Secretary can identify that need immediate attention by the Business Committee at which time the Tribal Secretary will ask for an E-Poll to be conducted to address those items, seconded by Tehassi Hill. Motion carried unanimously.

EXCERPT FROM OCTOBER 26, 2016: Motion by Lisa Summers to accept the update; and to acknowledge that a close-out report with the final recommendations will be made for the November 9, 2016, regular Business Committee meeting agenda, seconded by Jennifer Webster. Motion carried unanimously.

EXCERPT FROM OCTOBER 12, 2016: Motion by Tehassi Hill to accept the verbal update; and to request an update be brought back in two (2) weeks, seconded by Jennifer Webster. Motion carried unanimously.

Motion by David Jordan to accept the report and close-out complaint # 2016-DR07-01 with one addition: ask the sub-team to meet with the Direct Report to provide the identified follow-up, seconded by Fawn Billie. Motion carried unanimously:

Ayes: Fawn Billie, David Jordan, Trish King, Brandon Stevens, Lisa Summers,
Jennifer Webster
Not Present: Tina Danforth, Tehassi Hill

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Motion by Jennifer Webster to accept the Subsequent Concerns memorandum dated October 28, 2016, for the record; and to request the liaisons schedule a joint meeting to address the identified concerns, seconded by Lisa Summers. Motion carried unanimously:

Ayes: Fawn Billie, David Jordan, Trish King, Brandon Stevens, Lisa Summers, Jennifer Webster
Not Present: Tina Danforth, Tehassi Hill

2. Approve limited waiver of sovereign immunity Ignite Payments, LLC. – contract # 2016-0979 (5:58:36)

Sponsor: Joanie Buckley, Division Director/Internal Services

EXCERPT FROM NOVEMBER 9, 2016: Motion by Lisa Summers to defer the remaining items to the November 23, 2016, regular Business Committee unless there are items that the Tribal Secretary can identify that need immediate attention by the Business Committee at which time the Tribal Secretary will ask for an E-Poll to be conducted to address those items, seconded by Tehassi Hill. Motion carried unanimously.

Motion by Lisa Summers to approve the limited waiver of sovereign immunity Ignite Payments, LLC. – contract # 2016-0979, seconded by Jennifer Webster. Motion carried unanimously:

Ayes: Fawn Billie, David Jordan, Trish King, Brandon Stevens, Lisa Summers, Jennifer Webster
Not Present: Tina Danforth, Tehassi Hill

3. Accept Final Monitoring Report regarding on-site performance review of Indian Housing Block Grant and Indian Community Development Block grant programs; and defer concern # 2016IHBG-1, concern # 2016-IHBG-2, finding # 2016-IHBG-3, and finding # 2016IHBG-4, to the appropriate parties for follow-up (5:58:59)

Sponsor: Lisa Summers, Tribal Secretary

EXCERPT FROM NOVEMBER 9, 2016: Motion by Lisa Summers to defer the remaining items to the November 23, 2016, regular Business Committee unless there are items that the Tribal Secretary can identify that need immediate attention by the Business Committee at which time the Tribal Secretary will ask for an E-Poll to be conducted to address those items, seconded by Tehassi Hill. Motion carried unanimously.

Motion by Lisa Summers to accept the Final Monitoring Report regarding on-site performance review of the Indian Housing Block grant and Indian Community Development Block grant programs; and to move the report to open session, seconded by Fawn Billie. Motion carried unanimously:

Ayes: Fawn Billie, David Jordan, Trish King, Brandon Stevens, Lisa Summers, Jennifer Webster
Not Present: Tina Danforth, Tehassi Hill

Motion by Lisa Summers to assign the Oneida Housing Authority Director, Development Division Director, and the Chief Financial Officer to work together to identify the funds for open finding # 2016-IHGB-3; and for the recommendation to be brought back to the December 14, 2016, regular Business Committee meeting for consideration, noting the deadline of February 20, 2017, to transfer the funds, seconded by David Jordan. Motion carried unanimously:

Ayes: Fawn Billie, David Jordan, Trish King, Brandon Stevens, Lisa Summers, Jennifer Webster
Not Present: Tina Danforth, Tehassi Hill

Motion by Jennifer Webster to assign the Legislative Operating Committee to bring back a status report on the completion of tribal policy that would address finding # 2016-IHBG-4 for the December 14, 2016, regular Business Committee meeting, seconded by Brandon Stevens. Motion carried unanimously:

Ayes: Fawn Billie, David Jordan, Trish King, Brandon Stevens, Lisa Summers, Jennifer Webster

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Not Present: Tina Danforth, Tehassi Hill

4. Review request regarding Right-of-Way and Signage Issue (6:01:57)

Sponsor: Melinda J. Danforth, Tribal Vice-Chairwoman

EXCERPT FROM NOVEMBER 9, 2016: Motion by Lisa Summers to defer the remaining items to the November 23, 2016, regular Business Committee unless there are items that the Tribal Secretary can identify that need immediate attention by the Business Committee at which time the Tribal Secretary will ask for an E-Poll to be conducted to address those items, seconded by Tehassi Hill. Motion carried unanimously.

Motion by Lisa Summers to direct the Intergovernmental Affairs & Communications Dept. and the Development Division to work with the Law Office regarding the right-of-way and signage issues; and to bring back an update to the December 14, 2016, regular Business Committee meeting, seconded by Jennifer Webster. Motion carried unanimously:

Ayes: Fawn Billie, David Jordan, Trish King, Brandon Stevens, Lisa Summers, Jennifer Webster

Not Present: Tina Danforth, Tehassi Hill

5. Review, discuss and make any recommendations regarding amendment agreement – contract # 2014-1164 (6:02:32)

Sponsor: Tina Danforth, Tribal Chairwoman

EXCERPT FROM NOVEMBER 9, 2016: Motion by Lisa Summers to defer the remaining items to the November 23, 2016, regular Business Committee unless there are items that the Tribal Secretary can identify that need immediate attention by the Business Committee at which time the Tribal Secretary will ask for an E-Poll to be conducted to address those items, seconded by Tehassi Hill. Motion carried unanimously.

Motion by Jennifer Webster to send the request back to the Comprehensive Health Division Directors and Human Resources Dept. for updating of the sign-off and completion, seconded by David Jordan. Motion carried unanimously:

Ayes: Fawn Billie, David Jordan, Trish King, Brandon Stevens, Lisa Summers, Jennifer Webster

Not Present: Tina Danforth, Tehassi Hill

6. Review, discuss and make any recommendations regarding amendment agreement – contract # 2012-1280 (6:03:08)

Sponsor: Tina Danforth, Tribal Chairwoman

EXCERPT FROM NOVEMBER 9, 2016: Motion by Lisa Summers to defer the remaining items to the November 23, 2016, regular Business Committee unless there are items that the Tribal Secretary can identify that need immediate attention by the Business Committee at which time the Tribal Secretary will ask for an E-Poll to be conducted to address those items, seconded by Tehassi Hill. Motion carried unanimously.

Motion by Jennifer Webster to send the request back to the Comprehensive Health Division Directors and Human Resources Dept. for updating of the sign-off and completion, seconded by Brandon Stevens.

Motion carried unanimously:

Ayes: Fawn Billie, David Jordan, Trish King, Brandon Stevens, Lisa Summers, Jennifer Webster

Not Present: Tina Danforth, Tehassi Hill

DRAFT**7. Review, discuss and make any recommendations regarding amendment agreement – contract # 2012-1281 (6:03:41)**

Sponsor: Tina Danforth, Tribal Chairwoman

EXCERPT FROM NOVEMBER 9, 2016: Motion by Lisa Summers to defer the remaining items to the November 23, 2016, regular Business Committee unless there are items that the Tribal Secretary can identify that need immediate attention by the Business Committee at which time the Tribal Secretary will ask for an E-Poll to be conducted to address those items, seconded by Tehassi Hill. Motion carried unanimously.

Motion by Lisa Summers to send the request back to the Comprehensive Health Division Directors and Human Resources Dept. for updating of the sign-off and completion, seconded by Fawn Billie. Motion carried unanimously:

Ayes: Fawn Billie, David Jordan, Trish King, Brandon Stevens, Lisa Summers, Jennifer Webster

Not Present: Tina Danforth, Tehassi Hill

8. Approve appointment termination of Oneida Library Board member (6:03:59)

Sponsor: Fawn Billie, Councilwoman; Liaison/Oneida Library Board

EXCERPT FROM NOVEMBER 9, 2016: Motion by Lisa Summers to defer the remaining items to the November 23, 2016, regular Business Committee unless there are items that the Tribal Secretary can identify that need immediate attention by the Business Committee at which time the Tribal Secretary will ask for an E-Poll to be conducted to address those items, seconded by Tehassi Hill. Motion carried unanimously.

Motion by David Jordan to defer the request back to the Chair's Office; and to request a recommendation be provided at the December 14, 2016, regular Business Committee meeting, in accordance with section 6-5. of the Comprehensive Policy Governing Boards, Committees, and Commissions, seconded by Lisa Summers. Motion carried unanimously:

Ayes: Fawn Billie, David Jordan, Trish King, Brandon Stevens, Lisa Summers, Jennifer Webster

Not Present: Tina Danforth, Tehassi Hill

9. Approve Fletcher Law, PLCC. – contract # 2016-1209 (6:04:32)

Sponsor: Larry Barton, Chief Financial Officer

EXCERPT FROM NOVEMBER 9, 2016: Motion by Lisa Summers to defer the remaining items to the November 23, 2016, regular Business Committee unless there are items that the Tribal Secretary can identify that need immediate attention by the Business Committee at which time the Tribal Secretary will ask for an E-Poll to be conducted to address those items, seconded by Tehassi Hill. Motion carried unanimously.

Motion by David Jordan to approve the Fletcher Law, PLCC. – contract # 2016-1209, seconded by Lisa Summers. Motion carried unanimously:

Ayes: Fawn Billie, David Jordan, Trish King, Brandon Stevens, Lisa Summers, Jennifer Webster

Not Present: Tina Danforth, Tehassi Hill

DRAFT**10. Review, discuss, and make any recommendations regarding directive from October 30, 2016, General Tribal Council meeting regarding reappointments of Oneida Total Integrated Enterprise Board (6:04:53)**

Sponsor: Tina Danforth, Tribal Chairwoman

EXCERPT FROM NOVEMBER 9, 2016: Motion by Lisa Summers to defer the remaining items to the November 23, 2016, regular Business Committee unless there are items that the Tribal Secretary can identify that need immediate attention by the Business Committee at which time the Tribal Secretary will ask for an E-Poll to be conducted to address those items, seconded by Tehassi Hill. Motion carried unanimously.

Motion by David Jordan to accept the request from Councilman David Jordan to take the team lead; and to coordinate meetings with the assigned team, seconded by Fawn Billie. Motion carried unanimously:

Ayes: Fawn Billie, David Jordan, Trish King, Brandon Stevens, Lisa Summers, Jennifer Webster

Not Present: Tina Danforth, Tehassi Hill

Motion by David Jordan to request the Tribal Secretary's Office to post the Oneida Total Integrated Enterprises Board positions for a minimum of thirty (30) days; and to coordinate the applicants for selection, seconded by Lisa Summers. Motion carried unanimously:

Ayes: Fawn Billie, David Jordan, Trish King, Brandon Stevens, Lisa Summers, Jennifer Webster

Not Present: Tina Danforth, Tehassi Hill

11. Accept update regarding attendance of direct reports at mandatory active shooter training as information (6:06:01)

Sponsor: Melinda J. Danforth, Tribal Vice-Chairwoman

EXCERPT FROM NOVEMBER 9, 2016: Motion by Lisa Summers to defer the remaining items to the November 23, 2016, regular Business Committee unless there are items that the Tribal Secretary can identify that need immediate attention by the Business Committee at which time the Tribal Secretary will ask for an E-Poll to be conducted to address those items, seconded by Tehassi Hill. Motion carried unanimously.

Motion by Lisa Summers to accept the update regarding attendance of direct reports at mandatory active shooter training as information, seconded by Fawn Billie. Motion carried unanimously:

Ayes: Fawn Billie, David Jordan, Trish King, Brandon Stevens, Lisa Summers, Jennifer Webster

Not Present: Tina Danforth, Tehassi Hill

12. Review and determine next steps regarding request from Attorney Sam Wayne (6:06:30)

Sponsor: Tina Danforth, Tribal Chairwoman

EXCERPT FROM NOVEMBER 9, 2016: Motion by Lisa Summers to defer the remaining items to the November 23, 2016, regular Business Committee unless there are items that the Tribal Secretary can identify that need immediate attention by the Business Committee at which time the Tribal Secretary will ask for an E-Poll to be conducted to address those items, seconded by Tehassi Hill. Motion carried unanimously.

Motion by David Jordan to approve the presented draft correspondence for the Tribal Chair's signature, seconded by Fawn Billie. Motion carried unanimously:

Ayes: Fawn Billie, David Jordan, Trish King, Brandon Stevens, Lisa Summers, Jennifer Webster

Not Present: Tina Danforth, Tehassi Hill

DRAFT**13. Enter E-Poll results into the record in accordance with BC SOP Conducting Electronic Voting**

Sponsor: Lisa Summers, Tribal Secretary

a. Approved draft correspondence regarding Ancillary Facilities for Tribal Chair's signature (6:06:57)

Requestor: Jo Anne House, Chief Counsel

EXCERPT FROM NOVEMBER 9, 2016: Motion by Lisa Summers to defer the remaining items to the November 23, 2016, regular Business Committee unless there are items that the Tribal Secretary can identify that need immediate attention by the Business Committee at which time the Tribal Secretary will ask for an E-Poll to be conducted to address those items, seconded by Tehassi Hill. Motion carried unanimously.

Motion by Lisa Summers to enter the E-Poll results for approved draft correspondence regarding Ancillary Facilities for Tribal Chair's signature, seconded by David Jordan. Motion carried unanimously:

Ayes: Fawn Billie, David Jordan, Trish King, Brandon Stevens, Lisa Summers, Jennifer Webster

Not Present: Tina Danforth, Tehassi Hill

14. Review request to reconsider denial of Oneida Personnel Commission – Attorney Retainer Agreement – contract # 2016-1084 (00:23:56)

Sponsor: David Jordan, Councilman; Liaison Alternate/Oneida Personnel Commission

EXCERPT FROM NOVEMBER 9, 2016: Motion by Lisa Summers to defer the remaining items to the November 23, 2016, regular Business Committee unless there are items that the Tribal Secretary can identify that need immediate attention by the Business Committee at which time the Tribal Secretary will ask for an E-Poll to be conducted to address those items, seconded by Tehassi Hill. Motion carried unanimously.

Motion by David Jordan to approve the current attorney contract for up to six (6) months until the RFP process has been completed, seconded by Melinda J. Danforth. Motion carried unanimously:

Ayes: Melinda J. Danforth, David Jordan, Trish King, Brandon Stevens, Jennifer Webster

Not Present: Fawn Billie, Tehassi Hill, Lisa Summers

15. Review complaint # 2016-DR06-06 (6:07:25)

Sponsor: Lisa Summers, Tribal Secretary

EXCERPT FROM NOVEMBER 9, 2016: Motion by Lisa Summers to defer the remaining items to the November 23, 2016, regular Business Committee unless there are items that the Tribal Secretary can identify that need immediate attention by the Business Committee at which time the Tribal Secretary will ask for an E-Poll to be conducted to address those items, seconded by Tehassi Hill. Motion carried unanimously.

Motion by Jennifer Webster that the Business Committee determined that there is merit to complaint # 2016-DR06-06; and assigns Councilman Brandon Stevens, Vice-Chairwoman Melinda J. Danforth, and Councilwoman Fawn Billie to investigate the complaint, seconded by Brandon Stevens. Motion carried unanimously:

Ayes: Fawn Billie, David Jordan, Trish King, Brandon Stevens, Lisa Summers, Jennifer Webster

Not Present: Tina Danforth, Tehassi Hill

Motion by Jennifer Webster to direct the Tribal Secretary to provide the complainant written notice of investigation; and to submit it to the master file, seconded by Fawn Billie. Motion carried unanimously:

DRAFT

Ayes: Fawn Billie, David Jordan, Trish King, Brandon Stevens, Lisa Summers,
Jennifer Webster
Not Present: Tina Danforth, Tehassi Hill

16. Review complaint # 2016-DR06-07 (6:08:39)

Sponsor: Lisa Summers, Tribal Secretary

EXCERPT FROM NOVEMBER 9, 2016: Motion by Lisa Summers to defer the remaining items to the November 23, 2016, regular Business Committee unless there are items that the Tribal Secretary can identify that need immediate attention by the Business Committee at which time the Tribal Secretary will ask for an E-Poll to be conducted to address those items, seconded by Tehassi Hill. Motion carried unanimously.

Motion by Fawn Billie to deem complaint # 2016-DR06-07 has having no merit; and to direct the Tribal Secretary to provide the complainant written response indicating the complaint was review, deemed no merit, and submit to the master file, seconded by Brandon Stevens. Motion carried unanimously:

Ayes: Fawn Billie, David Jordan, Trish King, Brandon Stevens, Lisa Summers,
Jennifer Webster
Not Present: Tina Danforth, Tehassi Hill

17. Review complaint # 2016-DR11-01(6:09:28)

Sponsor: Lisa Summers, Tribal Secretary

EXCERPT FROM NOVEMBER 9, 2016: Motion by Lisa Summers to defer the remaining items to the November 23, 2016, regular Business Committee unless there are items that the Tribal Secretary can identify that need immediate attention by the Business Committee at which time the Tribal Secretary will ask for an E-Poll to be conducted to address those items, seconded by Tehassi Hill. Motion carried unanimously.

Motion by Jennifer Webster to deem complaint # 2016-DR11-01 as having merit; and to assign Treasurer Trish King, Councilwoman Jennifer Webster, and Secretary Lisa Summers to investigate the complaint, seconded by David Jordan. Motion carried unanimously:

Ayes: Fawn Billie, David Jordan, Trish King, Brandon Stevens, Lisa Summers,
Jennifer Webster
Not Present: Tina Danforth, Tehassi Hill

Motion by Jennifer Webster to direct the Tribal Chair to provide the complainant written notice of investigation; and to submit a copy to the Tribal Secretary's Office for the master file, seconded by David Jordan. Motion carried unanimously:

Ayes: Fawn Billie, David Jordan, Trish King, Brandon Stevens, Lisa Summers,
Jennifer Webster
Not Present: Tina Danforth, Tehassi Hill

DRAFT**18. Review complaint # 2016-DR14-01 (6:10:24)**

Sponsor: Lisa Summers, Tribal Secretary

EXCERPT FROM NOVEMBER 9, 2016: Motion by Lisa Summers to defer the remaining items to the November 23, 2016, regular Business Committee unless there are items that the Tribal Secretary can identify that need immediate attention by the Business Committee at which time the Tribal Secretary will ask for an E-Poll to be conducted to address those items, seconded by Tehassi Hill. Motion carried unanimously.

Motion by Jennifer Webster to deem complaint # 2016-DR14-01 as having merit; and to assign Treasurer Trish King, Councilwoman Jennifer Webster, and Secretary Lisa Summers to investigate the complaint, seconded by David Jordan. Motion carried unanimously:

Ayes: Fawn Billie, David Jordan, Trish King, Brandon Stevens, Lisa Summers, Jennifer Webster

Not Present: Tina Danforth, Tehassi Hill

Motion by Jennifer Webster to direct the Tribal Chair to provide the complainant written notice of investigation; and to submit a copy to the Tribal Secretary's Office for the master file, seconded by David Jordan. Motion carried unanimously:

Ayes: Fawn Billie, David Jordan, Trish King, Brandon Stevens, Lisa Summers, Jennifer Webster

Not Present: Tina Danforth, Tehassi Hill

F. NEW BUSINESS**1. Approve Oneida Housing Authority recommendation for Oneida Business Committee to identify funds to pay for U.S. Dept. of Housing and Urban Development (HUD) Final Monitoring Report finding # 2016IHBG-3 (6:11:16)**

Sponsor: Dale Wheelock, Executive Director/Oneida Housing Authority

Motion by David Jordan to accept this item as information, seconded by Lisa Summers. Motion carried unanimously:

Ayes: Fawn Billie, David Jordan, Trish King, Brandon Stevens, Lisa Summers, Jennifer Webster

Not Present: Tina Danforth, Tehassi Hill

2. Approve lease for Oneida Emergency Food Pantry

Sponsor: Lisa Summers, Tribal Secretary

Motion by Lisa Summers to table this item, seconded by David Jordan. Motion carried unanimously: **(6:11:44)**

Ayes: Fawn Billie, David Jordan, Trish King, Brandon Stevens, Lisa Summers, Jennifer Webster

Not Present: Tina Danforth, Tehassi Hill

Motion by Tehassi Hill to take this item from the table, seconded by Fawn Billie. Motion carried unanimously: **(6:18:54)**

Ayes: Fawn Billie, Tehassi Hill, David Jordan, Brandon Stevens, Jennifer Webster

Not Present: Tina Danforth, Trish King, Lisa Summers

Motion by Jennifer Webster to approve the lease contingent receiving Oneida Seven Generations Corporation approval of the lease, seconded by David Jordan. Motion carried unanimously: **(6:19:14)**

Ayes: Fawn Billie, Tehassi Hill, David Jordan, Brandon Stevens, Jennifer Webster

Not Present: Tina Danforth, Trish King, Lisa Summers

DRAFT

Motion by David Jordan to recess at 4:37 p.m. on Wednesday, November 23, 2016, until Monday, November 28, 2016, at 9:00 a.m., seconded by Lisa Summers. Motion carried unanimously: **(6:12:35)**

Ayes: Fawn Billie, David Jordan, Trish King, Brandon Stevens, Lisa Summers, Jennifer Webster

Not Present: Tina Danforth, Tehassi Hill

For the record on Monday, November 28, 2016: Secretary Lisa Summers stated the Business Committee was to reconvene our regularly scheduled Business Committee meeting from Wednesday, November 23rd to this morning at 9:00 a.m. However, due to unforeseen circumstances the Vice-Chair who was scheduled to chair the meeting was not able to be present this morning. So therefore we are going to adjust. We are going to go into a Business Committee work meeting instead and then we will reconvene the Business Committee meeting when she is able to make herself available and she'll be letting us know what that schedule looks like later on this morning or early this afternoon. So that is the announcement that I have to make today and we will go ahead and go into our executive conference room and convene a BC work meeting versus a regular Business Committee meeting and then we'll take the Gaming Commission item up as well. Then anything that we decide we need to take action on we can do via E-Poll as long as it complies with the SOP that we have in place. Just to note also for the record that present today was Treasurer Trish King, Councilman David Jordan, Councilwoman Fawn Billie, Councilman Brandon Stevens, and Councilwoman Jennifer Webster. And that concludes my remarks. Thank you.

Meeting called to order by Vice-Chairwoman Melinda J. Danforth at 3:34 p.m. on November 29, 2016

Chairwoman Tina Danforth, Treasurer Trish King, and Secretary Lisa Summers, not present on November 29, 2016.

Motion by Brandon Stevens to come out of recess at 3:35 p.m. on November 29, 2016, seconded by Tehassi Hill. Motion carried unanimously: **(6:16:34)**

Ayes: Fawn Billie, Tehassi Hill, David Jordan, Brandon Stevens, Jennifer Webster

Not Present: Tina Danforth, Trish King, Lisa Summers

XV. ADJOURN

Motion by David Jordan to adjourn at 3:38 p.m. on November 29, 2016, seconded by Fawn Billie. Motion carried unanimously:

Ayes: Fawn Billie, Tehassi Hill, David Jordan, Brandon Stevens, Jennifer Webster

Not Present: Tina Danforth, Trish King, Lisa Summers

Minutes prepared by Heather Heuer, Information Management Specialist
Minutes approved as presented/corrected on _____.

Lisa Summers, Secretary
ONEIDA BUSINESS COMMITTEE

Oneida Business Committee Agenda Request

1. Meeting Date Requested: 12 / 14 / 16

2. General Information:

Session: Open Executive - See instructions for the applicable laws, then choose one:

Agenda Header:

Accept as Information only

Action - please describe:

Accept November 16, 2016 LOC Meeting

3. Supporting Materials

Report Resolution Contract

Other:

| | |
|--|-------------------------|
| 1. <input type="text" value="11/16/16 LOC Minutes"/> | 3. <input type="text"/> |
| 2. <input type="text"/> | 4. <input type="text"/> |

Business Committee signature required

4. Budget Information

Budgeted - Tribal Contribution Budgeted - Grant Funded Unbudgeted

5. Submission

Authorized Sponsor / Liaison:

Primary Requestor/Submitter: Jennifer Falck, LRO Director
Your Name, Title / Dept. or Tribal Member

Additional Requestor: _____
Name, Title / Dept.

Additional Requestor: _____
Name, Title / Dept.

Oneida Business Committee Agenda Request

6. Cover Memo:

Describe the purpose, background/history, and action requested:

- 1) Save a copy of this form for your records.
- 2) Print this form as a *.pdf *OR* print and scan this form in as *.pdf.
- 3) E-mail this form and all supporting materials in a **SINGLE** *.pdf file to: BC_Agenda_Requests@oneidanation.org



Oneida Nation
 Oneida Business Committee
 Legislative Operating Committee
 PO Box 365 • Oneida, WI 54155-0365
Oneida-nsn.gov



LEGISLATIVE OPERATING COMMITTEE MEETING MINUTES

Business Committee Conference Room-2nd Floor Norbert Hill Center

November 16, 2016 9:00 a.m.

Present: Brandon Stevens, Tehassi Hill, Jennifer Webster, David P. Jordan, Fawn Billie

Others Present: Jennifer Falck, Tani Thurner, Clorissa Santiago, Candice Skenandore, Rhiannon Metoxen, Krystal John, Terry Cornelius, Jo Anne House, Diane McLester-Heim, Rae Skenandore, Nancy Barton, Danelle Wilson, Bonnie Pigman, Gene Schubert, Cathy Bachhuber

I. Call to Order and Approval of the Agenda

Brandon Stevens called the November 16, 2016 Legislative Operating Committee meeting to order at 9:00 a.m.

Motion by Tehassi Hill to adopt the agenda; seconded by Jennifer Webster. Motion carried unanimously.

II. Minutes to be approved

1. November 2, 2016 LOC Meeting Minutes

Motion by David P. Jordan to approve the November 2, 2016 LOC meeting minutes; seconded by Tehassi Hill. Motion carried unanimously.

III. Current Business

1. Tobacco Law Amendments

Motion by Jennifer Webster to approve the Tobacco Law Amendments public meeting packet and direct the LRO to hold a public meeting on December 15, 2016; seconded by Tehassi Hill. Motion carried unanimously.

2. Hunting, Fishing, Trapping Law Amendments

Motion by David P. Jordan to approve the Hunting, Fishing, Trapping Law Amendments public meeting packet and direct the LRO to hold a public meeting on December 15, 2016; seconded by Tehassi Hill. Motion carried unanimously.

3. Oneida Business Committee Meetings Law

Motion by Fawn Billie to approve the Oneida Business Committee Meetings Law public meeting packet with the noted change and direct the LRO to hold a public meeting on December 29, 2016; seconded by Tehassi Hill. Motion carried unanimously.

4. Budget Management and Control Law

Motion by Tehassi Hill to approve the Budget Management and Control Law public meeting packet and direct the LRO to hold a public meeting on December 15, 2016; seconded by Fawn Billie. Motion carried unanimously.

5. Per Capita Law Amendments

Motion by David P. Jordan to approve the Per Capita Law Amendments public meeting packet and direct the LRO to hold a public meeting on December 15, 2016; seconded by Jennifer Webster. Motion carried unanimously.

IV. New Submissions**V. Additions****VI. Administrative Updates****1. GTC Annual Report**

Motion by Jennifer Webster to approve and direct the LRO Director to submit the FY16 GTC Annual Report to the Secretary's Office with the addition of an LOC photograph; seconded by David P. Jordan. Motion carried unanimously.

2. OBC Meeting Agenda Memo

Motion by Jennifer Webster to approve the Business Committee Agenda- Order of Business Memo and forward to the Secretary's Office to consider as an agenda item for a OBC work meeting; seconded by David P. Jordan. Motion carried unanimously.

VII. Executive Session**VIII. Recess/Adjourn**

Motion by Fawn Billie to adjourn the November 16, 2016 Legislative Operating Committee meeting at 10:05 a.m.; seconded by David P. Jordan. Motion carried unanimously.

Oneida Business Committee Agenda Request

1. Meeting Date Requested: 12 / 14 / 16

2. General Information:

Session: Open Executive - See instructions for the applicable laws, then choose one:

Agenda Header:

Accept as Information only

Action - please describe:

BC approval of Finance Committee Meeting Minutes of December 5, 2016

3. Supporting Materials

Report Resolution Contract

Other:

1.

3.

2.

4.

Business Committee signature required

4. Budget Information

Budgeted - Tribal Contribution Budgeted - Grant Funded Unbudgeted

5. Submission

Authorized Sponsor / Liaison:

Primary Requestor: Denise Vigue, Executive Assistant /Finance Administration
Your Name, Title / Dept. or Tribal Member

Additional Requestor: _____
Name, Title / Dept.

Additional Requestor: _____
Name, Title / Dept.

ONEIDA NATION

P.O. Box 365



Oneida, WI 54115

MEMORANDUM

TO: Finance Committee
CC: Business Committee
FR: Denise Vigue, Executive Assistant
DT: 12/06/16
RE: **E-Poll Results of: FC Meeting Minutes of Dec. 5, 2016**

An E-Poll vote of the Finance Committee was conducted to approve the December 5, 2016 Finance Committee meeting minutes. The results of the completed E-Poll are as follows:

E-POLL RESULTS:

There was a Majority 4 YES votes from David Jordan, Chad Fuss, Larry Barton and Wesley Martin, Jr. to approve the Dec. 5, 2016 Finance Committee Meeting Minutes.

The minutes will be placed on the next BC agenda of Dec. 14, 2016 for approval and the next Finance Committee agenda of Dec. 19, 2016 to ratify this E-Poll action.

Yawlko

* Per the Finance Committee By-Laws Article III-Meetings, 3-4 Quorum. Four (4) members of the Finance Committee shall constitute a quorum & 3-6 Voting. (d) The Finance Committee shall act by a majority of vote of the quorum present at any meeting.



ONEIDA FINANCE COMMITTEE

STRATEGIC FINANCIAL PLANNING MEETING

Dec. 5, 2016 – 9:00 A.M.

BC Executive Conference Room

REGULAR MEETING

Dec. 5, 2016 – 10:00 A.M.

BC Executive Conference Room

REGULAR MEETING MINUTES

FC SFP Meeting:

Patricia King, Treasurer/FC Chair

David Jordan, BC Council Member

Wesley Martin, Jr., Community Elder Member

Larry Barton, CFO/FC Vice-Chair

Chad Fuss, Gaming AGM/FC Alternate

Excused: Jennifer Webster, BC Council Member and Patrick Stensloff, Purchasing Director

Others Present: JoAnne House, Brian Doxtator and Denise Vigue

FC Regular Meeting:

Patricia King, Treasurer/FC Chair

David Jordan, BC Council Member

Wesley Martin, Jr., Community Elder Member

Larry Barton, CFO/FC Vice-Chair

Chad Fuss, Gaming AGM/FC Alternate

Excused: Jennifer Webster, BC Council Member and Patrick Stensloff, Purchasing Director

Others Present: Joanie Buckley, Sid White, Tim Zellmer, Sandy Sieloff, Dawn Walschinski, David Emerson and Denise Vigue, taking minutes

I. **Call to Order:** The FC regular meeting was called to order by the FC Chair at 10:01 A.M.

II. **Approval of Agenda:** DECEMBER 5, 2016:

Motion by David Jordan to approve the Finance Committee agenda for December 5, 2016 and to review the Community Fund items next on the agenda. Seconded by Wesley Martin, Jr. Motion carried unanimously.

III. **Approval of Minutes:** NOVEMBER 14, 2016 (approved via FC E-Poll on 11/15/16):

Motion by David Jordan to ratify the FC E-Poll action of Nov. 15, 2016 approving the Finance Committee meeting minutes of Nov. 14, 2016. Seconded by Wesley Martin, Jr. Motion carried unanimously.

IV. **Tabled Business:**

1. FC Recommendation RE: Acheson Education Endowment
Patricia King, Treasurer

This item was discussed in the FC work meeting prior to this meeting, and will remain on the agenda.

V. **Capital Expenditures:** No items

VI. **New Business:**

1. Gannett –Printing costs
Dawn Walschinski, Kali Wi Saks

Dawn Walschinski was present to discuss this request. This is for a FY17 blanket purchase order for paper printing costs; it is a little more than last year as she included a special issue for the election.

Motion by David Jordan to approve the Gannett Blanket Purchase Order for FY17 for printing costs in the amount of \$57,762.40. Seconded by Chad Fuss. Motion carried unanimously.

2. Everi - Blanket PO for FY17
David Emerson, Gaming Slots Department

David Emerson was present to explain this blanket PO is for machine parts; at the beginning of the year the FC is provide a list of all vendors and amounts Gaming utilizes for machine parts and conversions; this one wasn't included.

Motion by David Jordan to approve the Everi Blanket PO for FY17 for gaming machine parts and conversions in the amount of \$180,000. Seconded by Larry Barton. Motion carried unanimously.

3. PRN Health Services – PO Increase
Debbie Danforth, Consolidated Health Services

Tim Zellmer from the A.J.R.C.C.C. was present to discuss this request; they are seeking to increase the current Purchase Order for PRN by \$150,000, and decrease the STAT Purchase Order by same amount; they have noted PRN provides a better quality of services and would like to utilize their temporary employees as needed. He also noted that the need for temporary employee services has gone down significantly as they have hired more full time Oneida employees. The Finance Committee asked that Tim and or the staff to follow-up with Purchasing to reduce the STAT Purchase Order by \$150,000. and to provide the updated PRN contract showing legal review changes have been met.

Motion by Larry Barton to approve the PRN Health Services Purchase Order increase in the amount of \$150,000.00 for a total amount of \$210,000., noting the follow-up being requested in the discussion. Seconded by Wesley Martin, Jr. Motion carried unanimously.

4. Firewood for Standing Rock Sioux
Cristina Danforth, Chairwoman

Wesley Martin, Jr. noted that yesterday the Army Corp of Engineers denied the permit access for the oil pipeline to go under the lake on the Standing Rock Sioux reservation. Not sure at this time what that means and if the people protesting will still need the wood; perhaps the Chairwoman can call the Chair of the Stand Rock Sioux to get an update on their situation and needs.

Motion by Wesley Martin, Jr. to approve \$2,500.00 from the Finance Committee Donation line for Firewood for the Standing Rock Sioux provided the Chairwoman's Office ascertains if there is still an existing need given recent events. Seconded by Larry Barton. Motion carried unanimously.

5. FC Fourth Quarter Report to the BC
Denise Vigue, Finance

There was discussion on the report, for consistency and clarity David Jordan asked for the report to be corrected showing the final amounts/allocated shown under Community Fund as it is shown under the Donations; the Committee agreed there should be a discussion to revisit the levels of funding and criteria of the Community Fund, perhaps in a future work meeting.

Motion by David Jordan to approve the Finance Committee Fourth Quarter Report to the Business Committee with the above noted correction. Seconded by Wesley Martin, Jr. Motion carried unanimously.

VII. **Executive Session:**

Motion by Larry Barton to move into Executive Session for discussion. Seconded by David Jordan. Time: 10:24 A.M. Motion carried unanimously.

Motion by David Jordan to come out of Executive Session. Seconded by Larry Barton. Time: 10:26 A.M. Motion carried unanimously.

1. Independent Contract #2016-1314
Debbie Danforth, Consolidated Health Services

Motion by Wesley Martin, Jr. to approve the FY17 Purchase Order for the Independent Contract #2016-1314 in the amount specified. Seconded by David Jordan. Motion carried unanimously.

NOTE: The Community Fund items were reviewed directly after the agenda was approved.

VIII. Community Fund:

1. Children Come First -Conference Registration
Camille GoodEagle for son Xavier

Fees being paid for conference organizer; requesting FC to rescind their 10/31/16 action approving \$100 for her son's conference registration

Motion by Larry Barton to rescind the Finance Committee action taken on 10/31/16 approving \$100 for son of requestor for conference registration as fee is being paid by conference organizers. Seconded by Wesley Martin, Jr. Motion carried unanimously.

2. Madeline Graves Gymnastics class fees
Barbara Swift for daughter, Victoria

Motion by David Jordan to approve from the Community Fund the Madeline Graves Gymnastics class fees for the daughter of the requestor in the amount of \$200. Seconded by Wesley Martin, Jr. Motion carried unanimously.

3. Team WI Box Lacrosse/Team Oneida
Sid White, Volunteer Coach

Motion by Larry Barton to approve from the Community Fund the Team Wisconsin Box Lacrosse/Team Oneida tournament registration fees in the amount of \$750. Seconded by Wesley Martin, Jr. Motion carried unanimously.

4. Late ADD: Southwest HS Music Class Trip
Terry Cornelius for daughter, Lydia

Motion by David Jordan to approve from the Community Fund the Southwest High School Music class trip fees for the daughter of the requestor in the amount of \$200. Seconded by Chad Fuss. Larry Barton abstained. Motion carried.

5. Late ADD: Freedom HS Music Class Trip
Diane House for daughter, Krista

Motion by David Jordan to approve from the Community Fund the Freedom High School Music class trip fees for the daughter of the requestor in the amount of \$200. Seconded by Chad Fuss. Motion carried unanimously

IX. Follow Up: No items

X. FYI and /or Thank You: No items

XI. Adjourn: Motion by David Jordan to adjourn. Seconded by Chad Fuss. Motion carried unanimously. The regular FC meeting ended at 10:29 A.M.

Minutes taken & transcribed by:
Denise Vigue, Executive Assistant to the CFO
& Finance Committee Contact/Recording Secretary

Finance Committee- E-Poll Minutes Approval Date: December 6, 2016

Oneida Business Committee- FC Minutes- Approval Date: _____

Oneida Business Committee Agenda Request

1. Meeting Date Requested: 12 / 14 / 16

2. General Information:

Session: Open Executive - See instructions for the applicable laws, then choose one:

Agenda Header:

Accept as Information only

Action - please describe:

3. Supporting Materials

Report Resolution Contract

Other:

1.

3.

2.

4.

Business Committee signature required

4. Budget Information

Budgeted - Tribal Contribution Budgeted - Grant Funded Unbudgeted

5. Submission

Authorized Sponsor / Liaison:

Primary Requestor/Submitter:
Your Name, Title / Dept. or Tribal Member

Additional Requestor: _____
Name, Title / Dept.

Additional Requestor: _____
Name, Title / Dept.

Oneida Business Committee Agenda Request

6. Cover Memo:

Describe the purpose, background/history, and action requested:

The follow-up report consists of an explanation of the process whereby the Oneida Nation receives funds to provide Welfare Assistance to eligible members. The process describes the appropriation of funds by the U.S. Congress and the "Distribution Methodology" through which the Oneida Nation receives a share of appropriated funds.

The report also contains a Report from the Community Economic Support Director detailing annual funding (including deficits and surpluses) for the period 2010 through 2016. Finally, the report contains a copy of a Memorandum to the CFO which describes how the shortfall will be handled for 2016.

1) Save a copy of this form for your records.

2) Print this form as a *.pdf *OR* print and scan this form in as *.pdf.

3) E-mail this form and all supporting materials in a **SINGLE** *.pdf file to: BC_Agenda_Requests@oneidanation.org

Oneida Nation
Office of Self-Governance

Post Office Box 365

Phone: (920)869-4368



Oneida, WI 54155

Follow-Up Report
to the
Oneida Business Committee

BIA General Assistance Program Shortfall

Submitted By:

Christopher Johns, Self-Governance Coordinator

Background on Congressional Appropriations and the Distribution Methodology

The amount of Welfare Assistance funding any Indian tribe receives in any given year is based on two (2) factors:

- 1) The total amount of money available as appropriated by the US Congress, apportioned by the Office of Management and Budget (OMB) and distributed by the Bureau of Indian Affairs (BIA) to tribes and BIA agencies.**

In addition to appropriating funds, Congress has imposed three additional conditions for the distribution of Welfare Assistance funds:

- A) The BIA must distribute Welfare Assistance funds in a manner that is “fair and equitable to all tribes.”
- B) The BIA cannot exceed a “Statutory Cap” placed on Welfare Assistance when distributing funds to tribes and BIA agencies.
- C) A Statutory Cap was enacted originally in 1994 and has been in place each year thereafter.

In 1994, the year Congress imposed the statutory cap, Congress appropriated \$110,619,000 for Welfare Assistance. Since then, the amount of money appropriated by Congress has gradually decreased to where the Congressional appropriation in 2015 was approximately \$74,809,000.

2) Each individual tribe's need as measured by the previous year's expenditures.

The BIA's distribution methodology relies on a reporting instrument entitled the "Financial Assistance and Social Services Report" (FASSR). All Tribes and BIA agencies must submit the FASSR each year. The BIA asserts that this provides a consistent and standard process which helps ensure a "fair and equitable" way to distribute funds. Thus the 2016 allocation was based on how much was reported as the actual need in 2015 and the 2017 allocation will be based on how much is reported as the actual need in 2016.

Problems Inherent in this Process

First, the statutory cap imposed by Congress is arbitrary, not grounded in any experiential evidence and unrealistically assumes that the total need for General Assistance in Indian Country will never exceed the capped amount of funding appropriated by Congress.

Second, the entire process does not account for unexpected, unusual, extraordinary and otherwise unpredictable events which can occur in the year after the year which was used to allocate available funds. As a result, every tribe has only enough money to meet the needs of its members until that money runs out. There is no federal "emergency fund" that can be accessed for individuals and/or individual families unless the nature of the emergency rises to the level of a catastrophic natural disaster or pandemic in which case other agencies of the federal government may be called upon to assist.

What Can Be Done?

Under Self-Governance there is one action that can and will be taken to influence the amount of General Assistance funding the Oneida Nation might receive in 2017. The FASSR for 2016 (used to determine the distribution of 2017 funding) will show the actual 2016 level of need. Whether the Nation will receive any additional funds cannot be predicted.

The solution for the shortfall in General (Welfare) Assistance funds being available to meet the unanticipated needs of the Oneida People rests with the federal government. But in the absence of the federal government's willingness to assume responsibility to end the statutory cap and increase this grossly underfunded program, it is left to tribes to find a way to deal with the basic needs among their own people.

Any questions regarding this portion of the Joint Report should be directed to:

Christopher Johns, Self-Governance Coordinator
920-869-2214 (Office)
920-660-2335 (Cell)



General Assistance Program Report

The Oneida Nation provides General Assistance to enrolled members of federally recognized Native American tribes that reside within the Oneida Nation reservation boundaries. Under this Self-Governance program General Assistance assists these enrolled members with a cash payment benefit to those awaiting a decision from the Social Security Disability Bureau.

Funding is allocated by the BIA based off of the previous year's expenses reported on the FASSR report but due to the unexpected needs of the tribal membership from year to year, there is no way to accurately predict what the need will be each year.

History of FASSR Expense Report:

| | |
|--------------------|---|
| Calendar Year 2010 | surplus \$1,253 |
| Calendar Year 2011 | deficit (\$ 404) |
| Calendar Year 2012 | deficit (\$2,665) |
| Calendar Year 2013 | deficit (\$2,665) |
| Calendar Year 2014 | surplus \$1,301 |
| Calendar Year 2015 | deficit (\$ 825) |
| Calendar Year 2016 | will result in a deficit of approx. (\$5,785) |

The amount of time it takes for the Social Security Disability Bureau to determine eligibility can range anywhere from 2 months to 2 years. This program bridges the gap to help those individuals out with a small cash payment until their disability is approved or denied. Once approved, they are no longer eligible for General Assistance. If they are denied and appeal the decision, the individual must present verification that the appeal process has started to remain eligible for General Assistance. If individuals receive a final denial from the Social Security Disability Bureau and are found not eligible to appeal any further, then they are deemed ineligible for General Assistance at that time.

This fiscal year 2016, the Ec. Support Department has experienced an increase in qualifying tribal members.

- In early August, the department identified a growing deficit variance for ending out the calendar year 2016.
- It was identified that an additional \$8,750 approximately would be required to cover that anticipated deficit.
- After consultation and concurrence with the CFO, Larry Barton, it was determined that due to an anticipated surplus in the Community Support budget line item would allow the program to continue providing the service through the remainder of the fiscal year.

To clarify, it is understood that the negative variance will be balanced out with the Community Support surplus budget line.

I am attaching the memo that was sent to Larry Barton, CFO and Ralinda Ninham-Lamberies, Asst.-CFO that confirmed the conversation regarding how this budget was going to be overspent and the directive to continue to provide services due to the underspent Community Support budget.

In conclusion, the General Assistance Program is one that all tribes struggle being able to meet the needs of the tribal membership.

Submitted by,

Trina Schuyler
Community Economic Support Director
11/06/16

Memorandum

To: Larry Barton, CFO
Ralinda Ninham-Lambert-Asst. CFO

CC: Patricia King, Treasurer
Cristina Danforth-Chairwoman
Melinda Danforth-Vice Chairwoman
Lisa Summers-Tribal Secretary
Don White-Governmental Services Director
Debra Powless-Social Services Area Manager

From: Trina Schuyler-Community Economic Support Director

Date: 12/2/2016

Re: General Assistance Grant

The General Assistance Grant receives an allocation of \$4,010 from BIA Self Governance dollars each year. In past years, this has been enough for to assist members in this program. This year however, we have seen an increase in those members and have run out of funds to cover for the rest of calendar year 2016. We will need an additional \$8,750 to finish out this year.

I have talked with Mr. Barton and let him know that there will be a surplus in another area of my department, Community Support Services, in a support service line item. He has stated the best way to continue the delivery of service is to continue the distribution of services in the GA program knowing there will be a negative variance, but should be ok, due to the surplus in Community Support.

This is my formal notice to you that the GA program will continue and will result in a negative variance for calendar year 2016.

Oneida Business Committee Agenda Request

EXCERPT FROM NOVEMBER 23, 2016: Motion by David Jordan to defer this item to the December 14, 2016, regular Business Committee meeting, seconded by Jennifer Webster. Motion carried with one opposed.

1. Meeting Date Requested: 11 / 23 / 16

2. General Information:

Session: Open Executive - See instructions for the applicable laws, then choose one:

Agenda Header:

Accept as Information only

Action - please describe:

BC to review, discuss the content of letter and explain which law enforcement agency do tribal members living on tribal land call for service.

3. Supporting Materials

Report Resolution Contract

Other:

1.

3.

2.

4.

Business Committee signature required

4. Budget Information

Budgeted - Tribal Contribution Budgeted - Grant Funded Unbudgeted

5. Submission

Authorized Sponsor / Liaison:

Primary Requestor/Submitter: Julie Barton, Tribal Member
Your Name, Title / Dept. or Tribal Member

Additional Requestor: Submitted by Lora Skenandore
Name, Title / Dept.

Additional Requestor: _____
Name, Title / Dept.



RECEIVED
 11/4/16
 TRIBAL CHAIR'S OFFICE

The Outagamie County Sheriff's Department and the Oneida Police Department have been made aware of some misunderstandings regarding law enforcement services in the Town of Oneida. Both agencies would like to take this opportunity to clear up any confusion that may exist. We would also like to assure you nothing has changed in the delivery of law enforcement services in your area.

The Outagamie County Sheriff's Department and the Oneida Police Department strive to provide our citizens with the most professional and efficient law enforcement service possible. Often, both departments work together to deliver these services.

The Outagamie County Sheriff's Department is the jurisdictional law enforcement provider for all citizens within the county including the Town of Oneida. This includes tribal and non tribal members of our community.

The Oneida Police Department serves as the primary law enforcement provider for issues arising on Tribal property within Outagamie County.

In the event of an emergency call for service such as a serious car accident, in progress crime, fire or medical emergencies both the Outagamie County Sheriff's Department and the Oneida Police Department are dispatched. We refer to this as "dual dispatch". This ensures the closest available officers arrive at the scene as soon as possible to mitigate the situation. The incident is then handled by the agency responsible for that area.

In the event of an emergency please dial 911 and the appropriate resources will be sent.

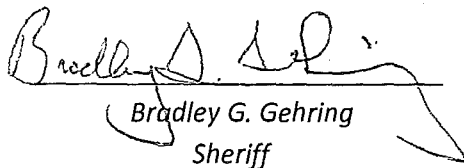
In the event of a non emergency complaint where immediate emergency police response is not needed the following numbers are appropriate:

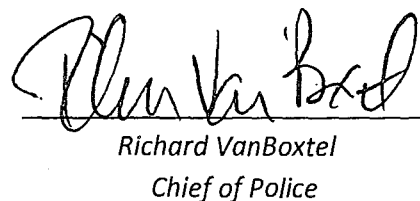
Citizens on Tribal property may call the Oneida Police Department at (920) 869-2239 or the Outagamie County Sheriff's Department at (920) 832-5000.

For any citizen not on Tribal property you may call the Outagamie County Sheriff's Department at (920) 832-5000.

If you have any concerns about your law enforcement service please do not hesitate to contact us.

Sincerely,


 Bradley G. Gehring
 Sheriff


 Richard VanBoxtel
 Chief of Police

Oneida Business Committee Agenda Request

1. Meeting Date Requested: 12 / 14 / 16

2. General Information:

Session: Open Executive - See instructions for the applicable laws, then choose one:

[Empty text box]

Agenda Header: New Business

Accept as Information only

Action - please describe:

Discuss and make recommendation to approve to restructure/realign/remove the tsyunhehk^ department from under the Division Dir.-Internal Services to under the direction of the Oneida Farm Department.

3. Supporting Materials

Report Resolution Contract

Other:

1. Statement (see attached)

3. [Empty text box]

2. [Empty text box]

4. [Empty text box]

Business Committee signature required

4. Budget Information

Budgeted - Tribal Contribution Budgeted - Grant Funded Unbudgeted

5. Submission

Authorized Sponsor / Liaison: Cristina Danforth, Tribal Chairwoman

Primary Requestor/Submitter: Nancy Barton, Tribal Member
Your Name, Title / Dept. or Tribal Member

Additional Requestor: Submitted by Lora Skenandore, Assistant to Chairwoman
Name, Title / Dept.

Additional Requestor: _____
Name, Title / Dept.

To whom it may concern-

I have a concern regarding the tsyunhehkw[^] and their corn yield. This past year their net yield was only six braids. What is the justification behind this? Is there an explanation as to why the corn yield was so low? Is there an estimate of corn yield before harvest? How do you determine how much to plant each year? Who keeps track of our yield every year?

If the department is budgeting for \$500,000 for 2017 and a corn yield is only going to be six braids I don't think it's worth in the time or money...there is something horribly wrong with this issue. This is not "OK" that the BC overlook and not pay attention to what's going on - so I bring it to your attention to hopefully get some answers for a better solution.

I suggest the tsyunhehkw[^] department be removed from under the wing of Division Dir. of Internal Services and be placed under the direction of the Oneida Nation Farm. The employees at the farm have a better knowledge and more experience in producing good crops with big harvests every year.

Nancy Barton
Tribal Member

Oneida Business Committee Agenda Request

1. Meeting Date Requested: 12 / 14 / 16

2. General Information:

Session: Open Executive - See instructions for the applicable laws, then choose one:

Agenda Header:

Accept as Information only

Action - please describe:

Enter E-Poll results into the record for approval of Option B: Distribute twenty-one (21) Garden of Lights complimentary passes on a first come, first serve bases.

3. Supporting Materials

Report Resolution Contract

Other:

1.

3.

2.

4.

Business Committee signature required

4. Budget Information

Budgeted - Tribal Contribution Budgeted - Grant Funded Unbudgeted

5. Submission

Authorized Sponsor / Liaison:

Primary Requestor/Submitter: Submitted by: Heather Heuer, Info. Mgmt. Spec./BC Support Office
Your Name, Title / Dept. or Tribal Member

Additional Requestor: _____
Name, Title / Dept.

Additional Requestor: _____
Name, Title / Dept.

Oneida Business Committee Agenda Request

6. Cover Memo:

Describe the purpose, background/history, and action requested:

BACKGROUND

On Tuesday, November 22, 2016, at 11:34 a.m. the following E-Poll was conducted:

Summary:

Twenty-one (21) Garden of Lights complimentary passes were received by the Business Committee Support Office on Monday, November 11, 2016.

The Ticket Distribution SOP indicates the Business Committee must choose one of three options for distribution:

1. Random Drawing
2. First come, first serve
3. Transfer tickets to Joint Marketing

Due to the time restrictions for notifying the Enrollment Department and IGAC (as required by the SOP) and to allow adequate time to notify winners, option 1. Random Drawing cannot be considered.

Per the SOP, action is required by the Business Committee to decide how they will be distributed. An e-poll is required as the next Business Committee agenda submission deadline is after the event.

Requested Actions being voted on via this e-poll:

Vote in support of one (1) of the following options:

Option A: Transfer twenty-one (21) Garden of Lights complimentary passes to Joint Marketing

OR

Option B: Distribute twenty-one (21) Garden of Lights complimentary passes on a first come, first serve basis

Deadline for response:

Responses are due no later than 4:30 p.m. on Tuesday, November 22, 2016.

As of the deadline, below are the responses:

Option A: Melinda J. Danforth, David Jordan, Lisa Summers

Option B: Fawn Billie, Tehassi Hill, Trish King, Brandon Stevens, Jennifer Webster

Random Drawing: Tina Danforth

In accordance with section 5.4.c. of the Electronic Voting SOP, attached are any comments made beyond a vote.

REQUESTED BC ACTION

Enter E-Poll results into the record for approval of Option B: Distribute twenty-one (21) Garden of Lights complimentary passes on a first come, first serve bases.

- 1) Save a copy of this form for your records.
- 2) Print this form as a *.pdf OR print and scan this form in as *.pdf.
- 3) E-mail this form and all supporting materials in a **SINGLE** *.pdf file to: BC_Agenda_Requests@oneidanation.org

Heather M. Heuer

From: TribalSecretary
Sent: Wednesday, November 23, 2016 8:24 AM
To: TribalSecretary; Brandon L. Yellowbird-Stevens; Cristina S. Danforth; David P. Jordan; Fawn J. Billie; Jennifer A. Webster; Lisa M. Summers; Melinda J. Danforth; Patricia M. King; Ronald W. Hill
Cc: Brian A. Doxtator; Cathy L. Bachhuber; Danelle A. Wilson; Jessica L. Wallenfang; Leyne C. Orosco; Lisa A. Liggins; Lora L. Skenandore; Rhiannon R. Metoxen; Debbie J. Melchert
Subject: E-POLL RESULTS: Approve option for Garden of Lights comp. passes
Attachments: 2016 11 22 BCAR E-poll request pursuant to Ticket Distribution SOP (002)....pdf
Categories: Next Meeting

E-POLL RESULTS

The E-Poll request to approve the method of distributing twenty-one (21) Garden of Lights complimentary passes **has passed with Option B**: Distribute twenty-one (21) Garden of Lights complimentary passes on a first come, first serve basis. Below are the results:

Option A: Melinda J. Danforth, David Jordan, Lisa Summers

Option B: Fawn Billie, Tehassi Hill, Trish King, Brandon Stevens, Jennifer Webster

Heather Heuer

Information Management Specialist
 Business Committee Support Office (BCSO)



office 920.869.4421
 cell 920.471.5002
 fax 920.869.4040

From: TribalSecretary
Sent: Tuesday, November 22, 2016 11:34 AM
To: Brandon L. Yellowbird-Stevens <BSTEVEN@oneidanation.org>; Cristina S. Danforth <tdanfort@oneidanation.org>; David P. Jordan <djordan1@oneidanation.org>; Fawn J. Billie <fbillie@oneidanation.org>; Jennifer A. Webster <JWEBSTE1@oneidanation.org>; Lisa M. Summers <LSUMMER2@oneidanation.org>; Melinda J. Danforth <MDANFORJ@ONEIDANATION.org>; Patricia M. King <TKING@ONEIDANATION.org>; Ronald W. Hill <RHILL7@oneidanation.org>
Cc: Brian A. Doxtator <BDOXTAT2@oneidanation.org>; Cathy L. Bachhuber <CBACHHUB@oneidanation.org>; Danelle A. Wilson <DWILSON1@ONEIDANATION.org>; Jessica L. Wallenfang <JWALLENF@oneidanation.org>; Leyne C. Orosco <lorosco@oneidanation.org>; Lisa A. Liggins <lliggins@oneidanation.org>; Lora L. Skenandore <LSKENAN3@oneidanation.org>; Rhiannon R. Metoxen <rmetoxe2@oneidanation.org>
Subject: E-POLL REQUEST: Approve option for Garden of Lights comp. passes
Importance: High

E-POLL REQUEST

Summary:

Twenty-one (21) Garden of Lights complimentary passes were received by the Business Committee Support Office on Monday, November 11, 2016.

The Ticket Distribution SOP indicates the Business Committee must choose one of three options for distribution:

1. Random Drawing
2. First come, first serve
3. Transfer tickets to Joint Marketing

Due to the time restrictions for notifying the Enrollment Department and IGAC (as required by the SOP) and to allow adequate time to notify winners, option 1. Random Drawing cannot be considered.

Per the SOP, action is required by the Business Committee to decide how they will be distributed. An e-poll is required as the next Business Committee agenda submission deadline is after the event.

Requested Actions being voted on via this e-poll:

Vote in support of one (1) of the following options:

Option A: Transfer twenty-one (21) Garden of Lights complimentary passes to Joint Marketing

OR

Option B: Distribute twenty-one (21) Garden of Lights complimentary passes on a first come, first serve basis

Deadline for response:

Responses are due no later than **4:30 p.m. on Tuesday, November 22, 2016.**

Voting:

1. Use the voting button above, if available; OR
2. Reply with "Option A" or "Option B"

Heather Heuer

Information Management Specialist
Business Committee Support Office (BCSO)



office 920.869.4421
cell 920.471.5002
fax 920.869.4040

Oneida Business Committee Agenda Request

1. Meeting Date Requested: ___ / ___ / ___

2. General Information:

Session: Open Executive - See instructions for the applicable laws, then choose one:

[Empty text box]

Agenda Header:

Accept as Information only

Action - please describe:

Transfer twenty-one (21) Garden of Lights complimentary passes to Joint Marketing

OR

Distribute twenty-one (21) Garden of Lights complimentary passes on a first come, first serve basis

3. Supporting Materials

Report Resolution Contract

Other:

1.

3.

2.

4.

Business Committee signature required

4. Budget Information

Budgeted - Tribal Contribution Budgeted - Grant Funded Unbudgeted

5. Submission

Authorized Sponsor / Liaison:

Primary Requestor/Submitter: Lisa Liggins, Executive Assistant II
Your Name, Title / Dept. or Tribal Member

Additional Requestor: _____
Name, Title / Dept.

Additional Requestor: _____
Name, Title / Dept.

Oneida Business Committee Agenda Request

6. Cover Memo:

Describe the purpose, background/history, and action requested:

****Background****

Twenty-one (21) Garden of Lights complimentary passes were received by the Business Committee Support Office on Monday, November 11, 2016.

The Ticket Distribution SOP indicates the Business Committee must choose one of three options for distribution:

1. Random Drawing
2. First come, first serve
3. Transfer tickets to Joint Marketing

Due to the time restrictions for notifying the Enrollment Department and IGAC (as required by the SOP) and to allow adequate time to notify winners, option 1. Random Drawing cannot be considered.

Per the SOP, action is required by the Business Committee to decide how they will be distributed. An e-poll is required as the next Business Committee agenda submission deadline is after the event.

****Requested Action****

Vote in support of one (1) of the following options:

Option A: Transfer twenty-one (21) Garden of Lights complimentary passes to Joint Marketing

OR

Option B: Distribute twenty-one (21) Garden of Lights complimentary passes on a first come, first serve basis

1) Save a copy of this form for your records.

2) Print this form as a *.pdf OR print and scan this form in as *.pdf.

3) E-mail this form and all supporting materials in a **SINGLE** *.pdf file to: BC_Agenda_Requests@oneidanation.org

Oneida Business Committee
TICKET DISTRIBUTION FORM

Description of tickets received: Garden of Rights

Date tickets received by the Business Committee Support Office: 11-21-16

Total number of tickets received: 20 21

| | | |
|-------------------------------------|--------------------|-------------|
| Verified by: <u>Debbie Melchert</u> | <u>[Signature]</u> | <u>1564</u> |
| Printed Name | Signature | Employee # |

| | | |
|---------------------|--------------------|-------------|
| <u>Lisa Liggins</u> | <u>[Signature]</u> | <u>3703</u> |
| Printed Name | Signature | Employee # |

Source of tickets (i.e. donation, contract sponsorship):

Contract Sponsorship

Heather M. Heuer

From: Cristina S. Danforth
Sent: Tuesday, November 22, 2016 3:13 PM
To: TribalSecretary; Brandon L. Yellowbird-Stevens; David P. Jordan; Fawn J. Billie; Jennifer A. Webster; Lisa M. Summers; Melinda J. Danforth; Patricia M. King; Ronald W. Hill
Cc: Brian A. Doxtator; Cathy L. Bachhuber; Danelle A. Wilson; Jessica L. Wallenfang; Leyne C. Orosco; Lisa A. Liggins; Lora L. Skenandore; Rhiannon R. Metoxen
Subject: RE: E-POLL REQUEST: Approve option for Garden of Lights comp. passes

Random drawing otherwise I'll take two

From: TribalSecretary
Sent: Tuesday, November 22, 2016 11:34 AM
To: Brandon L. Yellowbird-Stevens; Cristina S. Danforth; David P. Jordan; Fawn J. Billie; Jennifer A. Webster; Lisa M. Summers; Melinda J. Danforth; Patricia M. King; Ronald W. Hill
Cc: Brian A. Doxtator; Cathy L. Bachhuber; Danelle A. Wilson; Jessica L. Wallenfang; Leyne C. Orosco; Lisa A. Liggins; Lora L. Skenandore; Rhiannon R. Metoxen
Subject: E-POLL REQUEST: Approve option for Garden of Lights comp. passes
Importance: High

E-POLL REQUEST**Summary:**

Twenty-one (21) Garden of Lights complimentary passes were received by the Business Committee Support Office on Monday, November 11, 2016.

The Ticket Distribution SOP indicates the Business Committee must choose one of three options for distribution:

1. Random Drawing
2. First come, first serve
3. Transfer tickets to Joint Marketing

Due to the time restrictions for notifying the Enrollment Department and IGAC (as required by the SOP) and to allow adequate time to notify winners, option 1. Random Drawing cannot be considered.

Per the SOP, action is required by the Business Committee to decide how they will be distributed. An e-poll is required as the next Business Committee agenda submission deadline is after the event.

Requested Actions being voted on via this e-poll:

Vote in support of one (1) of the following options:

Option A: Transfer twenty-one (21) Garden of Lights complimentary passes to Joint Marketing

OR

Option B: Distribute twenty-one (21) Garden of Lights complimentary passes on a first come, first serve basis

Deadline for response:

Responses are due no later than **4:30 p.m. on Tuesday, November 22, 2016.**

Voting:

1. Use the voting button above, if available; OR
2. Reply with "Option A" or "Option B"

| | | |
|---|---|--|
| ONEIDA TRIBE OF WISCONSIN | TITLE: Ticket Distribution | ORIGINATION DATE: 01/27/2016 REVISION DATE: N/A EFFECTIVE DATE: Upon OBC approval |
| DEPARTMENT: Oneida Business Committee | APPROVED BY: <i>Oneida Business Committee</i> See Attached OBC meeting minutes | DATE: 01/27/2016 |
| AUTHOR: Lisa Summers, Secretary | AUTHORED BY:  | DATE: 01/27/2016 |

1.0 PURPOSE

- 1.1 Standardize how tickets are distributed by the Oneida Business Committee

2.0 DEFINITIONS

- 2.1 *Alternate* means an individual who receives tickets in the event a winner refuses or does not claim.
- 2.2 *Packers Ticket Drawing Pool* means those Tribal members who provided the required information by June 30 and is the random drawing pool used for a random drawing of Packers Tickets.
- 2.3 *Random Drawing* means an act of choosing the recipients of tickets.
- 2.4 *Random Drawing Pool* means those Tribal members who are at least eighteen (18) years old on or before the date of the random drawing, for a random drawing for anything other than Packers Tickets.
- 2.5 *Secretary* means the current elected Secretary of the Tribe or his or her designee.

3.0 WORK STANDARD

- 3.1 All tickets will be tracked on a Ticket Distribution Form.
- 3.2 For a random drawing:
- 3.2.1 Notice of random drawing will be provided to the Enrollment Department and the Intergovernmental Affairs and Communications Department no less than five (5) business days prior to drawing.
- 3.2.2 A number of alternates equaling no less than two times the number of winners are selected. Alternates are selected from the same random drawing pool and at the same time as the winners.

- 3.3 Where the dates fall on a Saturday, Sunday, or holiday the deadline shall be construed to be the close of business on the following business day.

4.0 PACKERS TICKETS

- 4.1 In the event Packers Tickets are available for Tribal members, the following timelines and procedure will be followed. This procedure applies only to Packers Tickets for preseason games and regular season games.

June 1

- 4.2 BC Support Office sends a mailing to those Tribal members who are at least eighteen (18) years old on or before July 1.
- 4.3 Mailing includes:
- 4.3.1 Notice that a code of conduct is required in order to accept Packers tickets,
 - 4.3.2 Space for Tribal member to provide the required information:
 - 4.3.2.1 Name,
 - 4.3.2.2 Date of birth,
 - 4.3.2.3 Enrollment number, and
 - 4.3.2.4 Telephone number.
 - 4.3.3 Notice that required information must be returned to the BC Support Office no later than June 30 in order to be included in the Packers Ticket Drawing Pool.

July 1

- 4.4 Packers Ticket Drawing Pool is closed and includes only those Tribal members who returned the required information to the BC Support Office by June 30.
- 4.5 Deadline for Joint Marketing to provide notification to the BC Support Office of Packers Tickets available to Tribal members.

5.0 RECEIPTING AND PROCESSING TICKETS

- 5.1 Tickets are received by the Business Committee Support Office (BC Support Office).
- 5.2 BC Support Office:
- 5.2.1 Verifies the number of tickets received by double count.
 - 5.2.2 Completes any applicable paperwork indicating receipt of tickets.
 - 5.2.3 Completes applicable spaces on the Ticket Distribution Form. Ticket

Distribution Form must include:

- 5.2.3.1 Description of tickets received
 - 5.2.3.2 Date tickets received by the BC Support Office
 - 5.2.3.3 Source of tickets (i.e. donation, contract, sponsorship)
 - 5.2.3.4 Date tickets disbursed and to whom
 - 5.2.4 Provides copy of Ticket Distribution Form to the Secretary within one (1) business day.
- 5.3 Secretary adds Ticket Distribution Form to the next Business Committee meeting agenda for the consideration of how tickets will be distributed.
- 5.3.1 Three options for consideration are:
 - 5.3.1.1 Random drawing
 - 5.3.1.1.1 A random drawing may be considered only if adequate time is available for the notification required in 3.2.
 - 5.3.1.2 First come, first serve
 - 5.3.1.2.1 Eligibility criteria for first come, first serve must be determined by the Business Committee (i.e. Tribal members, employees only, elders age 55 and over)
 - 5.3.1.3 Transfer tickets to Joint Marketing
 - 5.3.2 An E-poll may be used (See OBC SOP titled Conducting Electronic Voting (E-polls)).

6.0 RANDOM DRAWING

Prepare for random drawing

- 6.1 BC Support Office provides notice to the Enrollment Department of random drawing. Notice must include:
 - 6.1.1 Date of random drawing.
 - 6.1.2 Time of random drawing.
 - 6.1.3 Number of winners needed.
 - 6.1.4 Number of alternates needed.
 - 6.1.5 Criteria for random drawing pool.
- 6.2 BC Support Office provides notice to the Intergovernmental Affairs and Communications Department of random drawing. Notice must include:
 - 6.2.1 Date of random drawing.
 - 6.2.2 Time of random drawing.
 - 6.2.3 Number of winners that will be drawn.
 - 6.2.4 Date and Time winners may begin to claim their tickets.
 - 6.2.5 Deadline by which winners must claim their tickets.
- 6.3 BC Support Office reserves meeting space to conduct the drawing.

Pre-Drawing Activities

- 6.4 BC Support Office ensures equipment is functional in order for the Enrollment Department staff to complete the random drawing.

Post-Drawing Activities

- 6.5 After random drawing is complete, the Enrollment Department staff provides the winner and alternate information to the BC Support Office. This information includes:
 - 6.5.1 Winner/Alternate Names
 - 6.5.2 Winner/Alternate Enrollment Number
 - 6.5.3 Winner/Alternate Address
 - 6.5.4 Winner/Alternate Phone Number

- 6.6 BC Support Office notifies winner by phone.
 - 6.6.1 Winner may accept or refuse/decline.
 - 6.6.2 If winner accepts:
 - 6.6.2.1 See 9.0.
 - 6.6.3 If winner declines tickets or cannot be contacted within three (3) business days from the date of the random drawing:
 - 6.6.3.1 Alternate is notified.
 - 6.6.3.2 If alternate accepts,
 - 6.6.3.2.1 See 9.0.
 - 6.6.3.3 If alternate declines tickets or cannot be contacted within three (3) business days from the date of the random drawing:
 - 6.6.3.3.1 see 6.6.3.1.

7.0 FIRST COME, FIRST SERVE

- 7.1 BC Support Office provides notice to Intergovernmental Affairs and Communications of ticket availability. Notice must include:
 - 7.1.1 Total number of tickets available.
 - 7.1.2 Limit of tickets available per person (i.e. four (4) tickets per person).
 - 7.1.3 Eligibility Criteria determined by the Business Committee in 5.3.1.2.1.
 - 7.1.4 Date and Time tickets may begin to be claimed.
 - 7.1.5 Deadline by which tickets must be claimed.

- 7.2 See 9.0.

8.0 TRANSFER TICKETS TO JOINT MARKETING

- 8.1 BC Support Office:
 - 8.1.1 Contacts Joint Marketing within one (1) business day to arrange transfer of tickets.
 - 8.1.2 Completes Ticket Distribution Form when transfer of tickets is completed.
 - 8.1.3 Files Ticket Distribution Form and forwards a copy to the appropriate party/parties as needed or required by contract or policy.

9.0 DISBURSING TICKETS

- 9.1 Recipient signs Acceptance Receipt and code of conduct agreement, if applicable
- 9.2 BC Support Office
 - 9.2.1 Receives acceptance receipt and code of conduct agreement, if applicable.
 - 9.2.2 Updates Ticket Distribution Form.
 - 9.2.3 Disburses tickets.
 - 9.2.3.1 Tickets may be mailed or picked up.
 - 9.2.4 Files Ticket Distribution Form and forwards a copy to the appropriate party/parties as needed or required by contract or policy.

10.0 UNCLAIMED TICKETS

- 10.1 Any tickets that are unclaimed 48 hours prior to the event will be transferred to Joint Marketing.
- 10.2 See 8.0.

11.0 REFERENCES

- 11.1 Conducting Electronic Voting (E-polls) SOP

12.0 FORMS

- 12.1 Ticket Distribution Form
- 12.2 Acceptance Receipt
- 12.3 Code of Conduct

13.0 FLOW CHART

XIII. NEW BUSINESS

- A. Accept request for Business Committee recognition and award of \$500 Exxon/Mobile Alliance Program grant to each six (6) area schools in cooperation with Oneida One Stops and Oneida Travel Center (00:26:00)**

Sponsor: Michele Doxtator, Area Manager/Retail Profits

Presentation of awards by Michele Doxtator and Angela Parks to Niki Disterhaft (Lannoye Elementary School), Kris Wells (Hillcrest Elementary School), Jamie Kallies (Pioneer Elementary School), Diane Stelmach (Martin Luther King Elementary School), Yvette Peguero (Oneida Nation Elementary School), Sharon Mousseau (Oneida High School).

- B. Approve Ticket Distribution Standard Operating Procedure (4:23:00)**

Sponsor: Lisa Summers, Tribal Secretary

Motion by Tehassi Hill to approve the Ticket Distribution Standard Operating Procedure, seconded by Lisa Summers. Motion carried unanimously:

Ayes: Fawn Billie, Tehassi Hill, Trish King, Brandon Stevens, Lisa Summers, Jennifer Webster

Not Present: Melinda J. Danforth, David Jordan

- C. Approve request to co-host the 2016 Tri-History Conference on June 13-17, 2016 (00:29:22)**

Sponsor: Jennifer Webster, Councilwoman

Motion by Lisa Summers to approve the request to co-host the 2016 Tri-History Conference on June 13-17, 2016, seconded by Fawn Billie. Motion carried with one abstention:

Ayes: Fawn Billie, Tehassi Hill, Trish King, Brandon Stevens, Lisa Summers
 Abstained: Jennifer Webster
 Not Present: Melinda J. Danforth, David Jordan

XIV. TRAVEL (4:24:32)**A. Travel Reports**

- 1. Accept travel report – Councilwoman Jennifer Webster – 2015 National Indian Head Start Directors Association (NIHSDA)/Office of Head Start (OHS) Tribal Leader Consultation – Sacramento, CA – June 15-17, 2015**

Motion by Lisa Summers to accept the travel report – Councilwoman Jennifer Webster – 2015 National Indian Head Start Directors Association (NIHSDA)/Office of Head Start (OHS) Tribal Leader Consultation – Sacramento, CA – June 15-17, 2015, seconded by Brandon Stevens. Motion carried with one abstention:

Ayes: Fawn Billie, Tehassi Hill, Trish King, Brandon Stevens, Lisa Summers
 Abstained: Jennifer Webster
 Not Present: Melinda J. Danforth, David Jordan

- 2. Accept travel reports – Secretary Lisa Summers, Councilwoman Jennifer Webster, and Councilman Tehassi Hill – 72nd Annual National Congress of American Indians (NCAI) Conference – San Diego, CA – October 17-24, 2015**

Motion by Fawn Billie to accept the travel reports – Secretary Lisa Summers, Councilwoman Jennifer Webster, and Councilman Tehassi Hill – 72nd Annual National Congress of American Indians (NCAI) Conference – San Diego, CA – October 17-24, 2015, seconded by Brandon Stevens. Motion carried with three abstentions:

Ayes: Fawn Billie, Trish King, Brandon Stevens
 Abstained: Tehassi Hill, Lisa Summers, Jennifer Webster
 Not Present: Melinda J. Danforth, David Jordan

Oneida Business Committee Agenda Request

1. Meeting Date Requested: 12 / 14 / 16

2. General Information:

Session: Open Executive - See instructions for the applicable laws, then choose one:

Agenda Header:

Accept as Information only

Action - please describe:

Enter E-Poll results into the record for approval of the Membership Interest Assignment from OTIE to OESC, contract # 2016-1347; and to authorize an Officer to sign the assignment on behalf of the Oneida Nation

3. Supporting Materials

Report Resolution Contract

Other:

1.

3.

2.

4.

Business Committee signature required

4. Budget Information

Budgeted - Tribal Contribution Budgeted - Grant Funded Unbudgeted

5. Submission

Authorized Sponsor / Liaison:

Primary Requestor/Submitter: Requestor: Jo Anne House, Chief Counsel
Your Name, Title / Dept. or Tribal Member

Additional Requestor: Submitted by: Heather Heuer, Info. Mgmt. Spec./BC Support Office
Name, Title / Dept.

Additional Requestor: _____
Name, Title / Dept.

Oneida Business Committee Agenda Request

6. Cover Memo:

Describe the purpose, background/history, and action requested:

BACKGROUND

On Monday, December 5, 2016, at 11:37 a.m., the following E-Poll was conducted:

An E-Poll is being conducted for approval of an assignment of interest from OTIE to OESC to complete the merger authorized in 2013. The merger must be completed before the end of the year to avoid additional taxes and fees and potential impact on contracting.

Requested Action:

Approve the Membership Interest Assignment from OTIE to OESC, contract # 2016-1347; and to authorize an Officer to sign the assignment on behalf of the Oneida Nation.

Deadline for response:

Responses are due no later than 3:40 p.m. TODAY, Monday, December 5, 2016.

As of the deadline, below are the results:

Support: Fawn Billie, Tehassi Hill, Trish King, Brandon Stevens, Lisa Summers, Jennifer Webster

REQUESTED ACTION

Enter E-Poll results into the record for approval of the Membership Interest Assignment from OTIE to OESC, contract # 2016-1347; and to authorize an Officer to sign the assignment on behalf of the Oneida Nation.

- 1) Save a copy of this form for your records.
- 2) Print this form as a *.pdf OR print and scan this form in as *.pdf.
- 3) E-mail this form and all supporting materials in a **SINGLE** *.pdf file to: BC_Agenda_Requests@oneidanation.org

Heather M. Heuer

From: TribalSecretary
Sent: Monday, December 05, 2016 3:45 PM
To: TribalSecretary; Brandon L. Yellowbird-Stevens; Cristina S. Danforth; David P. Jordan; Fawn J. Billie; Jennifer A. Webster; Lisa M. Summers; Melinda J. Danforth; Patricia M. King; Ronald W. Hill
Cc: Jo A. House; Brian A. Doxtator; Cathy L. Bachhuber; Danelle A. Wilson; Jessica L. Wallenfang; Leyne C. Orosco; Lisa A. Liggins; Lora L. Skenandore; Rhiannon R. Metoxen
Subject: E-POLL RESULTS: Approve interest assignment from OTIE to OESC contract # 2016-1347
Attachments: OTIE - OESC Transfer Agreement.pdf

E-POLL RESULTS

The E-Poll request to approve the Membership Interest Assignment from OTIE to OESC, contract # 2016-1347; and to authorize an Officer to sign the assignment on behalf of the Oneida Nation, **has been approved**. Below are the results:

Support: Fawn Billie, Tehassi Hill, Brandon Stevens, Lisa Summers, Jennifer Webster

Heather Heuer

Information Management Specialist
Business Committee Support Office (BCSO)



office 920.869.4421
cell 920.471.5002
fax 920.869.4040

From: TribalSecretary
Sent: Monday, December 05, 2016 11:37 AM
To: Brandon L. Yellowbird-Stevens <BSTEVEN@oneidanation.org>; Cristina S. Danforth <tdanfort@oneidanation.org>; David P. Jordan <djordan1@oneidanation.org>; Fawn J. Billie <fbillie@oneidanation.org>; Jennifer A. Webster <JWEBSTE1@oneidanation.org>; Lisa M. Summers <LSUMMER2@oneidanation.org>; Melinda J. Danforth <MDANFORJ@ONEIDANATION.org>; Patricia M. King <TKING@ONEIDANATION.org>; Ronald W. Hill <RHILL7@oneidanation.org>
Cc: Jo A. House <JHOUSE1@oneidanation.org>; Brian A. Doxtator <BDOXTAT2@oneidanation.org>; Cathy L. Bachhuber <CBACHHUB@oneidanation.org>; Danelle A. Wilson <DWILSON1@ONEIDANATION.org>; Jessica L. Wallenfang <JWALLENF@oneidanation.org>; Leyne C. Orosco <lorosco@oneidanation.org>; Lisa A. Liggins <lliggins@oneidanation.org>; Lora L. Skenandore <LSKENAN3@oneidanation.org>; Rhiannon R. Metoxen <rmetoxe2@oneidanation.org>
Subject: E-POLL REQUEST: Approve interest assignment from OTIE to OESC contract # 2016-1347
Importance: High

E-POLL REQUEST**Summary:**

An E-Poll is being conducted for approval of an assignment of interest from OTIE to OESC to complete the merger authorized in 2013. The merger must be completed before the end of the year to avoid additional taxes and fees and potential impact on contracting.

Requested Action:

Approve the Membership Interest Assignment from OTIE to OESC, contract # 2016-1347; and to authorize an Officer to sign the assignment on behalf of the Oneida Nation.

Deadline for response:

Responses are due no later than **3:40 p.m. TODAY, Monday, December 5, 2016.**

Voting:

1. Use the voting button above, if available; OR
2. Reply with "support" or "oppose"

Heather Heuer

Information Management Specialist
Business Committee Support Office (BCSO)



office 920.869.4421
cell 920.471.5002
fax 920.869.4040

Oneida Business Committee Agenda Request

1. Meeting Date Requested: ___ / ___ / 16

2. General Information:

Session: Open Executive - See instructions for the applicable laws, then choose one:

Agenda Header:

Accept as Information only

Action - please describe:

Conduct an e-poll regarding the Assignment from OTIE to OESC

3. Supporting Materials

Report Resolution Contract

Other:

1.

3.

2.

4.

Business Committee signature required

4. Budget Information

Budgeted - Tribal Contribution Budgeted - Grant Funded Unbudgeted

5. Submission

Authorized Sponsor / Liaison:

Primary Requestor: _____
Your Name, Title / Dept. or Tribal Member

Additional Requestor: _____
Name, Title / Dept.

Additional Requestor: _____
Name, Title / Dept.

Oneida Business Committee Agenda Request

6. Cover Memo:

Describe the purpose, background/history, and action requested:

Request for an e-poll regarding approval of an assignment of interest from OTIE to OESC to complete the merger authorized in 2013. The merger must be completed before the end of the year to avoid additional taxes and fees and potential impact on contracting.

Requested Action: Motion to approve the Membership Interest Assignment from OTIE to OESC, contract # 2016-1347, and to authorize an officer to sign the assignment on behalf of the Oneida Nation.

- 1) Save a copy of this form for your records.
- 2) Print this form as a *.pdf *OR* print and scan this form in as *.pdf.
- 3) E-mail this form and all supporting materials in a **SINGLE** *.pdf file to: BC_Agenda_Requests@oneidation.org

Jo Anne House, PhD | Chief Counsel
James R. Bittorf | Deputy Chief Counsel

Patricia M. Stevens Garvey
Kelly M. McAndrews
Michelle L. Gordon
Krystal L. John

Law Office



MEMORANDUM

TO: Lisa M. Summers, Tribal Secretary

FROM: Jo Anne House, Chief Counsel

DATE: December 5, 2016

SUBJECT: OTIE – OESC Assignment of Ownership

OTIE has forwarded an Assignment of Ownership from OTIE to OESC. The legal review has been completed and identifies only that this requires approval of the Oneida Business Committee. The assignment completes the action approved by the Oneida Business Committee in resolution # BC-06-12-13-A.

Terry Ni, and Heather Cotey, Corporate Administrator OTIE, have identified the following information regarding preparations to complete the assignment.

The OTIE OESC senior management team has been working on the merger since the approval of the resolution in 2013. They have spent countless hours and costs coordinating the merger which includes items such as employee benefits (health and retirement), HRD systems, accounting systems, IT systems and the marketing systems and materials. The merger will need to be completed by December 31st, however, we are going to need a few weeks to process the merger. If we wait too long and January 1 passes we will incur additional tax liabilities. Unemployment taxes are due based on December 31 employment counts. If we cannot transfer the employees prior to 12/31, we will pay the taxes in January and again in 2017 when employees move to OESC. This will cost the company a minimum of a hundred thousand dollars for unemployment taxes only.

More importantly is the potential lost opportunity. Senior management has been promoting the concept to the employees for over a year and the OTIE staff have carried the message to our customers. We have more than \$40 million of 8(a) contracts coming to an end in 2017 and will be open to rebidding. We have been positioning the OESC team to our customers that the new OESC team is ready and includes the OTIE team whom they already have a high confidence in.

In 2012, before we were ready with MS2, we feel we lost \$8-\$12M in construction contracts. We cannot afford to let that happen again. As OTIE nears graduation we have come to the point where we will need to implement the plan. Employees are ready and customers are eager to start working with the new companies. *E-mail Correspondence, December 2, 2016.*

Our office received the request to conduct the legal review on November 4, 2016. Unfortunately, the review was delayed and was only recently completed. Because the assignment needs to be completed before the end of the year, Ms. Ni and the Board of Directors have identified that

Page 2

there is not sufficient time to complete the merger if approval of the assignment is delayed in receiving approval at the next regular Oneida Business Committee meeting.

I have attached the legal review, the assignment, the 2013 resolution and the strategic plan document for your review.

Requested Action: Motion to approve the Membership Interest Assignment from OTIE to OESC, contract # 2016-1347, and to authorize an officer to sign the assignment on behalf of the Oneida Nation.

ONEIDA LAW OFFICE

CONFIDENTIAL: ATTORNEY/CLIENT WORK PRODUCT

TO: Ronald "Tehassi" W. Hill Jr.
Business Committee

Use this number on future correspondence:

FROM: James R. Bittorf, Deputy Chief Counsel



2016-1347

DATE: December 1, 2016

RE: Oneida ESC Group LLC-Membership Interest
Assignment

| |
|--|
| <u>Purchasing Department Use</u> <input checked="" type="checkbox"/> Contract Approved <input type="checkbox"/> Contract Not Approved <small>(see attached explanation)</small> |
|--|



If you have any questions or comments regarding this review, please call 869-4327.

The attached agreement, contract, policy and/or guaranty has been reviewed by the Oneida Law Office for legal content only. Please note the following:

- ✓ The document is in appropriate legal form. *(Execution is a management decision.)*
- ✓ Requires Business Committee approval prior to execution.

MEMBERSHIP INTEREST ASSIGNMENT

NOW, THEREFORE, the undersigned hereby conveys, assigns and transfers unto Oneida ESC Group, LLC, a Nevada limited liability company ("**OESC**"), as an additional contribution to the capital of OESC, a one hundred percent (100%) membership interest (i.e., percentage interest) in Oneida Total Integrated Enterprises, LLC, a Nevada limited liability company (the "**Company**"), owned or otherwise standing in the name of the undersigned on the books of the Company (the "**Assigned Interest**"), and herewith irrevocably constitutes and appoints _____ attorney to transfer the Assigned Interest to OESC on the books of the Company with full power of substitution in the premises. The undersigned hereby represents and warrants that it owns the Assigned Interest free and clear of all security interests, liens, pledges, charges and other encumbrances, except that the Assigned Interest remains subject to the terms of the Operating Agreement of the Company, signed May 15 and May 18, 2007, by the undersigned and the Company.

Dated at _____, Wisconsin, as of the ____ day of _____, 2016.

ONEIDA TRIBE OF INDIANS OF
WISCONSIN

By: _____
Name: _____
Title: _____

Oneida Tribe of Indians of Wisconsin



Oneidas bringing several hundred bags of corn to Washington's starving army at Valley Forge, after the colonists had consistently refused to aid them.



UGWA DEMOLUM YATEHE
Because of the help of this Oneida Chief in cementing a friendship between the six nations and the colony of Pennsylvania, a new nation, the United States was made possible.

BC Resolution 06-12-13-A

To Extend and Renew the Board of Managers' Terms of Oneida Total Integrated Enterprises, LLC

- WHEREAS,** the Oneida Tribe of Indians of Wisconsin is a federally recognized Indian government and a treaty tribe recognized by the laws of the United States of America, and
- WHEREAS,** the Oneida General Tribal Council is the governing body of the Oneida Tribe of Indians of Wisconsin, and
- WHEREAS,** the Oneida Business Committee has been delegated the authority of Article IV, Section 1, of the Oneida Tribal Constitution by the Oneida General Tribal Council, and
- WHEREAS,** the Oneida Business Committee created Oneida Total Integrated Enterprises, LLC (OTIE), pursuant to OBC Resolution 4-11-07-J, to perform environmental engineering, science and construction management services under the U.S. Small Business Administration 8(a) program, and
- WHEREAS,** the Oneida Business Committee created Oneida ESC Group, LLC (OESC), pursuant to OBC Resolution 3-14-12-C, for purposes of operating the NAICS code Section 8(a) arena regarding engineering, science and construction, and
- WHEREAS,** the Oneida Total Integrated Enterprises, LLC (OTIE) 2nd Quarter Report for 2012 Strategic Planning section provided an outline for a detailed corporate structure establishing a holding company with separate subsidiary limited liability companies to utilize different to utilize different North American Industry Classification System (NAICS) codes and maximize the benefits of the SBA 8(a) Program, and
- WHEREAS,** the Oneida Business Committee recognizes that a holding company structure is part of the OTIE and OESC long-term strategic plans to create streamlined management and cost-effective operations that are sustainable and increase profitability through the use of established resources such as credit and trusting relationships with financial organizations, surety companies, federal and commercial clients, and key management employees, and
- WHEREAS,** the OTIE and OESC long-term strategic plans contemplate that OESC will acquire OTIE as a subsidiary limited liability company of OESC, and that such plan provides for a transition period while OTIE prepares to become a subsidiary of OESC, which is to occur no later than December 31, 2017, and
- WHEREAS,** upon the OESC acquisition of OTIE as a subsidiary company, and as part of the acquisition process, OTIE will transfer its general management and administrative staff to manage OESC, provided that all technical and business units will remain with OTIE, and
- WHEREAS,** the strategic plan and transition period contemplate that the current OTIE Board of Managers composition will remain the same throughout the transition period to preserve the continuity and consistency of Board leadership throughout the transition period, and
- WHEREAS,** the OTIE Board of Managers currently consists of Wilbert Rentmeester, Wayne Metoxen, Leslie Wheelock, Jeff House, and Jacquelyn Zalim whom each serve staggered terms ending May 11, 2014; May 11, 2014, July 2, 2015; August 11, 2013 and June 23, 2013 respectively, and
- WHEREAS,** the OESC Board of Managers currently consists of Jacquelyn Zalim, Jeff House and Wilbert Rentmeester who are serving their initial terms of five (5) years that expire March 14, 2017, and

WHEREAS, Section 3.3 of the OTIE Operating Agreement provides that a Manager's term can be extended or renewed with the Member's approval, and

WHEREAS, it is recommended that the current terms of Board of Managers Leslie Wheelock, Wilbert Rentmeester, Wayne Metoxen and Jeff House be extended by four (4) years, and the expiring term of current Board of Manager Jacquelyn Zalim be renewed and extended by four (4) years to implement the strategic plan and ensure sufficient time for their Board leadership during the transition period and acquisition process of OTIE becoming a subsidiary company of OESC, as reflected in the chart below, and

| Board Member | Current Term Expiration | New Term Expiration |
|---------------------|-------------------------|---------------------|
| Leslie Wheelock | 7/2/2015 | 7/2/2019 |
| Wilbert Rentmeester | 5/11/2014 | 5/11/2018 |
| Wayne Metoxen | 5/11/2014 | 5/11/2018 |
| Jeff House | 8/11/2013 | 8/11/2017 |
| Jacquelyn Zalim | 6/23/2013 | 6/23/2017 |

WHEREAS, renewing and extending the term of OTIE Board of Manager Jacquelyn Zalim by four (4) years will ensure that her OTIE Board term will remain consistent with her current OESC Board of Manager term during implementation of the strategic plan, the transition period and acquisition process, and

WHEREAS, extending the OTIE Board of Managers' terms by four (4) years will preserve the staggered term schedule as required by the Operating Agreement, and

WHEREAS, the Oneida Business Committee as Member recognizes the importance of maintaining a consistent Board composition for purposes of OESC acquiring OTIE as a subsidiary company, and the necessity for renewing and extending the OTIE Board member terms for the duration of the transition period and the acquisition process.

NOW THEREFORE BE IT RESOLVED, that the Oneida Tribe of Indians of Wisconsin, as represented by the Oneida Business Committee, does hereby determine that the composition of the Board of Managers for Oneida Total Integrated Enterprises, LLC, should be consistent with the composition of the Board of Managers for Oneida ESC Group, LLC, for purposes of the transition period and the OESC acquisition of OTIE.

BE IT FINALLY RESOLVED, that the Oneida Business Committee hereby extends the OTIE Board of Managers' terms of Leslie Wheelock, Wilbert Rentmeester, Wayne Metoxen, and Jeff House by four (4) years to serve throughout the implementation of the strategic plan, the transition period and the OESC acquisition of OTIE, with those extended terms to end July 2, 2019; May 11, 2018; May 11, 2018; and August 11, 2017 respectively; and hereby renews and extends the expiring term of OTIE Board of Manager Jacquelyn Zalim by four (4) years to serve throughout the implementation of the strategic plan, the transition period and the OESC acquisition of OTIE, with her extended term to end June 23, 2017.

CERTIFICATION

I, the undersigned, as Secretary of the Oneida Business Committee, hereby certify that the Oneida Business Committee is composed of 9 members, of whom 5 members constitute a quorum; 7 members were present at a meeting duly called, noticed and held on the 12th day of June, 2013; that the forgoing resolution was duly adopted at such meeting by a vote of 4 members for; 2 members against; and 0 members not voting; and that said resolution has not been rescinded or amended in any way.


 Patricia Hoeft, Tribal Secretary
 Oneida Business Committee

*According to the By-Laws, Article I, Section 1, the Chair votes "only in the case of a tie."

STRATEGIC PLAN

With the approval and support of the Oneida Business Committee (OBC), the OTIE Board and management was authorized to sponsor and provide the resources to establish the Oneida ESC Group, LLC (OESC). OESC was established to expand the revenue generation from the different areas of the construction related industry (which OTIE will exceed the size standard to be qualified as a small business) and also to increase the revenue that can be generated from different geographic areas of the country.

After numerous thoughts, planning, discussion among the board members, management team, and legal consultants with expertise, the decision was made to establish OESC as a holding company and each of its subsidiaries would be setup having their own expertise under different NAICS codes in the construction-related engineering and science field. In fact, one of the OESC subsidiaries, Mission Support Services (MS2), applied for 8(a) certification in 2012, and was recently approved by US SBA.

At the current time, OTIE has been providing all of the resources to support this big effort for OESC and its subsidiaries. This support includes overall general management, accounting, financial, contracting and procurement, general administration, marketing, and other assistance. This has been a tremendous administrative effort for OTIE.

Without any further financial support and surety programs from the Oneida Tribe, OESC will have difficulties to operate and fully develop its business without using OTIE's resources totally.

After all the discussion and strategic planning, the OTIE Board and OESC Board would like to propose that, instead of OESC indirectly using OTIE's overall general management, financial, administrative, and all other support from OTIE; the two Boards should be combined eventually after completing the implementation plan (the current two boards should maintain the same composition and consistency), and move the entire OTIE general and administrative management to OESC. The operational/technical business units of OTIE would remain under OTIE and become a subsidiary of OESC. After implementing this plan, all the original OTIE resources, including the management, finance and surety resources (line of credit, bonding capacity, guarantees, collateral, and so forth) will become OESC resources (as holding company) and will benefit all of the subsidiaries. This discussion has been taking place since last year and was included in OTIE's 2012 2nd Quarter Report to the OBC.

This implementation plan needs to be processed through US SBA. This plan has been discussed with the legal expert and the SBA and it will require time and effort to file the paperwork and go through the process required. The timeframe to complete the implementation may be longer than expected. We know it can be done, it will just take time and effort. At this time, the consistency of the composition of the two Boards is very important to both companies and for the future combination of both companies, because documentation, application, and certification are depending upon the entire Board.

The attached information includes:

Attachment A – This is the strategic plan that was submitted to the OBC in the 2nd Quarter Report in 2012. This strategic plan included a very detailed discussion among the board members, management team, and legal consultant/expert. This plan was also consulted and discussed with Joanne House, the Chief Counsel of the Oneida Tribe. This strategic plan offers the recommendation and preliminary implementation plan.

Attachment B – This is the excerpts of the regulation from Codes of Federal Regulation (CFR) - 13 C.F.R. § 121.103. This section of the CFR has been exercised by almost all of the tribally-owned and ANC companies. This regulation indicates the CFR allows any tribally-owned or ANC company to form a holding company and each of its subsidiaries can apply for 8(a) certification under their expertise under different NAICS codes. The general overall management, administrative and other general support can be provided by the holding company to all of the subsidiaries.

Attachment C - The organizational charts show the current organization and future organization after implementing this plan.

Attachment A

Strategic Planning: The newly approved and formed Oneida ESC Group (OESC) completed its corporate filings this quarter. For short and long-term strategic planning, OESC Board Members, Jacquelyn Zalim (Chairwoman), Wilbert Rentmeester and Jeff House reached out to Attorney Pam Mazza regarding federal procurement, who has expertise in federal procurement and contracting, especially in the US SBA 8(a) program. During the board's conference call on April 5, 2012, Mazza explained how tribally owned 8(a) process works and how other tribally-owned companies are structured in order to maximize the benefits and to fully use the special allowances offered to tribally –owned companies by the SBA. Mazza further described how other tribes/ANC has structured their 8(a) companies by developing a parent/holding company for different subsidiaries. Each subsidiary can apply for 8(a) certification based on different NAICS codes. In Mazza's professional opinion, OESC should be structured similarly by acting as a holding company to separate subsidiaries performing different NAICS codes. Mazza understands that the main purpose of OESC will be performing mainly construction, construction management or other construction-related fields such as O&M, SRM or related engineering and science areas.

In order to take advantage of economies of scale, Mazza also suggested that the overall top management leadership and the services of the infrastructure people who usually are on general administration (G&A), such as financial/accounting, administration/human resources, and contracting management personnel and so forth be provided to each subsidiary by the holding company. Therefore, the strength of the management leadership with the infrastructure services can benefit all the subsidiaries and the G&A costs can be shared by each subsidiary. This can be efficient, streamlined and cost effective operation. Mazza further suggested that OTIE's top management and infrastructure is already established and approved by the Defense Contract Auditing Agency, and also the instant credibility for banking, bonding, and client relationships, that it be transferred to OESC to further take advantage of economies of scale. The operational/technical business units of OTIE will remain under OTIE and become a subsidiary under OESC. This would help maximum the efficiencies of the overall operation. To move forward with this structure as other ANCs and tribally-owned firms have done successfully, OESC and the OTIE's board should be able to make this arrangement, but she suggested we should run it past Attorney Joanne House, the Tribe's Chief Counsel. After discussing with Mazza, Joanne understands the overall plan and thought it is a logical and good plan and, also advised to first put an explanation/plan in the financial section of OTIE's quarterly report. The OTIE Board is currently analyzing their options to move the CEO/President, G&A and Business Development functions to OESC from OTIE to maximize profitability and efficiencies.

Attachment B**13 C.F.R. § 121.103:**

(b) *Exceptions to affiliation coverage.* (1) Business concerns owned in whole or substantial part by investment companies licensed, or development companies qualifying, under the Small Business Investment Act of 1958, as amended, are not considered affiliates of such investment companies or development companies.

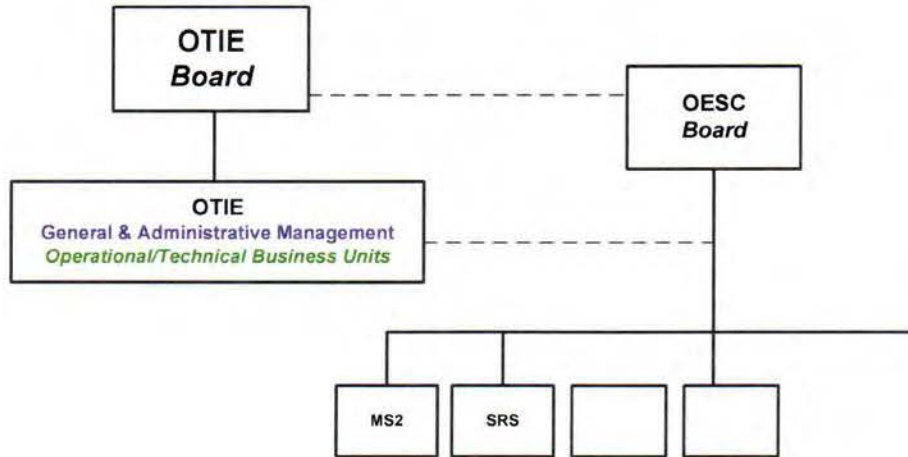
(2)(i) Business concerns owned and controlled by Indian Tribes, Alaska Native Corporations (ANCs) organized pursuant to the Alaska Native Claims Settlement Act (43 U.S.C. 1601 *et seq.*), Native Hawaiian Organizations (NHOs), Community Development Corporations (CDCs) authorized by 42 U.S.C. 9805, or wholly-owned entities of Indian Tribes, ANCs, NHOs, or CDCs are not considered affiliates of such entities.

ii) Business concerns owned and controlled by Indian Tribes, ANCs, NHOs, CDCs, or wholly-owned entities of Indian Tribes, ANCs, NHOs, or CDCs are not considered to be affiliated with other concerns owned by these entities because of their common ownership or common management. In addition, affiliation will not be found based upon the performance of common administrative services, such as bookkeeping and payroll, so long as adequate payment is provided for those services. Affiliation may be found for other reasons.

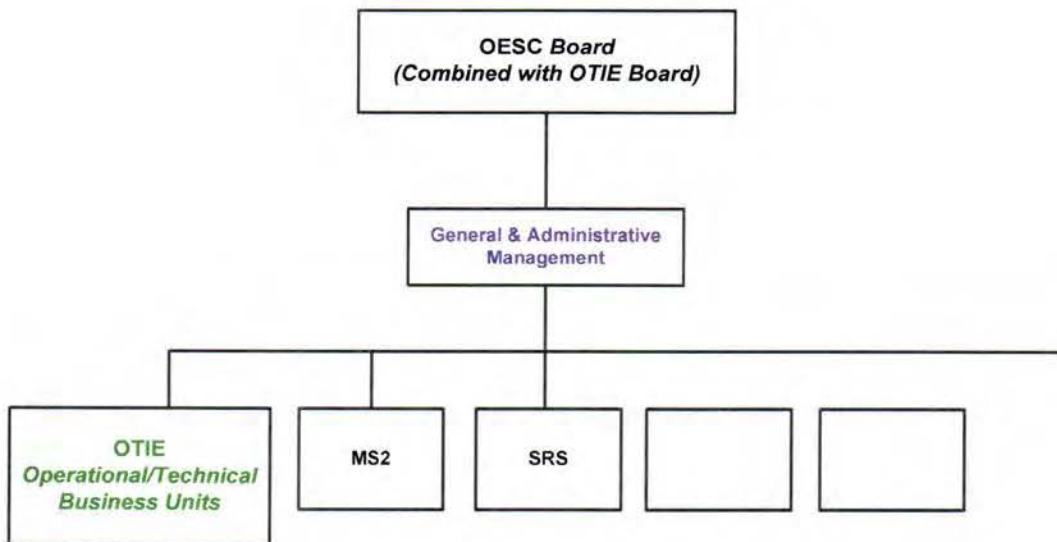
(g) *Affiliation based on the newly organized concern rule.* Affiliation may arise where former officers, directors, principal stockholders, managing members, or key employees of one concern organize a new concern in the same or related industry or field of operation, and serve as the new concern's officers, directors, principal stockholders, managing members, or key employees, and the one concern is furnishing or will furnish the new concern with contracts, financial or technical assistance, indemnification on bid or performance bonds, and/or other facilities, whether for a fee or otherwise. A concern may rebut such an affiliation determination by demonstrating a clear line of fracture between the two concerns. A "key employee" is an employee who, because of his/her position in the concern, has a critical influence in or substantive control over the operations or management of the concern.

Attachment C

Current Organization



Future Organization



- OESC - Oneida ESC Group
- OTIE - Oneida Total Integrated Enterprises
- MS2 - Mission Support Services
- SRS - Sustainment & Restoration Services

OESC/OTIE Strategic Plan:

In 2011, OTIE began planning for the graduation from the US SBA 8a program (April 2017). After consulting with senior OTIE personnel, Attorneys that specialize in 8a companies, Oneida Law Office and the SBA directly, a plan was developed and presented to the Oneida Business Committee (OBC) in 2012.

OTIE reviewed the model of a holding company with subsidiaries. Almost all of the tribally owned and Alaskan Native Corporation (ANC) companies use this model. Federal regulations exempt tribally owned and ANC companies from affiliation rules, thereby giving OESC a strategic advantage when it comes to a holding company structure. By allowing Tribal corporations to house general overall management, administrative and other support services at the holding company level, subsidiaries have lower overhead and become more competitive.

Additional advantages include:

- Potential to expand revenues from different NAICS codes.
- Opportunity to create additional 8a companies in the Engineering and Construction (A/E/C) fields.
- Opportunity to manage 8a restriction such as size standards and NAICS code restrictions.

The plan was to create a holding company and then develop subsidiaries in strategic NAICS codes and apply for 8a status.

No cash was needed from the shareholder and all operational expenses covered by OTIE.

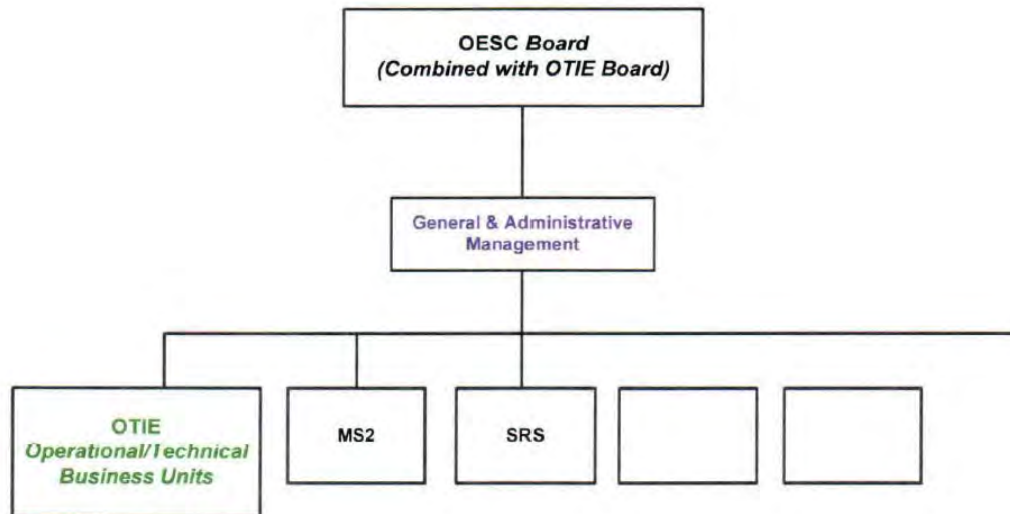
The OBC passed a resolution 6-12-13-A supporting the plan, created OESC and expanded the role for the Board of Managers.

OTIE began to implement the plan immediately.

The OTIE Board of Managers authorized to sponsor and provide the resources to establish the Oneida ESC Group, LLC (OESC).

- OESC subsidiary, Mission Support Services (MS2), created in 2012 was certified 8(a) in 2013. Primary NAICS code construction.
- OESC subsidiary, Sustainment Restoration Services (SRS), created in 2013 was certified 8(a) in 2014. Primary NAICS code municipal water and sewer; however, may be modified to accept OTIE customers.
 - OTIE provides all of the resources to support the effort for OESC and its subsidiaries through an Administrative Services Agreement.
 - This support includes overall general management, accounting, financial, contracting and procurement, general administration, marketing, and other assistance.

- Preferred to move OTIE under OESC immediately; however, SBA requires re-application for 8a if there is a change of ownership; therefore the strategy was to complete merger after FY16.
- The two Boards (OTIE and OESC) be combined after completing the implementation plan (the current two boards should maintain the same composition and consistency), and move the entire OTIE general and administrative management to OESC.
- The operational/technical business units of OTIE would remain under OTIE and become a subsidiary of OESC. After implementing this plan, all the original OTIE resources, including the management, finance and surety resources (line of credit, bonding capacity, guarantees, collateral, and so forth) will become OESC resources (as holding company) and will benefit all of the subsidiaries.



OESC - Oneida ESC Group
 OTIE - Oneida Total Integrated Enterprises
 MS2 - Mission Support Services
 SRS - Sustainment & Restoration Services

2015 and 2016 the companies began to prepare for the merger as approved by the OBC.

- OTIE HRD, Accounting, Marketing and MIS began updating systems for the holding company structure.
- Cross marketing was taking place in the field. Several OTIE business opportunities were placed in a OESC subsidiary. These business opportunities were new to OTIE.

- In the 4th quarter of 2016, the OBC was informed that OTIE would be moving forward with the final stage of merging OTIE under OESC.

The implementation of the plan is in the final stage as OTIE prepares to move under OESC.

The focus is now shifting to existing customers. We have an opportunity of maintaining existing OTIE contracts under OESC. In the future months, there are a total of \$37MM of contracts that the period of performance will be expiring. While OTIE's period of performance is expiring, the work is not and the government will rebid these contracts.

Incumbents vendors have a competitive advantage for obvious reasons (they know the work, they know the customers, they know where the profit and loss are within a project). OTIE / OESC does not know when these contracts will be sent out for bid. However, completing the merger now will give OESC the same competitive advantage noted above. Without the merger, the Contracting Officer will lack confidence in OESC without OTIE – even though the two companies have been working together. The contracting officer cannot control mergers and will be unwilling to take on the risk of a “future” merger. We can control this issue by completing the plan. We cannot control when the customers decide to send out the request to bid.

The OTIE OESC senior management team has been working on the merger since the approval of the resolution in 2013. They have spent countless hours and costs coordinating the merger which includes items such as employee benefits (health and retirement), HRD systems, accounting systems, IT systems and the marketing systems and materials. In addition, last year OTIE spent \$140K on State and Federal Unemployment Tax. If OTIE is able to merge before 1/1/17, we would save paying double unemployment tax on employees. If we are not able to merge before 1/1/17, employees will stay with their current company where we would pay their unemployment tax of \$140K. Then when the merger does occur and the employees move to the appropriate company, then we will have to pay that unemployment tax again, potentially double for each employee.

Oneida Business Committee Agenda Request

1. Meeting Date Requested: 12 / 14 / 16

2. General Information:

Session: Open Executive - See instructions for the applicable laws, then choose one:

Agenda Header:

Accept as Information only

Action - please describe:

Direct the Treasurer to provide an status update on the development of the SOP's for the Economic Development and Diversification Set Aside at the next Business Committee meeting.

3. Supporting Materials

Report Resolution Contract

Other:

1.

3.

2.

4.

Business Committee signature required

4. Budget Information

Budgeted - Tribal Contribution Budgeted - Grant Funded Unbudgeted

5. Submission

Authorized Sponsor / Liaison:

Primary Requestor/Submitter: Lisa Liggins, Executive Assistant II
Your Name, Title / Dept. or Tribal Member

Additional Requestor: _____
Name, Title / Dept.

Additional Requestor: _____
Name, Title / Dept.

Oneida Business Committee Agenda Request

6. Cover Memo:

Describe the purpose, background/history, and action requested:

****BACKGROUND****

On September 28, 2016, the Business Committee adopted the resolution entitled "Assignment of Return on Investment from OTIE to Economic Development and Diversification" (attached) with the following resolves:

NOW THEREFORE BE IT RESOLVED, that the Oneida Business Committee creates the Economic Development and Diversification set-aside to be made up of all return-of-investment funds distributed by OTIE to the Oneida Nation. The Economic Development and Diversification set-aside shall be managed as an investment by the Finance Department as directed by investment policies approved by the Treasurer and the Finance Committee until such time as the Oneida Business Committee approves Standard Operating Procedures for the use of the fund and/or until such time as an endowment is created under the Endowments law.

BE IT FUTHER RESOLVED, the initial funding of the Economic Development and Diversification Set Aside is the one million dollars (\$1,000,000) in net profits received in Fiscal Year 2016 from OTIE.

BE IT FUTHER RESOLVED, that the Tribal Treasurer will develop Standard Operating Procedures on how to access the Economic Development and Diversification Set Aside no later than December 1, 2016 which shall be approved by the Oneida Business Committee.

BE IT FINALLY RESOLVED, that starting with the July 2017 Semi-Annual General Tribal Council meeting, a report on the Economic Development and Diversification Set Aside shall be provided to the General Tribal Council at the Annual and Semi-Annual meetings as part of the Treasurer's report.

****CURRENT STATUS****

As of December 1, 2016, the required Standard Operating Procedures (SOP's) have not been presented to the Oneida Business Committee for approval.

****REQUESTED ACTION****

Direct the Treasurer to provide an status update on the development of the SOP's for the Economic Development and Diversification Set Aside at the next Business Committee meeting.

- 1) Save a copy of this form for your records.
- 2) Print this form as a *.pdf OR print and scan this form in as *.pdf.
- 3) E-mail this form and all supporting materials in a **SINGLE** *.pdf file to: BC_Agenda_Requests@oneidanation.org

Oneida Nation

Post Office Box 365

Phone: (920)869-2214



Oneida, WI 54155

BC Resolution # 09-28-16-B

Assignment of Return on Investment from OTIE to Economic Development and Diversification

- WHEREAS,** the Oneida Nation is a federally recognized Indian government and a treaty tribe recognized by the laws of the United States of America; and
- WHEREAS,** the Oneida General Tribal Council is the governing body of the Oneida Nation; and
- WHEREAS,** the Oneida Business Committee has been delegated the authority of Article IV, Section 1, of the Oneida Tribal Constitution by the Oneida General Tribal Council; and
- WHEREAS,** the Oneida Business Committee adopted resolution # BC-04-11-07-J which created Oneida Total Integrated Enterprises, LLC (OTIE), authorized the Chairman or Vice Chairperson of the Business Committee to execute the OTIE Operating Agreement, and transferred a capital contribution in the amount of \$180,000 from the FY-2007 budget to OTIE upon the execution of the OTIE Operating Agreement; and
- WHEREAS,** section 8.4 of the OTIE Operating Agreement indicates how net profits of the company are allocated; and
- WHEREAS,** OTIE acquired 8(a) certification under the U.S. Small Business Administration on April 4, 2008; and
- WHEREAS,** the Oneida Business Committee adopted resolution # BC-11-26-08-J which approved a loan from the Oneida Nation to OTIE in the amount of \$6,200,000.00 for the purpose of acquiring TN & Associates Inc.; and
- WHEREAS,** on December 5, 2008, OTIE purchased TN & Associates Inc.; and
- WHEREAS,** the Oneida Business Committee adopted resolution # BC-12-10-08-J which approved a loan from the Oneida Nation to OTIE pursuant to a Promissory Note in the amount of \$132,215.00 for the purpose of providing operating funds; and
- WHEREAS,** the Oneida Business Committee adopted resolution # BC-12-23-08-A which amended the OTIE Operating Agreement to expand the Board of Managers from three members to five members; and
- WHEREAS,** on February 13, 2009, TN & Associates merged with OTIE; and
- WHEREAS,** the Oneida Business Committee adopted resolution # BC-09-09-09-C which rescinded the promissory note authorized by resolution # BC-11-26-08-J and authorized the use of the \$6.2 million as equity, to be treated as a preferred investment and to be reimbursed to the Nation in full; in order to assist OTIE in developing sufficient equity to complete the purchase of TN&A and to obtain surety bonding for its governmental projects; and
- WHEREAS,** in 2009, OTIE returned \$132,215.00 to the Nation pursuant to the Promissory Note authorized by resolution # BC-12-10-08-J; and

- WHEREAS,** the Oneida Business Committee adopted Amendment #2 to the Operating Agreement for OTIE which, among other items, amended section 8.4.2 to reflect a change in a required payment to the Oneida Nation on an annual basis to a payment up to 75% of the net profit on an annual basis which allowed OTIE to retain profits which increased the financial stability of the corporation allowing it to grow equity for an increased and independent line of credit and bonding purposes; and
- WHEREAS,** in 2010, OTIE distributed \$500,000.00 in net profits to the Nation in accordance with the OTIE Operating Agreement; and
- WHEREAS,** the Oneida Business Committee adopted resolution # BC-01-26-11-F which amended the Articles of Organization for OTIE for the purpose of adding Article XI which sets forth standardized corporate reporting requirements; and
- WHEREAS,** the Oneida Business Committee adopted resolution # BC-03-14-12-C which established Oneida ESC Group, LLC (OESC), approved the OESC Operating Agreement, and deferred \$200,000 from the OTIE net profit distribution directly to OESC as startup funding; and
- WHEREAS,** in March 2013, OTIE distributed \$120,000 in net profits to the Nation in accordance with the OTIE Operating Agreement; and
- WHEREAS,** the Oneida Business Committee adopted resolution # BC-06-12-13-A which extended and renewed the Board of Managers' Term of OTIE in order to ensure the OTIE Board of Managers remained consistent for the purpose of OESC acquiring OTIE as a subsidiary company; and
- WHEREAS,** in March 2014, OTIE distributed \$500,000 in net profit to the Nation in accordance with the OTIE Operating Agreement; and
- WHEREAS,** in March 2015, OTIE distributed \$200,000 in net profit to the Nation in accordance with the OTIE Operating Agreement; and
- WHEREAS,** in March 2016, OTIE distributed \$1,000,000 in net profit to the Nation in accordance with the OTIE Operating Agreement; and
- WHEREAS,** net profits distributed by OTIE to the Oneida Nation are deposited into the General Fund which is consistent with the receipt of all revenues of the Nation and as part of that process are identified as carry-over of unbudgeted funds to be utilized in the every other fiscal year budgeting process; and
- WHEREAS,** the Oneida Business Committee has made Economic Development and Diversification a priority of the Oneida Nation and desires to utilize funds returned from investment in corporate entities of the Nation for the purposes of developing future economic opportunities; and

NOW THEREFORE BE IT RESOLVED, that the Oneida Business Committee creates the Economic Development and Diversification set-aside to be made up of all return-of-investment funds distributed by OTIE to the Oneida Nation. The Economic Development and Diversification set-aside shall be managed as an investment by the Finance Department as directed by investment policies approved by the Treasurer and the Finance Committee until such time as the Oneida Business Committee approves Standard Operating Procedures for the use of the fund and/or until such time as an endowment is created under the Endowments law.

BE IT FUTHER RESOLVED, the initial funding of the Economic Development and Diversification Set Aside is the one million dollars (\$1,000,000) in net profits received in Fiscal Year 2016 from OTIE.

BE IT FUTHER RESOLVED, that the Tribal Treasurer will develop Standard Operating Procedures on how to access the Economic Development and Diversification Set Aside no later than December 1, 2016 which shall be approved by the Oneida Business Committee.

BE IT FINALLY RESOLVED, that starting with the July 2017 Semi-Annual General Tribal Council meeting, a report on the Economic Development and Diversification Set Aside shall be provided to the General Tribal Council at the Annual and Semi-Annual meetings as part of the Treasurer's report.

CERTIFICATION

I, the undersigned, as Secretary of the Oneida Business Committee, hereby certify that the Oneida Business Committee is composed of 9 members of whom 5 members constitute a quorum; 7 members were present at a meeting duly called, noticed and held on the 28th day of September, 2016; that the forgoing resolution was duly adopted at such meeting by a vote of 6 members for, 0 members against, and 0 members not voting; and that said resolution has not been rescinded or amended in any way.



Lisa Summers, Tribal Secretary
Oneida Business Committee

*According to the By-Laws, Article I, Section 1, the Chair votes "only in the case of a tie."

Oneida Business Committee Agenda Request

1. Meeting Date Requested: 12 / 14 / 16

2. General Information:

Session: Open Executive - See instructions for the applicable laws, then choose one:

Agenda Header:

Accept as Information only

Action - please describe:

Approval of Travel Report for TTAG meeting held in Washington D.C. November 2-3, 2016

3. Supporting Materials

Report Resolution Contract

Other:

1.

3.

2.

4.

Business Committee signature required

4. Budget Information

Budgeted - Tribal Contribution

Budgeted - Grant Funded

Unbudgeted

5. Submission

Authorized Sponsor / Liaison:

Primary Requestor/Submitter:

Your Name, Title / Dept. or Tribal Member

Additional Requestor:

Name, Title / Dept.

Additional Requestor:

Name, Title / Dept.

Oneida Business Committee Agenda Request

6. Cover Memo:

Describe the purpose, background/history, and action requested:

Respectfully requesting the Oneida Business Committee to approve my travel report for my attendance to the Tribal Technical Advisory Group meeting in Washington D.C. November 2-3, 2016.

Please note that I am the Midwest region's representative.

- 1) Save a copy of this form for your records.
- 2) Print this form as a *.pdf *OR* print and scan this form in as *.pdf.
- 3) E-mail this form and all supporting materials in a **SINGLE** *.pdf file to: BC_Agenda_Requests@oneidanation.org

BUSINESS COMMITTEE TRAVEL REPORT



Traveler Name: Melinda J. Danforth
 Enter name(s) of other Travelers OR [SPACE BAR] to delete

**Medicare, Medicaid and Health Reform Policy
Committee (MMPC) & Tribal Technical Advisory
Group (TTAG)**

Travel Event: _____

Travel Location: Washington D.C.

Departure Date: 11/02/2016 **Return Date:** 11/03/2016

Projected Cost: \$824.70 **Actual Cost:** \$676.31 **

Date Travel was Approved by OBC: 09/24/2014

Narrative/Background:
MMPC & TTAG Purpose

The Medicare, Medicaid and Health Reform Policy Committee (MMPC) is a standing committee of the National Indian Health Board (NIHB) with the purpose of providing technical support to the TTAG. TTAG is a group codified by the ARRA of 2009 and is composed of formally appointed representatives from each Indian Health Service (IHS) area as well as from specific national Indian organizations such as the National Indian Health Board. TTAG advises the Centers for Medicare and Medicaid Services (CMS) on Indian issues related to Medicare, Medicaid, the Children's Health Insurance Program (CHIP), and any other health care program funded by CMS.

MMPC's focus is on the Affordable Care Act (ACA) and Indian Health Improvement Act (IHICA) health policy issues, regulation review, and the development of draft positions with the greatest opportunities and highest priority of the Indian Health System. MMPC also acts as a national forum to identify, discuss, advise and act on issues that will improve the health of American Indians and Alaska Natives.

TTAG Strategic Goals for 2013-2018

TTAG Strategic goals for 2013-2018 are as follows;

1. CMS engages in meaningful **consultation** with Tribes and works closely with the TTAG.
2. CMS enacts and implements **policy** through regulation, guidance, review and enforcement to align CMS programs to serve AI/ANs by improving enrollment processes, assuring access to care, having efficient payment systems, and increasing the I/T/U capacity to deliver integrated, comprehensive programs.
3. CMS improves and expands opportunities for development and delivery of **Long Term Services and Support** throughout Indian communities.
4. Through **outreach and enrollment** activities, all I/T/U programs are fully informed about CMS programs and AI/ANs know about benefits to which they are entitled.
5. Develop and improve CMS **data systems to evaluate** and expand the capacity of CMS to serve American Indians and Alaska Natives.

The entire strategic plan can be found at http://www.nihb.org/tribalhealthreform/wp-content/uploads/2013/02/AIAN-Strategic-Plan_Eval_FINAL_2-20_2014.pdf

Meeting Highlights

1. Presidential Elections. The Presidential elections were discussed heavily both at MMPC and TTAG as the presidential candidates both had differing views surrounding the Affordable Care Act (ACA). Hillary Clinton proposes some changes to the ACA that would address the rising cost of insurance in the marketplaces while Donald Trump proposes to repeal the ACA in its entirety. The Tribes were very concerned with either proposal as changes to the ACA need to take in to consideration AI/AN issues such as the definition of Indian, zero cost sharing provisions, exemptions, etc. The repeal of the ACA is a very huge concern as the Indian Health Care Improvement Act (IHCIA) is contained with the ACA legislation. The IHCIA is the legislation that authorizes health care to American Indians and Alaskan Natives.
2. Social Security Number Removal Initiative. When the Medicare Access and CHIP Reauthorization Act was approved by Congress it mandated that social security numbers be removed from Medicare cards in order to address identity theft issues. The deadline to have this complete will be April 2019. CMS reported that it will begin issuing new cards in April 2018 in a phased approach whereby 150 million cards will be distributed year 1 and then approximately 60-90 million thereafter. There will be 21 months whereby an individual will be able to utilize either the old card or the new card. This change will have an impact on our tribal membership enrolled in Medicare and also our EMR and data systems at the Oneida Health Center, AJRCCC and Behavioral Health. Along with being informed on the changes as this initiative is being implemented, the Tribes want CMS to ensure that the data exchange between IHS and the Social Security Administration continues to occur as the majority of tribal health facilities utilize the data map that is produced from the Social Security Administration.

3. Medicare Diabetes Prevention Program (DPP). The Tribes are concerned about CMS imposing CDC's requirements for "evidence based programming" and the application of CDC guidelines because they would be too limiting for Tribes. I/T/U's Special Diabetes programs for Indians (SDPI) has been successful and in operation for years. Tribes requested that the current SDPI tribal programming would be grandfathered in.
4. CMS Helpdesk for Marketplace Enrollment Assistance. CMS' helpdesk representatives are not very knowledgeable about the special provisions provided to AI/AN and often the advice that is given to the customer is wrong. Tribes have continued to advocate for an Indian Call Center or dedicated expert representatives just for AI/AN population. CMS has responded that it is cost prohibitive for a dedicating call center, but committed to retrain the representatives.
5. CMS Leadership Changes. Timothy Hill and Deidre Gifford are now the Deputy Directors of CMCS. Kevin Counihan is the CEO, Marketplace & Director of CCIIO. The Tribes requested that the CMS leadership continue to value the work of TTAG and recognize the role we play in advising CMS of AI/AN issues.
6. Tribal Operated Waiver (WI). I spoke with Kitty Marx relating to the tribal waiver that will be submitted in December by the State of WI. There is indication that Region 5 CMS is once again misinterpreting the waiver. In an effort to head off this problem, Kitty will meet with Region 5 CMS to ensure they are on the same page for when the waiver is submitted.

Also attached is the schedule for upcoming TTAG meetings. I am requesting the Oneida Business Committee to approve my attendance at the February and July 2017 meetings.

Item(s) Requiring Attention:

Continue to monitor the legislation.

** Note: Federal government will reimburse all expenses related to my airfare, taxi and per diem. Expenses associated with MMPC are not reimbursable.

Requested Action:

1. Accept the report and closeout the travel.
2. Requesting approval to attend the February 2017 and July 2017 TTAG meetings in Washington DC.

Tribal Technical Advisory Group

To the Centers for Medicare & Medicaid Services

c/o National Indian Health Board 910 Pennsylvania Avenue, SE Washington, DC 20003 (202) 507-1070 (202) 507-1071 fax

| TTAG Conference Calls and Face to Face Meetings for 2017 | |
|---|--|
| The Centers for Medicare & Medicaid Services Tribal Technical Advisory Group (CMS TTAG) | |
| Date/Event | Location |
| January 11, 2017: Conference Call 2:30-4:00 PM EST | Call in number: 1-877-267-1577 Meeting Number: 995 869 158 |
| February 22-23, 2017 Face to Face Meeting | Holiday Inn Capitol 550 C Street, SW Washington, DC 20024 |
| April 12, 2017 Conference Call 2:30-4:00 PM EST | Call in number: 1-877-267-1577 Meeting Number: 991 559 727 |
| June 14, 2017 Conference Call 2:30-4:00 PM EST | Call in number: 1-877-267-1577 Meeting Number: 999 301 018 |
| July 18-19, 2017 Face to Face Meeting | CMS Central Office Baltimore, MD |
| September 13, 2017 Conference Call 2:30-4:00 PM EST | Call in number: 1-877-267-1577 Meeting Number: 999 275 693 |
| October 11, 2017 Conference Call 2:30-4:00 PM EST | Call in number: 1-877-267-1577 Meeting Number: 999 893 821 |
| November Date TBD Face to Face Meeting | TBD |

Oneida Business Committee Agenda Request

1. Meeting Date Requested: 12 / 14 / 16

2. General Information:

Session: Open Executive - See instructions for the applicable laws, then choose one:

Agenda Header:

Accept as Information only

Action - please describe:

Enter E-Poll results into the record for failed travel request - Seven (7) DOLM employees and one (1) Law Office employee to attend the BIA Midwest Region Real Estate Services Technical Guidance and Training - Prior Lake, MN - December 12-15, 2016

3. Supporting Materials

Report Resolution Contract

Other:

1. 3.

2. 4.

Business Committee signature required

4. Budget Information

Budgeted - Tribal Contribution Budgeted - Grant Funded Unbudgeted

5. Submission

Authorized Sponsor / Liaison:

Primary Requestor/Submitter: Submitted by: Heather Heuer, Info. Mgmt. Spec./BC Support Office
Your Name, Title / Dept. or Tribal Member

Additional Requestor: _____
Name, Title / Dept.

Additional Requestor: _____
Name, Title / Dept.

Oneida Business Committee Agenda Request

6. Cover Memo:

Describe the purpose, background/history, and action requested:

BACKGROUND

On Tuesday, November 22, 2016, at 2:46 p.m., the following E-Poll was conducted:

This request is regarding the Midwest Region Real Estate Services Technical Guidance and Training, scheduled December 13-15, 2016, in Prior Lake, MN, held by the BIA. The Division of Land Management (DOLM) is requesting to send seven (7) DOLM employees and one (1) Law Office employee to this conference. The conference itself is free. Costs will be needed for gas, per diem, and the hotel stay. The costs will be paid from the Estate Planning Self-Governance BIA grant funds, Fund Unit #5275720. Travel days will be Monday, December 12 and Friday, December 16, 2016. Driving takes 5 hours, totaling 6 with an hour for breaks. Each employee will need to work 4 hours for the week or use personal/vacation time. Five (5) people are driving in the DOLM van. Two (2) people are taking a personal car and one (1) person is driving from Milwaukee in their own personal car. They will each need a room for four (4) nights.

This training will provide technical assistance dealing with the new policy on Fee-to-Trust, Title evidence review (adding discussion regarding Notice of Decision-determination factors) ROW, Reservations Proclamations, Trust mortgages, and HEARTH Act. Each person going works directly with the BIA in a different capacity as well as with different BIA staff members. DOLM takes every opportunity possible to meet with the BIA. This impacts how we provide realty services on Trust land to the Oneida Nation members. The notice of the invitation for this training was received on November 3, 2016. Nicole Rommel was responsible to negotiate the logistics of the staff attending the training. She tried to have this completed for the Business Committee meeting of November 23, 2016. She was not able to get things situated until November 22, 2016. This is not a health, safety, welfare or sovereign immunity issue so does not qualify to be added onto the November 23, 2016 agenda. The training is held the week of the next Business Committee meeting, therefore an E-poll is requested.

Requested Action:

Approve travel request in accordance with Travel & Expense Policy – Seven (7) DOLM employees and one (1) Law Office employee to attend the BIA Midwest Region Real Estate Services Technical Guidance and Training in Prior Lake, MN from December 12-15, 2016.

Deadline for Response:

Responses are due no later than 2:40 a.m. on Wednesday, November 23, 2016.

As of the deadline, below are the responses:

Support: David Jordan, Lisa Summers, Jennifer Webster

REQUESTED BC ACTION

Enter E-Poll results into the record for failed travel request - Seven (7) DOLM employees and one (1) Law Office employee to attend the BIA Midwest Region Real Estate Services Technical Guidance and Training - Prior Lake, MN - December 12-15, 2016

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Heather M. Heuer

From: TribalSecretary
Sent: Wednesday, November 23, 2016 8:29 AM
To: TribalSecretary; Brandon L. Yellowbird-Stevens; Cristina S. Danforth; David P. Jordan; Fawn J. Billie; Jennifer A. Webster; Lisa M. Summers; Melinda J. Danforth; Patricia M. King; Ronald W. Hill
Cc: Brian A. Doxtator; Cathy L. Bachhuber; Danelle A. Wilson; Jessica L. Wallenfang; Leyne C. Orosco; Lisa A. Liggins; Lora L. Skenandore; Rhiannon R. Metoxen; Debbie J. Melchert; BC_Agenda_Requests
Subject: E-POLL RESULTS: Travel - 7 DOLM employees & 1 Law employee - Real Estate Service Guidance & Training - Prior Lake, MN - Dec. 12-15, 2016
Attachments: E-POLL request - 7 DOLM and 1 Law - Midwest Region Technical Training -pdf
Categories: Next Meeting

E-POLL RESULTS

The E-Poll request to approve a travel request in accordance with the Travel & Expense Policy – Seven (7) DOLM employees and one (1) Law Office employee to attend the BIA Midwest Region Real Estate Services Technical Guidance and Training in Prior Lake, MN from December 12-15, 2016, has failed due to lack of support. Below are the results:

Support: David Jordan, Lisa Summers, Jennifer Webster

Heather Heuer

Information Management Specialist
 Business Committee Support Office (BCSO)



office 920.869.4421
 cell 920.471.5002
 fax 920.869.4040

From: TribalSecretary
Sent: Tuesday, November 22, 2016 2:46 PM
To: Brandon L. Yellowbird-Stevens <BSTEVEN@oneidanation.org>; Cristina S. Danforth <tdanfort@oneidanation.org>; David P. Jordan <djordan1@oneidanation.org>; Fawn J. Billie <fbillie@oneidanation.org>; Jennifer A. Webster <JWEBSTE1@oneidanation.org>; Lisa M. Summers <LSUMMER2@oneidanation.org>; Melinda J. Danforth <MDANFORJ@ONEIDANATION.org>; Patricia M. King <TKING@ONEIDANATION.org>; Ronald W. Hill <RHILL7@oneidanation.org>
Cc: Brian A. Doxtator <BDOXTAT2@oneidanation.org>; Cathy L. Bachhuber <CBACHHUB@oneidanation.org>; Danelle A. Wilson <DWILSON1@ONEIDANATION.org>; Jessica L. Wallenfang <JWALLENF@oneidanation.org>; Leyne C. Orosco <lorosco@oneidanation.org>; Lisa A. Liggins <lliggins@oneidanation.org>; Lora L. Skenandore <LSKENAN3@oneidanation.org>; Rhiannon R. Metoxen <rmetoxe2@oneidanation.org>
Subject: E-POLL REQUEST: Travel - 7 DOLM employees & 1 Law employee - Real Estate Service Guidance & Training - Prior Lake, MN - Dec. 12-15, 2016
Importance: High

E-POLL REQUEST**Summary**

This request is regarding the Midwest Region Real Estate Services Technical Guidance and Training, scheduled December 13-15, 2016, in Prior Lake, MN, held by the BIA. The Division of Land Management (DOLM) is requesting to send seven (7) DOLM employees and one (1) Law Office employee to this conference.

The conference itself is free. Costs will be needed for gas, per diem, and the hotel stay. The costs will be paid from the Estate Planning Self-Governance BIA grant funds, Fund Unit #5275720. Travel days will be Monday, December 12 and Friday, December 16, 2016. Driving takes 5 hours, totaling 6 with an hour for breaks. Each employee will need to work 4 hours for the week or use personal/vacation time. Five (5) people are driving in the DOLM van. Two (2) people are taking a personal car and one (1) person is driving from Milwaukee in their own personal car. They will each need a room for four (4) nights.

This training will provide technical assistance dealing with the new policy on Fee-to-Trust, Title evidence review (adding discussion regarding Notice of Decision-determination factors) ROW, Reservations Proclamations, Trust mortgages, and HEARTH Act.

Each person going works directly with the BIA in a different capacity as well as with different BIA staff members. DOLM takes every opportunity possible to meet with the BIA. This impacts how we provide realty services on Trust land to the Oneida Nation members.

The notice of the invitation for this training was received on November 3, 2016. Nicole Rommel was responsible to negotiate the logistics of the staff attending the training. She tried to have this completed for the Business Committee meeting of November 23, 2016. She was not able to get things situated until November 22, 2016. This is not a health, safety, welfare or sovereign immunity issue so does not qualify to be added onto the November 23, 2016 agenda. The training is held the week of the next Business Committee meeting, therefore an E-poll is requested.

Requested Action:

Approve travel request in accordance with Travel & Expense Policy – Seven (7) DOLM employees and one (1) Law Office employee to attend the BIA Midwest Region Real Estate Services Technical Guidance and Training in Prior Lake, MN from December 12-15, 2016.

Deadline for Response:

Responses are due no later than **2:40 a.m. on Wednesday, November 23, 2016.**

Voting:

1. Use the voting buttons above, if available, OR
2. Reply with “support” or “oppose”

Heather Heuer

Information Management Specialist
Business Committee Support Office (BCSO)



office 920.869.4421
cell 920.471.5002

Oneida Business Committee Agenda Request

1. Meeting Date Requested: 11 / 30 / 16

2. General Information:

Session: Open Executive - See instructions for the applicable laws, then choose one:

Other - type reason

Agenda Header: Travel Request

Accept as Information only

Action - please describe:

E-poll requested.

Approve a procedural exception to the Travel SOP by allowing seven DOLM employees and one Law Office employee to this conference.

3. Supporting Materials

Report Resolution Contract

Other:

1. Director approved memo and travel forms

3.

2. BIA invite letter, save the date, and DRAFT agenda

4.

Business Committee signature required

4. Budget Information

Budgeted - Tribal Contribution Budgeted - Grant Funded Unbudgeted

5. Submission

Authorized Sponsor / Liaison: Lisa Summers, Tribal Secretary

Primary Requestor/Submitter: Nicole Rommel, Finance and Records Area Manager, DOLM
Your Name, Title / Dept. or Tribal Member

Additional Requestor: _____
Name, Title / Dept.

Additional Requestor: _____
Name, Title / Dept.

Oneida Business Committee Agenda Request

6. Cover Memo:

Describe the purpose, background/history, and action requested:

Purpose:

This request is regarding the Midwest Region Real Estate Services Technical Guidance and Training December 13-December 15, 2016., in Prior Lake, MN, held by the BIA. The Division of Land Management (DOLM) is requesting to send seven DOLM employees and one Law Office employee to this conference.

Background:

The conference itself is free. Costs will be needed for gas, per diem, and the hotel stay. The costs will be paid from the Estate Planning Self-Governance BIA grant funds, Fund Unit #5275720.

Travel days will be Monday, December 12 and Friday, December 16, 2016. Driving takes 5 hours, totaling 6 with an hour for breaks. Each employee will need to work 4 hours for the week or use personal/vacation time.

Five people are driving in the DOLM van. Two people are taking a personal car and one person is driving from Milwaukee in their own personal car. They will each need a room for four nights.

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Each person going works directly with the BIA in a different capacity as well as with different BIA staff members. DOLM takes every opportunity to meet with the BIA. This impacts how well we provide realty services on Trust land to the Oneida Nation members.

I received notice of the invitation for this training on November 3, 2016. I was responsible to negotiate the logistics of the staff attending the training. I tried to have this completed for the Business Committee meeting of November 23, 2016. I was not able to get things situated until November 22, 2016. This is not a health, safety, welfare or sovereign immunity issue so does not qualify to be added onto the November 23, 2016 agenda. The training is held the week of the next Business Committee meeting, therefore an E-poll is requested.

Requested Action:

E-poll requested to approve a procedural exception to the Travel SOP by allowing seven DOLM employees and one Law Office employee to attend this conference.

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United States Department of the Interior

BUREAU OF INDIAN AFFAIRS
Midwest Regional Office
Norman Pointe II
5600 West American Boulevard, Suite 500
Bloomington, MN 55437

IN REPLY REFER TO:
Division of Realty

NOV 02 2016

Dear Tribal Leaders, Staff and Partners:

We are pleased to invite you to the **Midwest Region Real Estate Services Technical Guidance and Training meeting** to be held **December 13-15, 2016** at Mystic Lake Casino Hotel 2400 Mystic Lake Blvd., Prior Lake, MN 55372.

The purpose of this meeting is to discuss current and new policy dealing with title evidence review. A draft agenda is attached and a final agenda with a time for each of the events will be provided at the meeting.

Please **RSVP to Tully O'leary at tully.o'leary@bia.gov or by calling 612-725-4582 no later than November 18, 2016** and include the name and title for each of your planned participants. Also, feel free to include topics in your RSVP you would like to see discussed during this meeting.

A block of 50 rooms has been set aside at the Mystic Lake Casino Hotel under "BIA Training" at the government rate of \$91.00. **Reservations should be made no later than November 18, 2016 to guarantee this rate.** The telephone number is (952) 445-9000.

We appreciate and thank the Shakopee Mdewakanton Sioux Community for their support by providing the facility and accommodations for our meeting.

If you have any questions, please contact Diane Baker, Realty Officer, at (612) 725-4586.

Michelle Hill
Sheila Huntington
Diane Wilson
Carla Clark
Vanessa Cardish
Lisa Rauschenbach
Kelly McAndrews
Sandra Wondra

Enclosures

Sincerely,

Acting

Regional Director

SAVE THE DATE!!

WHO:

To all Realty BIA and Tribal Staff/Attorneys

WHAT:

Training and Technical Guidance dealing especially with new policy on Fee to Trust, Title Evidence Review (Adding discussion regarding Notice of Decision-determination factors), ROW, Reservation Proclamations, Trust Mortgages and Hearth Act.

WHEN:

Monday, December 13, 2016 – Thursday, December 15, 2016

WHERE:

Dakota Ballroom: Mystic Lake Casino Hotel 2400 Mystic Lake Blvd, Prior Lake, MN 55372

(Parking and entry at the hotel will avoid having to go through the casino floor. Valet is free for all participants.)

Block of rooms guaranteed @ \$91.00 per day under "Bureau of Indian Affairs" December 12-15, 2016 (Note: December 12-14, 50 rooms and December 15, 30 rooms) Reservations must be made no later than November 18, 2016 to be guaranteed the government rate.

Please call the Mystic Lake Hotel at 952-445-9000 or toll free at 800-262-7799 and ask for Reservations. State you are a participant under "Bureau of Indian Affairs". There is a \$10 charge for each additional person. Check in time is 3:00 PM and checkout time is 11:00 AM.

RSVP:

Please RSVP no later than November 18, 2016 and State "BIA Trust Training" to:

Tully O'leary-
Telephone: 612-725-4582
Email: tully.o'leary@bia.gov



Midwest Region Tentative Agenda Technical Guidance and Training Sessions

Date: December 13-15, 2016

Location: Mystic Lake Hotel and Casino

Meeting time: 8:00-4:30 daily

Tentative Topics of Discussion

| | | |
|------------------------|--|--|
| Tuesday 8:30-4:30 | Welcome | (acting) Regional Director |
| | Realty Updates-Current Issues | Diane Baker, Regional Realty Officer |
| | Rights of Way <ul style="list-style-type: none"> ○ New Regulations ○ Applications & Amendments | Mary DePerry, MWRO Realty Specialist |
| | Trust Mortgages Hearth Act | Courtney Gesinger, MWRO Real Estate Services, Realty Specialist |
| Wednesday 8:30-4:30 | Notice of Incomplete (FTT) Letters of Application (FTT) | Andrew Nichols, Pamela Butterfield, Thomas Wilkins, Michelle Dufek Division of Fee to Trust, Realty Specialists |

Wednesday,
Cont'd

Reservation Proclamations

Russell Baker, Division
of Fee to Trust,
Supervisory Realty
Specialist

Legal Description Review

Ken Roy, BLM,
Bureau Indian Land
Surveyor

Environmental Compliance

Felix Kitto, Environmental
Specialist

Thursday
8:30-4:30

Title Evidence Review
o CIP

Alex Dyste, Attorney,
Twin Cities Field
Solicitor Office

Administrative Decisions on FTT
Acquisitions

Kara Pfister, Attorney,
Twin Cities Field
Solicitor Office

Division of Land Management

PO Box 365
Oneida, WI 54155
920-869-1690

MEMORANDUM

TO: Pat Pelky, Interim Director, Division of Land Management
 FROM: Nicole Rommel, Finance and Records Area Manager *WR*
 SUBJECT: Midwest Region Real Estate Services Technical Guidance and Training
 DATE: November 17, 2016

This memo is regarding the Midwest Region Real Estate Services Technical Guidance and Training December 13-December 15, 2016. Land Management is planning on sending 8 employees to this conference.

The conference itself is free. Costs will be needed for gas, per diem, and the hotel stay. The costs will be paid from the Estate Planning Self-Governance BIA grant funds, Fund Unit #5275720.


Travel days will be Monday, December 12 and Friday, December 16, 2016. Driving takes 5 hours, totaling 6 with an hour for breaks. Each employee will need to work 4 hours for the week or use personal/vacation time.

5 people are driving in the DOLM van. 2 are taking a personal car and 1 is driving from Milwaukee in their own personal car. They will each need a room for 4 nights.

This training is important to each individual's daily job duties. The topics include: B.I.A. realty updates, new regulations for rights-of-way, fee-to-trust updates, environmental compliance, title evidence review, etc.

| | Name of Attendees | Department |
|---|-------------------|----------------------|
| 1 | Carla Clark | Land Title and Trust |
| 2 | Sandy Wondra | Land Title and Trust |
| 3 | Michelle Hill | Property Management |
| 4 | Diane Wilson | Property Management |
| 5 | Sheila Huntington | Property Management |
| 6 | Lisa Rauschenbach | Finance |
| 7 | Venessa Cardish | Finance |
| 8 | Kelly McAndrews | Law Office |

Approved:

| | |
|---|-----------------|
|  | <i>11/21/16</i> |
| NAME: Pat Pelky | DATE |

**ONEIDA TRIBE OF INDIANS OF WISCONSIN
TRAVEL AUTHORIZATION REQUEST**

General Travel Information

| | | | |
|---|--|-------------|------------------------|
| Name of Traveler | Sheila Huntington | | |
| Please list name as it appears on Travelers Driver's License or WI State ID | | | |
| | Employee # | | Date of Birth |
| Destination | Prior Lake, MN | | |
| Departure date | Monday Dec. 12th, 2016 | Return date | Friday Dec. 16th, 2016 |
| Purpose of travel | BIA Midwest Region Real Estate Technical Guidance Training | | |
| Charged GL Account | 001-5275720-000-701000-000 | | |

GSA Rate Information for the destination

| | | | |
|-----------------------|----------|----------------------|----------|
| Per Diem rate per day | \$ 51.00 | Lodging rate per day | \$ 91.00 |
|-----------------------|----------|----------------------|----------|

Cost Estimate Information

| Description | Rate | Factor | Days/ Miles | Total |
|--|----------|--------|----------------|------------------|
| Per Diem initial travel date | \$ 38.25 | 75 % | 1 | \$ 38.25 |
| Per Diem full day at destination | \$ 51.00 | 100 % | 3 | \$ 153.00 |
| Per Diem return travel date | \$ 38.25 | 75 % | 1 | \$ 38.25 |
| Subtract included meals | | | | \$ |
| Lodging including room rate plus taxes | \$ 91.00 | | 4 | \$ 364.00 |
| Airfare | \$ | | | \$ |
| Private Car Mileage | \$ | | | \$ |
| Taxi or car rental | \$ | | | \$ |
| Luggage Fees | \$ | | | \$ |
| Registration – accept VISA? Yes / No | \$ | | | \$ |
| Allowable price adjustment If travel arrangements exceed the <u>Total Cost Estimate</u> re-approval is required | | | | \$ 200.00 |
| Total Cost Estimate | | | | \$ 793.50 |

I understand this advance will be deducted from my claim for reimbursement of actual travel expenses. I also understand that if this advance is not cleared within 10 calendar days after my travel return date, I shall be held responsible for the full amount advanced and that I may be reprimanded in accordance with the Personal Policies and Procedures for my failure to clear this matter within the time so allotted. Further, in the event that the advance payment is not cleared within 10 calendar days of my return, and I have not filed a formal written dispute as to the amount due, by signing below, I am hereby making a knowing and voluntary wage deduction for the entire amount outstanding.

Signatures / Approvals

| | Signature | Date | Contact Phone # |
|------------------|--------------------------|----------|-----------------|
| Traveler | <i>Sheila Huntington</i> | 11/21/16 | 869-6627 |
| Program Director | | | Not needed |
| General Manager | <i>[Signature]</i> | 11/21/16 | Not needed |

**ONEIDA TRIBE OF INDIANS OF WISCONSIN
TRAVEL AUTHORIZATION REQUEST**

General Travel Information

| | | | |
|---|--|-------------|------------------------|
| Name of Traveler | Michelle Rae Hill | | |
| Please list name as it appears on Travelers Driver's License or WI State ID | | | |
| | Employee # | | Date of Birth |
| Destination | Prior Lake, MN | | |
| Departure date | Monday Dec. 12th, 2016 | Return date | Friday Dec. 16th, 2016 |
| Purpose of travel | BIA Midwest Region Real Estate Technical Guidance Training | | |
| Charged GL Account | 001-5275720-000-701000-000 | | |

GSA Rate Information for the destination


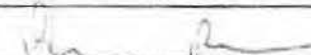
| | | | |
|-----------------------|----------|----------------------|----------|
| Per Diem rate per day | \$ 51.00 | Lodging rate per day | \$ 91.00 |
|-----------------------|----------|----------------------|----------|

Cost Estimate Information

| Description | Rate | Factor | Days/ Miles | Total |
|--|----------|--------|----------------|------------------|
| Per Diem initial travel date | \$ 38.25 | 75 % | 1 | \$ 38.25 |
| Per Diem full day at destination | \$ 51.00 | 100 % | 3 | \$ 153.00 |
| Per Diem return travel date | \$ 38.25 | 75 % | 1 | \$ 38.25 |
| Subtract included meals | | | | \$ |
| Lodging including room rate plus taxes | \$ 91.00 | | 4 | \$ 364.00 |
| Airfare | \$ | | | \$ |
| Private Car Mileage | \$ | | | \$ |
| Taxi or car rental | \$ | | | \$ |
| Luggage Fees | \$ | | | \$ |
| Registration – accept VISA? Yes / No | \$ | | | \$ |
| Allowable price adjustment If travel arrangements exceed the <u>Total Cost Estimate</u> re-approval is required | | | | \$ 200.00 |
| Total Cost Estimate | | | | \$ 793.50 |

I understand this advance will be deducted from my claim for reimbursement of actual travel expenses. I also understand that if this advance is not cleared within 10 calendar days after my travel return date, I shall be held responsible for the full amount advanced and that I may be reprimanded in accordance with the Personal Policies and Procedures for my failure to clear this matter within the time so allotted. Further, in the event that the advance payment is not cleared within 10 calendar days of my return, and I have not filed a formal written dispute as to the amount due, by signing below, I am hereby making a knowing and voluntary wage deduction for the entire amount outstanding.

Signatures / Approvals

| | Signature | Date | Contact Phone # |
|------------------|---|----------|-----------------|
| Traveler |  | 11/18/16 | 869160260 |
| Program Director | | | Not needed |
| General Manager |  | 11/21/16 | Not needed |

**ONEIDA TRIBE OF INDIANS OF WISCONSIN
TRAVEL AUTHORIZATION REQUEST**

General Travel Information

| | | | |
|---|--|-------------|------------------------|
| Name of Traveler | Diane M. Wilson | | |
| Please list name as it appears on Travelers Driver's License or WI State ID | | | |
| | Employee # | | Date of Birth |
| Destination | Prior Lake, MN | | |
| Departure date | Monday Dec. 12th, 2016 | Return date | Friday Dec. 16th, 2016 |
| Purpose of travel | BIA Midwest Region Real Estate Technical Guidance Training | | |
| Charged GL Account | 001-5275720-000-701000-000 | | |

GSA Rate Information for the destination



| | | | |
|-----------------------|----------|----------------------|----------|
| Per Diem rate per day | \$ 51.00 | Lodging rate per day | \$ 91.00 |
|-----------------------|----------|----------------------|----------|

Cost Estimate Information

| Description | Rate | Factor | Days/ Miles | Total |
|--|-----------|--------|----------------|------------------|
| Per Diem initial travel date | \$ 38.25 | 75 % | 1 | \$ 38.25 |
| Per Diem full day at destination | \$ 51.00 | 100 % | 3 | \$ 153.00 |
| Per Diem return travel date | \$ 38.25 | 75 % | 1 | \$ 38.25 |
| Subtract included meals | | | | \$ |
| Lodging including room rate plus taxes | \$ 91.00 | | 4 | \$ 364.00 |
| Airfare | \$ | | | \$ |
| Private Car Mileage | \$ 200.00 | | 200.00 | \$ 200.00 |
| Taxi or car rental | \$ | | | \$ |
| Luggage Fees | \$ | | | \$ |
| Registration – accept VISA? Yes / No | \$ | | | \$ |
| Allowable price adjustment If travel arrangements exceed the <u>Total Cost Estimate</u> re-approval is required | | | | \$ 200.00 |
| Total Cost Estimate | | | | \$ 993.50 |

I understand this advance will be deducted from my claim for reimbursement of actual travel expenses. I also understand that if this advance is not cleared within 10 calendar days after my travel return date, I shall be held responsible for the full amount advanced and that I may be reprimanded in accordance with the Personal Policies and Procedures for my failure to clear this matter within the time so allotted. Further, in the event that the advance payment is not cleared within 10 calendar days of my return, and I have not filed a formal written dispute as to the amount due, by signing below, I am hereby making a knowing and voluntary wage deduction for the entire amount outstanding.

Signatures / Approvals

| | Signature | Date | Contact Phone # |
|------------------|---|----------|-----------------|
| Traveler |  | 11-18-16 | 869-6614 |
| Program Director | | | Not needed |
| General Manager |  | 11/21/16 | Not needed |

**ONEIDA TRIBE OF INDIANS OF WISCONSIN
TRAVEL AUTHORIZATION REQUEST**

General Travel Information

| | | | |
|---|--|-------------|------------------------|
| Name of Traveler | Venessa Cardish | | |
| Please list name as it appears on Travelers Driver's License or WI State ID | | | |
| | Employee # | | Date of Birth |
| Destination | Prior Lake, MN | | |
| Departure date | Monday Dec. 12th, 2016 | Return date | Friday Dec. 16th, 2016 |
| Purpose of travel | BIA Midwest Region Real Estate Technical Guidance Training | | |
| Charged GL Account | 001-5275720-000-701000-000 | | |

GSA Rate Information for the destination

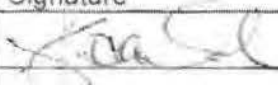

| | | | |
|-----------------------|----------|----------------------|----------|
| Per Diem rate per day | \$ 51.00 | Lodging rate per day | \$ 91.00 |
|-----------------------|----------|----------------------|----------|

Cost Estimate Information

| Description | Rate | Factor | Days/ Miles | Total |
|--|----------|--------|----------------|------------------|
| Per Diem initial travel date | \$ 38.25 | 75 % | 1 | \$ 38.25 |
| Per Diem full day at destination | \$ 51.00 | 100 % | 3 | \$ 153.00 |
| Per Diem return travel date | \$ 38.25 | 75 % | 1 | \$ 38.25 |
| Subtract included meals | | | | \$ |
| Lodging including room rate plus taxes | \$ 91.00 | | 4 | \$ 364.00 |
| Airfare | \$ | | | \$ |
| Private Car Mileage | \$ | | | \$ |
| Taxi or car rental | \$ | | | \$ |
| Luggage Fees | \$ | | | \$ |
| Registration – accept VISA? Yes / No | \$ | | | \$ |
| Allowable price adjustment | | | | \$ 200.00 |
| If travel arrangements exceed the Total Cost Estimate re-approval is required | | | | \$ 200.00 |
| Total Cost Estimate | | | | \$ 793.50 |

I understand this advance will be deducted from my claim for reimbursement of actual travel expenses. I also understand that if this advance is not cleared within 10 calendar days after my travel return date, I shall be held responsible for the full amount advanced and that I may be reprimanded in accordance with the Personal Policies and Procedures for my failure to clear this matter within the time so allotted. Further, in the event that the advance payment is not cleared within 10 calendar days of my return, and I have not filed a formal written dispute as to the amount due, by signing below, I am hereby making a knowing and voluntary wage deduction for the entire amount outstanding.

Signatures / Approvals

| | Signature | Date | Contact Phone # |
|------------------|---|----------|-----------------|
| Traveler |  | 11-18-16 | x 66631 |
| Program Director | | | Not needed |
| General Manager |  | 11/21/16 | Not needed |

**ONEIDA TRIBE OF INDIANS OF WISCONSIN
TRAVEL AUTHORIZATION REQUEST**

General Travel Information

| | | | |
|---|--|-------------|------------------------|
| Name of Traveler | Sandra Wondra | | |
| Please list name as it appears on Travelers Driver's License or WI State ID | | | |
| | Employee # | | Date of Birth |
| Destination | Prior Lake, MN | | |
| Departure date | Monday Dec. 12th, 2016 | Return date | Friday Dec. 16th, 2016 |
| Purpose of travel | BIA Midwest Region Real Estate Technical Guidance Training | | |
| Charged GL Account | 001-5275720-000-701000-000 | | |

GSA Rate Information for the destination

| | | | |
|-----------------------|----------|----------------------|----------|
| Per Diem rate per day | \$ 51.00 | Lodging rate per day | \$ 91.00 |
|-----------------------|----------|----------------------|----------|

Cost Estimate Information

| Description | Rate | Factor | Days/ Miles | Total |
|--|----------|--------|----------------|------------------|
| Per Diem initial travel date | \$ 38.25 | 75 % | 1 | \$ 38.25 |
| Per Diem full day at destination | \$ 51.00 | 100 % | 3 | \$ 153.00 |
| Per Diem return travel date | \$ 38.25 | 75 % | 1 | \$ 38.25 |
| Subtract included meals | | | | \$ |
| Lodging including room rate plus taxes | \$ 91.00 | | | \$ 364.00 |
| Airfare | \$ | | | \$ |
| Private Car Mileage | \$ | | | \$ |
| Taxi or car rental | \$ | | | \$ |
| Luggage Fees | \$ | | | \$ |
| Registration - accept VISA? Yes / No | \$ | | | \$ |
| Allowable price adjustment If travel arrangements exceed the <u>Total Cost Estimate</u> re-approval is required | | | | \$ 200.00 |
| Total Cost Estimate | | | | \$ 793.50 |

I understand this advance will be deducted from my claim for reimbursement of actual travel expenses. I also understand that if this advance is not cleared within 10 calendar days after my travel return date, I shall be held responsible for the full amount advanced and that I may be reprimanded in accordance with the Personal Policies and Procedures for my failure to clear this matter within the time so allotted. Further, in the event that the advance payment is not cleared within 10 calendar days of my return, and I have not filed a formal written dispute as to the amount due, by signing below, I am hereby making a knowing and voluntary wage deduction for the entire amount outstanding.

Signatures / Approvals

| | Signature | Date | Contact Phone # |
|------------------|----------------------|------------|-----------------|
| Traveler | <i>Sandra Wondra</i> | 11/18/2016 | 920-869-6605 |
| Program Director | | | Not needed |
| General Manager | <i>[Signature]</i> | 11/21/16 | Not needed |

**ONEIDA TRIBE OF INDIANS OF WISCONSIN
TRAVEL AUTHORIZATION REQUEST**

General Travel Information

| | | | |
|---|--|-------------|------------------------|
| Name of Traveler | Kelly M. McAndrews | | |
| Please list name as it appears on Travelers Driver's License or WI State ID | | | |
| | Employee # | | Date of Birth |
| Destination | Prior Lake, MN | | |
| Departure date | Monday Dec. 12th, 2016 | Return date | Friday Dec. 16th, 2016 |
| Purpose of travel | BIA Midwest Region Real Estate Technical Guidance Training | | |
| Charged GL Account | 001-5275720-000-701000-000 | | |

GSA Rate Information for the destination

| | | | |
|-----------------------|----------|----------------------|----------|
| Per Diem rate per day | \$ 51.00 | Lodging rate per day | \$ 91.00 |
|-----------------------|----------|----------------------|----------|

Cost Estimate Information

| Description | Rate | Factor | Days/ Miles | Total |
|--|----------|--------|----------------|------------------|
| Per Diem initial travel date | \$ 38.25 | 75 % | 1 | \$ 38.25 |
| Per Diem full day at destination | \$ 51.00 | 100 % | 3 | \$ 153.00 |
| Per Diem return travel date | \$ 38.25 | 75 % | 1 | \$ 38.25 |
| Subtract included meals | | | | \$ |
| Lodging including room rate plus taxes | \$ 91.00 | | 4 | \$ 364.00 |
| Airfare | \$ | | | \$ |
| Private Car Mileage | \$ | | | \$ |
| Taxi or car rental | \$ | | | \$ |
| Luggage Fees | \$ | | | \$ |
| Registration – accept VISA? Yes / No | \$ | | | \$ |
| Allowable price adjustment | | | | \$ 200.00 |
| If travel arrangements exceed the Total Cost Estimate re-approval is required | | | | \$ 200.00 |
| Total Cost Estimate | | | | \$ 793.50 |

I understand this advance will be deducted from my claim for reimbursement of actual travel expenses. I also understand that if this advance is not cleared within 10 calendar days after my travel return date, I shall be held responsible for the full amount advanced and that I may be reprimanded in accordance with the Personal Policies and Procedures for my failure to clear this matter within the time so allotted. Further, in the event that the advance payment is not cleared within 10 calendar days of my return, and I have not filed a formal written dispute as to the amount due, by signing below, I am hereby making a knowing and voluntary wage deduction for the entire amount outstanding.

Signatures / Approvals

| | Signature | Date | Contact Phone # |
|------------------|-----------------------|----------|-----------------|
| Traveler | unavailable to sign | | |
| Program Director | | | Not needed |
| General Manager | <i>Patricia Perry</i> | 11/21/16 | Not needed |

**ONEIDA TRIBE OF INDIANS OF WISCONSIN
TRAVEL AUTHORIZATION REQUEST**

General Travel Information

| | | | |
|---|--|-------------|------------------------|
| Name of Traveler | Lisa Rauschenbach | | |
| Please list name as it appears on Travelers Driver's License or WI State ID | | | |
| | Employee # | | Date of Birth |
| Destination | Prior Lake, MN | | |
| Departure date | Monday Dec. 12th, 2016 | Return date | Friday Dec. 16th, 2016 |
| Purpose of travel | BIA Midwest Region Real Estate Technical Guidance Training | | |
| Charged GL Account | 001-5275720-000-701000-000 | | |

GSA Rate Information for the destination

| | | | |
|-----------------------|----------|----------------------|----------|
| Per Diem rate per day | \$ 51.00 | Lodging rate per day | \$ 91.00 |
|-----------------------|----------|----------------------|----------|

Cost Estimate Information

| Description | Rate | Factor | Days/ Miles | Total |
|--|----------|--------|----------------|------------------|
| Per Diem initial travel date | \$ 38.25 | 75 % | 1 | \$ 38.25 |
| Per Diem full day at destination | \$ 51.00 | 100 % | 3 | \$ 153.00 |
| Per Diem return travel date | \$ 38.25 | 75 % | 1 | \$ 38.25 |
| Subtract included meals | | | | \$ |
| Lodging including room rate plus taxes | \$ 91.00 | | 4 | \$ 364.00 |
| Airfare | \$ | | | \$ |
| Private Car Mileage | \$ | | | \$ |
| Taxi or car rental | \$ | | | \$ |
| Luggage Fees | \$ | | | \$ |
| Registration – accept VISA? Yes / No | \$ | | | \$ |
| Allowable price adjustment If travel arrangements exceed the <u>Total Cost Estimate</u> re-approval is required | | | | \$ 200.00 |
| Total Cost Estimate | | | | \$ 793.50 |

I understand this advance will be deducted from my claim for reimbursement of actual travel expenses. I also understand that if this advance is not cleared within 10 calendar days after my travel return date, I shall be held responsible for the full amount advanced and that I may be reprimanded in accordance with the Personal Policies and Procedures for my failure to clear this matter within the time so allotted. Further, in the event that the advance payment is not cleared within 10 calendar days of my return, and I have not filed a formal written dispute as to the amount due, by signing below, I am hereby making a knowing and voluntary wage deduction for the entire amount outstanding.

Signatures / Approvals

| | Signature | Date | Contact Phone # |
|------------------|--------------------------|----------|-----------------|
| Traveler | <i>Lisa Rauschenbach</i> | 12-13-16 | 471-6526 |
| Program Director | | | Not needed |
| General Manager | <i>[Signature]</i> | 11/21/16 | Not needed |

**ONEIDA TRIBE OF INDIANS OF WISCONSIN
TRAVEL AUTHORIZATION REQUEST**

General Travel Information

| | | | |
|---|--|---------------|------------------------|
| Name of Traveler | Carla Clark | | |
| Please list name as it appears on Travelers Driver's License or WI State ID | | | |
| Employee # | | Date of Birth | |
| Destination | Prior Lake, MN | | |
| Departure date | Monday Dec. 12th, 2016 | Return date | Friday Dec. 16th, 2016 |
| Purpose of travel | BIA Midwest Region Real Estate Technical Guidance Training | | |
| Charged GL Account | 001-5275720-000-701000-000 | | |

GSA Rate Information for the destination

| | | | |
|-----------------------|----------|----------------------|----------|
| Per Diem rate per day | \$ 51.00 | Lodging rate per day | \$ 91.00 |
|-----------------------|----------|----------------------|----------|

Cost Estimate Information

| Description | Rate | Factor | Days/ Miles | Total |
|--|----------|--------|----------------|------------------|
| Per Diem initial travel date | \$ 38.25 | 75 % | 1 | \$ 38.25 |
| Per Diem full day at destination | \$ 51.00 | 100 % | 3 | \$ 153.00 |
| Per Diem return travel date | \$ 38.25 | 75 % | 1 | \$ 38.25 |
| Subtract included meals | | | | \$ |
| Lodging including room rate plus taxes | \$ 91.00 | | 4 | \$ 364.00 |
| Airfare | \$ | | | \$ |
| Private Car Mileage | \$ | | | \$ |
| Taxi or car rental | \$ | | | \$ |
| Luggage Fees | \$ | | | \$ |
| Registration – accept VISA? Yes / No | \$ | | | \$ |
| Allowable price adjustment | | | | \$ 200.00 |
| If travel arrangements exceed the Total Cost Estimate re-approval is required | | | | \$ 200.00 |
| Total Cost Estimate | | | | \$ 793.50 |

I understand this advance will be deducted from my claim for reimbursement of actual travel expenses. I also understand that if this advance is not cleared within 10 calendar days after my travel return date, I shall be held responsible for the full amount advanced and that I may be reprimanded in accordance with the Personal Policies and Procedures for my failure to clear this matter within the time so allotted. Further, in the event that the advance payment is not cleared within 10 calendar days of my return, and I have not filed a formal written dispute as to the amount due, by signing below, I am hereby making a knowing and voluntary wage deduction for the entire amount outstanding.

Signatures / Approvals

| | Signature | Date | Contact Phone # |
|------------------|--------------------|----------|-----------------|
| Traveler | <i>Carla Clark</i> | 11/15/16 | |
| Program Director | | | Not needed |
| General Manager | <i>Paula Brun</i> | 11/21/16 | Not needed |

Oneida Business Committee Agenda Request

1. Meeting Date Requested: 12 / 14 / 16

2. General Information:

Session: Open Executive - See instructions for the applicable laws, then choose one:

Agenda Header:

Accept as Information only

Action - please describe:

3. Supporting Materials

Report Resolution Contract

Other:

1.

3.

2.

4.

Business Committee signature required

4. Budget Information

Budgeted - Tribal Contribution Budgeted - Grant Funded Unbudgeted

5. Submission

Authorized Sponsor / Liaison:

Primary Requestor/Submitter:

Your Name, Title / Dept. or Tribal Member

Additional Requestor:

Name, Title / Dept.

Additional Requestor:

Name, Title / Dept.

Oneida Business Committee Agenda Request

6. Cover Memo:

Describe the purpose, background/history, and action requested:

Comprehensive Health Division quarterly report.

Requested Action: Approve Report.

1) Save a copy of this form for your records.

2) Print this form as a *.pdf *OR* print and scan this form in as *.pdf.

3) E-mail this form and all supporting materials in a **SINGLE** *.pdf file to: BC_Agenda_Requests@oneidanation.org

Oneida Comprehensive Health Division
 Oneida Community Health Center
 Behavioral Health Services
 Anna John Resident Centered Care Community
 Employee Health Nursing



**ONEIDA COMPREHENSIVE HEALTH DIVISION
 DR. RAVINDER VIR MEDICAL DIRECTOR
 DEBRA DANFORTH, RN, BSN, OPERATIONS DIRECTOR
 DIVISION UPDATE
 Quarter 4 FY 2016
 August-September, 2016**



| Executive Management Team: | |
|---|-----------------|
| Division Dir-Operations, Debra Danforth RN, BSN, | 869-4807 |
| Division Dir-Medical, Ravinder Vir, MD, | 869-4808 |
| Asst. Operations Director, Judi Skenandore, | 869-4809 |
| Executive Assistant, Mercie Danforth | 869-4810 |
| Business Operations Director, Jeff Carlson, | 869-4805 |
| Ancillary Services Director, Dave Larson, | 869-4820 |
| Director of Nursing-OCHC, Sandra Schuyler, | 869-4906 |
| Behavioral Health Manager, Mari Kriescher, | 490-3737 |
| Employee Health Manager, Mary Cornelissen | 405-4492 |
| AJRCCC Administrator, Dave Larson (Interim) | 869-2797 |
| Public Health Officer, Eric Krawczyk, | 869-4812 |

Mailing Address: P.O. Box 365, Oneida, WI 54155
<https://oneida-nsn.gov/resources/health/>

Oneida Community Health Center
 Behavioral Health Services
 Anna John Resident Centered Care Community
 Employee Health Nursing

525 Airport Rd., Oneida, WI 54155
 2640 West Point Rd., Green Bay, WI 54304
 2901 S. Overland Rd., Oneida, WI 54155
 701 Packerland Dr., Green Bay, WI 54303

Phone: (920) 869-2711 or 1-866-869-2711
 Phone: (920) 490-3790 or 1-888-490-2457
 Phone: (920) 869-2797
 Phone: (920) 405-4492

Fax: (920) 869-1780
 Fax: (920) 490-3883
 Fax: (920) 869-3238
 Fax: (920) 405-4494

THE ONEIDA COMPREHENSIVE HEALTH DIVISION IS COMPRISED OF:

*Oneida Community Health Center (OCHC)
Anna John Resident Centered Care Community (AJRCCC)
Oneida Behavioral Health (OBH)
Employee Health Services (EHS)*

VISION:

A progressive sustainable health system that promotes Tsi?niyukwaliho t^ (Our Ways).

MISSION:

We provide the highest quality, holistic health care to ensure the wellness of OUR Oneida Community.

VALUES:

Responsive Leadership: *Consistent attentive listening, honesty, doing the right thing, timely decision making and seeing issues resolved to completion.*

Culturally Sensitive: *Meeting people where they are and being sensitive to their unique needs as human beings within the Oneida Community.*

Continuous Improvement: *Striving to achieve a higher quality of health care and a higher performing workforce through our Commitment to Learning and Growth.*

Communication: *Fostering honest, respectful and timely communication with the appropriate level of transparency.*

Safety: *Striving for an environment that provides the highest level of physical and emotional safety for our patients, employees and community in an environment free of fear, retaliation and repercussion.*

Respect: *Create a welcoming and compassionate environment focused on the individual needs of OUR community and Health Care Team*

OUR 2015-2018 STRATEGIC PLAN IS FOCUSED IN FOUR SPECIFIC AREAS:

1. Improve Population Health Management
2. Continuous Quality Improvement
3. Optimize Technology
4. Enhance Our Workforce

OUR 2015-2018 INITIATIVES THAT WILL BE FOCUSED TO ACHIEVE OUR STRATEGIES:

- 1. Accreditation:** The Comprehensive Health Division will validate and assure the community the delivery of the highest quality health care services in achieving AAAHC (Accreditation Association for Ambulatory Health Care) accreditation by December 2017 through team work, leadership and commitment. By accomplishing accreditation we will provide staff a sense of accomplishment, increase quality of care, enhance public validation for community/customer satisfaction, improve recruitment/retention of providers, and increase the probability of outside funding.
- 2. Advancing Technology:** Utilize innovative State of the Art technology and data analytics to continuously improve wellness and health outcomes.
- 3. Optimize staffing processes:** To work collaboratively with HRD to enhance the Division processes for recruitment, hiring, and retention and provide recommendation (s) for change to the Oneida Business Committee by September 30, 2017. By enhancing the recruitment, hiring, and retention processes we will have a lean hiring practice, improve our recruitment and retention, enhance our services from HRD (letters, market analysis), have improved collaboration/working relationship with HRD, fill all vacancies with qualified people in a timely manner, and improve our Supervisor/Director satisfaction with HRD processes.

IMPROVE POPULATION HEALTH MANAGEMENT**Initiatives: Optimizing Staffing Processes and Accreditation**

- We continue to recruit for vacancies in Primary Care including Pediatrics, Family Practice and Internal Medicine
- All contracted providers will transition to the accrual process by the beginning of FY 2017
- Dr. Sharyl Trail, Psychologist started August 8, 2016. She is a commissioned Corps Officer who will supplement the provider team at Oneida Behavioral Health.
- Susan Katuin, NP retired on May 31, after 22 dedicated years of service to the Oneida community. She will be sorely missed by her patients, our staff and the community.
- We are actively recruiting a Family Nurse Practitioner Michelle Kreft to replace her vacancy who will begin November 7, 2016.
- Access to care remains sub optimal across the Health Division.
- The high NO SHOW rates also contribute to sub optimal access to care for our patients.
- The current NO SHOW Policy went in to effect on September 1, 2015. The policy was intended to change behaviors and reduce our NO SHOW rates, which will in turn improve our patients' access to care.
- No show rates in the Medical Clinic decreased from 13.9% in FY 2015 to 10.3 % in FY 2016.
- Recruitment and retention of Health Care Providers and professionals continues to be a challenge due to nationwide shortage of Primary Care and Psychiatry providers
- Recruitment and retention of nursing staff (RN, LPN, and CMA positions) remains a priority as our efforts continue to create and maintain a team that continues to work on our Population Health Management strategic initiative to transition to a volume to value-based provider.
- Inadequate Information Technology (MIS) resources continue to be an operational concern in an environment where all records are electronic.

- Limited Succession planning for future retirements of staff with longevity and the restraints within the organization limit options available
- The Division is looking at innovative team based approaches to provide quality care to our community.
- A cross functional Population Health Management project team continues its work on our Population Health Management strategic initiative.
- Access to care is continuously monitored across the Health Division. We have staffing, space and financial constraints that prevent us from providing optimal access to care in our Division.
- OCHD is re-evaluating a longer term Facility expansion plan to improve access and for provision of healthcare to our community.

Medical

- Routine appointments are currently booking 4-8 weeks out for the Medical Clinic
- **See attachment A for No Show data for Medical Clinic**

Behavioral Health

- ATODA Intake -5 weeks
- ATODA C2 Individual Session (C2-Counseling 60 min) (Individual Sessions/Routine)-3 days
- Co-Occurring Intake-7 weeks
- Co-Occurring C2 Individual Session (C2-Counseling 60 min) (Individual Sessions/Routine)-1 week
- Mental Health Intake-17 weeks
- Mental Health (Individual Sessions/Routine)-2 weeks
- Vet Evaluations-10 weeks (These are ONLY for Veterans who are seeking services/benefits through the VA)
- Psychological Evaluations- 6 Weeks
- Psychological Follow Up- 2-days
- Psychiatric Child Evaluation- 6 weeks
- Psychiatric Child Medication Check (Routine) – 4 weeks
- Psychiatric Adult Evaluation- 5 weeks
- Psychiatric Med Check (Routine) - 3 weeks
- Psychiatric Evaluation Follow Up- 6 weeks
- **See attachment B data by provider**
- **See attachment C data for No Show data for Behavioral Health**

Optical

- Routine exams are booking 5 months out for Optical
 - Optical does have a walk-in clinic on Monday and Thursday mornings for patients who needs their appointments sooner. A sign-up begins at 7:45 AM and the patient will need to wait to be seen.
 - Optical also sees emergencies as needed

- The Annual Diabetic Event was held on August 24th, 2016. Two of our staff represented the Eye Care Department. They had a display booth and giveaways
- Staff attended the Quality of Life Meeting to represent the Eye Care Department. The Eye Care Department put together a success video to show the excellent results we had with the exercise pilot program.
- Eye Care began using iPads in the exam rooms during the short waiting period when the patient's eyes are dilating. They can view various videos that relate to different eye diseases and visual problems that they may be experiencing.
- Dr. Slaby attended the Wisconsin Optometric Association Fall Seminar in Middleton, WI in September, 2016.
- One of the staff members is a part of a small team of employees who are currently meeting to re-establish a new procedure for a Clinic wide chart audit process.
- We upgraded to the newest version of Compulink on 9-30-16 without any major issues!
- Instead of just one Doctor seeing walk in appointments on Monday and Thursday mornings, we had both Doctors seeing patients for the last two weeks of August which doubled the amount of appointments available to students wishing to get in for an exam before school started. All of the exam slots were filled. It was a big success!

Dental

- Routine exams and Filling appointments are currently booking at the end of October (approx.. 12 weeks)
- Emergencies are seen daily
- Dental cleaning appointments are currently scheduling in the 2nd week of December.
- Patients are called to come in sooner if there are cancelations in the schedules for all appointments
- We are short staffed four dental assistants, Dental is working with HR to post the positions
- Independent Contractor, Dr. Dennis Romero has given his notice to not renew his contract after 9/30/16. He will be opening a private practice.
- Referral of children w/MA/Badger Care or those with no insurance who are in need of a pediatric dentist, continue to be referred to the Oral Health Partnership (OHP), if urgent care is needed the referral is generally to Park West Pediatrics.
- Dental staff have been working with PRC to negotiate a reduced PRC rate with the dental offices who receive majority of our dental referrals.
- Dr. Wendy Pietz (Board Certified Oral Surgeon) completed a site visit on 9.21.16, she has great interest in providing services to our clinic one day a week. An independent contract has been forwarded to her for her review.
- The installation of "Lighthouse" the automated call system to confirm appointments, continues with positive reviews from the patients. The system sends reminders via text, e-mail & phone call, also follow up review is sent to the patient for their input on their visit.

ANNA JOHN RESIDENT CENTERED CARE COMMUNITY (AJRCCC) UPDATE:



The average daily census for 4th Qtr of the Fiscal 16 years is indicated in the chart below:

| | July | August | September | Total |
|---|-----------------------|--------|-----------------|--------|
| Total Billable days for the Residents in the Month | 1469 | 1417 | 1310 | 4196 |
| Number of Calendar Days | 31 | 30 | 31 | 92 |
| Daily Average Census | 47 | 47 | 42 | 46 |
| *Oneida Enrolled | 34 | 36 | 37 | |
| * Other Tribe | 4 | 4 | 4 | |
| *Non-Tribal | 8 | 8 | 8 | |
| % full | 98.72% | 98.40% | 88.04% | 95.02% |
| | Payment source | | Medicaid | 79.68% |
| | | | Med A | 8.75% |
| | | | Private | 7.32% |
| | | | VA | 1.41% |

*Varies by Admissions and Discharges throughout the month

- Between the months of July thru September, 2016; HRD reported that as of Nov 1, 2016 the Anna John Resident Centered Care Community had a total of 59 tribal employees working the 24/7 shifts to care for our Residents during the fourth quarter.
- Quality Assurance/Regulatory Compliance Issues:
The Quality Assurance mandated that all AJRCCC staff training was completed along with additional policy and procedures updated by the Quality Assurance Team; and no reports were sent in to the State of Wisconsin, Bureau of Quality Assurance.
- Shared activities between AJRCCC and Elder Services continue on a scheduled plan.

- The Congregate Mealsite hours of operation are Monday through Friday from 8:00 AM to 4:30 PM and continues to average 80-100 per day in attendance.
- Dave Larson continues as the Acting Administrator for the AJRCCC awaiting finalization of the reorganization within the Health Division. Job descriptions have been submitted to HR for review and finalization.
- Reorganization should be complete by December 31, 2016.
- The AJRCCC board has been actively reviewing their By-Laws and have been meeting regularly with a full board.

OPTIMIZE TECHNOLOGY

Initiatives: Advancing Technology and Accreditation

ELECTRONIC MEDICAL RECORD (EMR):

- **The EMR Team** continues ongoing review and updating of the system on a regular basis as new releases are available within the application and added on software. Every update/new release requires numerous hours of testing and coordination for implementation to assure that all the application and updates are tested before going live. We successfully upgraded to version 12.0.12 on August 13, 2016. Our next anticipated upgrade will not be until the first quarter of 2017. There are many anticipated changes and upgrades required in our server configurations that are required for the next upgrade to version 12.2.
- **HIPAA Security Policies/Procedures:** HIPAA and EMR SOP training continues for AJRCCC and MIS staff. We have finalized an E-Learning format for staff in order to deliver this information to MIS staff, but we have encountered formatting issues. This continues to be worked on. We are required to provide this training annually and to new staff. The annual trainings will start at the end of the calendar year 2016 and will be accessed through E-Learning for all employees.
- **Risk Assessment-** We have completed gathering all of the supporting documents to support the risk assessment (RA) completed in December 2015. We have been meeting regularly to complete and implement a correction plan for areas of concern by Nov 2016. We will once again engage with MetaStar to assist us with our annual Risk Assessment. This will take place on November 3, 2016.
- **Patient Portal-** We continue to work on adoption and education to patients on the concept of the patient portal and to encourage patients to be engaged in their healthcare through technology and online access to their healthcare information. We have joined the teams of Medical, Behavioral Health, Optical and Dental to have a combined access page to the three portals for all OCHD patients. We are working on a joint pamphlet and advertising to promote all three portals as a whole. We have been working on revisions of the pamphlet with the change in website design and logo.
- **Clinical Messaging-**Centricity Core Team members received three training sessions and set up of the Automated Clinically Messaging (ACM) functionality to the Medical Portal. This functionality will provide providers the ability to send mass messaging to patients, office visit clinical summaries securely to patients and consult patient summaries to outside providers automatically via the patient portal. We have identified compatibility issues with our current document management system. We will not be able

to capture the content of these “sent” documents in the patients chart. We have engaged in discussion with vendors that will be able to provide this content in GE and have recently incorporated the use of GE/SureScripts Document Management solution. We will have the project kick-off on October 7, 2016.

- **E-signature**-We have received the iPads and security accessories. We have successfully completed installation of the “Security Certificate” for our iPads. We have been working on testing and finalizing registration documents along with continued development of other clinical forms that will be used. We plan to start with the registration area and roll out the registration forms. We are also anticipating expanding the use of forms and application for dental and optical once implementation has successfully been completed in the registration and clinical areas of Behavioral Health and the medical clinic.
- **Meaningful Use (MU)**: Attestation data for 2015 has been submitted to Centers for Medicare & Medicaid Services (CMS). Areas have met the deadline of submission of July 31, 2016. We have switched focus on meaningful use measures and workflow for 2016. We will continue to work with vendors to add needed functionality and workflow in order to meet measures. We will need to attest and report a full year of data (pending confirmation of a change to 3 months of data vs. the full year) for the MU measures for Modified Stage 2 according to CMS guidelines. We will continue to follow the guidelines for the Medicaid program of Meaningful Use as we are directed by CMS.

The team continues to work with MetaStar to help all areas understand and meet Meaningful Use and its different programs. They have also helped areas get eligible providers signed up to CMS programs.

- **Physician’s Quality Reporting System (PQRS)**- We have registered once again to report PQRS data as a group for Oneida Comprehensive Health Division for 2016. We will once again utilize Quality Submission Services (QSS) in conjunction with Clinical Quality Report (CQR) function with GE Centricity for 2016 data submission.
- **Proximity Cards**- We have engaged with Imprivata to implement this automated sign on feature for the medical area at OCHC. We went live August 23, 2016. We continue to work on the application, formatting and profiling of users to make the application work without inconsistencies.
- **Bi-Directional Immunization Registry with Wisconsin Immunization Registry (WIR)**- Scientific Technologies Corporation (STC, formerly ImmsLink), the selected vendor, continues to assist us with our interface with the WIR. We have successfully been submitting data to WIR since mid-November 2015 and have now resolved errors with retrieving WIR data back into GE Centricity. Training for the providers and nursing staff has been completed. Community Health and Medical Records staff training dates have been set. There continues to be mapping, script error, and interoperability issues with WIR. STC continues to work with us to fix these issues as they arise. These issues have caused a delay in training the remaining areas. We continue to monitor the inventory function of the product.
- **Picture Archiving Communication System (PACS)**- We went live on 7/6/2016. Still working on a permanent fix to prevent Linklogic issues when past images are attached to the PACS system. We have engaged discussion with Nuvodia and Hospital Sisters Health System (HSBS) to provide us a secure image transfer agreement between both

entities. We are looking at this transfer to happen over the cloud versus a Virtual Private Network (VPN).

- **Behavioral Health (BH) Chart Status Project-** We will need to **transfer BH Medical Records Excel database into the EMR**. This is a task that we will need GE's, assistance in completing because of the complexity of making sure the licensing requirements are completed prior to the status changing on the patient banner. This work has begun since we have resolved some of the issues with set up of the signature capture. We need the signature capture to function properly to have all of our licensing requirements in the EMR to have the banner fully functioning for the Chart Status. We are continuing to work on the signature capture implementation (starting with Registration) in order to move forward with the BH Chart Status Project.
- **REPORTS-** We are working with the Cognos application on the functionality to be able to pull quality assurance, outcome reports, BH Provider reports, no show, access to care etc. We started to work with MIS staff for BH Reports on 2/16/16. We have been successful with getting ongoing reports of Access to Care, Monthly and Quarterly No Show/Cancellation Summary and we are now testing the Provider Utilization Reports. However, we are currently down one MIS staff person who is not dedicated to only the health division. The staff person will continue to assist us with very limited time due to his current work load.
 - Behavioral Health (BH) has not yet been able to get any of the desired clinical data from the EMR. This is a huge concern. BH has state licensing coming again in January and we are not able to get the outcome data. We have our Mental Health Block Grant that we need our Adverse Childhood Experiences data to report out, with that ending 9/30/16.
 - We are inquiring about the cost to have GE build the Crystal Reports for the EMR as well as the cost for Crystal Report Training to determine the feasibility for the Division. We have successfully worked with MIS and Cognos to produce a monthly no show/cancellation report and a preliminary access to care report. We continue to work with MIS to finalize the access to care report. We will anticipate expanding this Access to Care Report over the next quarter to obtain more detailed information.
- **Population Health Management-** The Project Charter has been completed. Have begun to look at Population Health Management solutions. We have not had any further movement on this initiative at this time. Our next step is to begin the RFP and send out to vendors. Once this is complete we will begin the project plan. There is still no firm time frame from CMS for full implementation to transition to value-based billing processes but we know this is on the horizon within 2017-2018.

PATIENT MANAGEMENT SYSTEM (PMS)

- **Batch Eligibility-** Emedapps 271 Submission files continue to go through successfully with occasional glitches which result in some of the records erroring out. Emedapps investigates the resulting errors as they are noticed. Full database runs of Batch Eligibility are being discussed as we will still need to have that completed since the GE Centricity Electronic Data Interchange (EDI) Plug-ins that are now in play only go by provider schedules or at the registration level.
- **EDI Eligibility -** The GE Centricity EDI plug-ins are set up and being used by our Billing staff when they need to on a patient-by-patient basis. It is currently being reviewed for process

flow at this time from the PAR Supervisor area. Many of the main insurances have been linked to this capability which has allowed us to be able to electronically check insurance eligibility on a patient in real-time, directly from GE Centricity.

CONTINUOUS QUALITY IMPROVEMENT

Initiatives: Accreditation

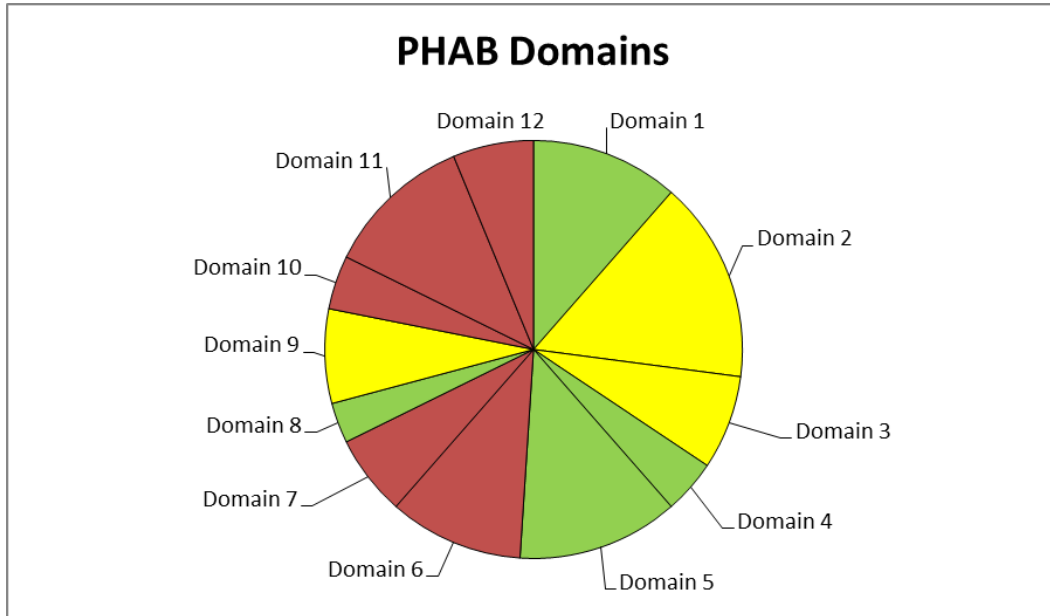
Accreditation of the Health Division

- Meeting with departments to discuss QA studies and tracking/ data collecting process.
* Peer Review, Chart Audits, Credentialing
- Creating a reporting structure for the additional Health Division Committees i.e. Pharmacy and Therapeutics, Infection Control etc to report their meeting minutes to the QA team.
- Work continues on the training tracking tool for OCHD.
- Patient satisfaction survey - fall of 2016.
- We have added an additional hour each month to the Quality Assurance (QA) meeting time to accommodate for the necessary time to address only issues related to accreditation on a monthly basis.
- Initial planning is taking place on creating a reporting structure for the additional Health Division Committees i.e. Pharmacy and Therapeutics, Infection Control, etc. to report their meeting minutes to the QA team.

Public Health Accreditation

- Completed all activities and closed out public health accreditation mentoring program (ended 09/30/16).
- Closed out Prevention grant objective (grant ended 09/30/16.)
- We completed review of Domains 1 and 5 this quarter! Jean day celebrations were 09/20/16 and 09/27/16. There are a total of 12 Domains in the public health accreditation process. The Documentation Review Team has now completed domain review: 1, 4, 5, 8. In process domain review: 2, 3, 9.
- Sept 30, 2016, a trainer was selected for our Community Health Assessment and Community Health Improvement Plan. We have requested a representative from the State Public Health Department to come on site and provide some general training to the Community Health Services (CHS) Dept team and more focused training of the process for the process selected leadership team. This training will increase staff core competency in several public health core competencies. Additional key partners from within the organization will also be invited to participate in this training. Training date established for 12/08/16.
- Branding activity- final template created for email signature to be used by all CHS Dept staff 09/30/16. This will be used as an example of implementing branding for public health accreditation. Nearly all staff were compliant with applying the template to his/ her individual by 09/30/16. However with a few staff out of the office this day, it was completed for all by the time of this report.
- Branding activity- completed poster board project August 2016. Another example that will be used of implementing the brand for public health accreditation.
- Completed annual review and update of the CHS Department Workforce Development Plan Sept 2016.

- Accreditation coordinator and Health Officer attended e-PHAB training in Virginia at the end of Sept 2016. Completion of this step will grant us access to the documentation section of the e-PHAB software system.



** Red- No review yet

Yellow- greater than 50% complete

Green- 100% complete

ENHANCE OUR WORKFORCE

Initiative: Optimizing our staffing processes

HUMAN RESOURCE MANAGEMENT

Number As of 11/01/16 Comprehensive Health Division Employees: 321

- 115 Oneida Enrolled
- 29 American Indian/Alaskan
- 3 Black/African American
- 1 Asian
- 4 Hispanic/Latino
- 169 Caucasian/other
- **AJRCCC: (59)**
 - 24 Oneida Enrolled (INCREASED FROM 15% TO 41.5% OF EMPLOYEE BASE FOR AJRCCC)
 - 8 American Indian/Alaskan
 - 1 Asian
 - 2 Hispanic/Latino
 - 24 Caucasian/other

- **Current vacancies as of 10/24/16:**
 - Patient Account Representative
 - Purchased/Referred Care Supervisor
 - Certified Medical Assistant
 - Certified Nursing Assistant
 - Coder
 - Community Health Worker
 - Clinical Substance Abuse Counselor OBH
 - Dental Assistant
 - Diabetes Program Supervisor
 - LPN – AJRCCC
 - LPN- OCHC
 - MDS Sub-Acute Rehab Nurse – AJRCCC
 - Physician Pediatrician
 - Physician Family Medicine
 - Physician Internal Medicine
 - Psychiatrist OBH
 - Psychotherapist OBH
 - RN – AJRCCC

FINANCIALS

Funding Sources for FY-2016

TRIBAL CONTRIBUTION: 2.54%

GRANTS: 5.71%

OTHER SOURCES:

External Sales/Third Party Revenue/other 52.42%

Indian Health Services 39.33%

Total Budget: \$51,295,138

Status as of 09/30/16

Budget as of 09/30/16: \$51,295,138

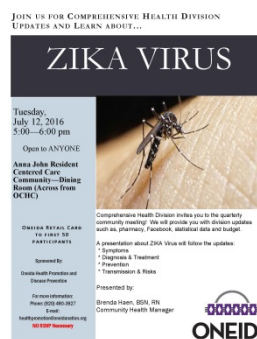
Expenditures as of 09/30/16: \$53,901,414 (Preliminary)

LONG TERM CARE:

- There is a great deal of concern regarding the Social Security Act and its impact on both MA and MA waivers. This includes all waivers (e.g. 1915a, 1915b, 1915c, 1915i, 1115). The concern centers around the Office of General Counsel for CMS. General Counsel has repeatedly said that federal statute, federal regulation, and court rulings (to include U.S. Supreme Court) notwithstanding, Tribes are considered an ethnic minority and not a political entity. While Several Tribes and States have found language to side step this issue, this is becoming a larger and more significant issue, particularly as the environment of healthcare is rapidly changing. The Vice Chairwoman raised this concern directly with CMS. **This does need to be a priority going forward with the Trump administration. This may need to be elevated to the HHS level.**
- The most time in MMPC was spent on the transition meeting planned for 8 December 2016 for the new administration. The greatest impact under a Trump administration is the repeal of the ACA. While this carries a number of issues with it, the largest is the repeal of the IHCIA which is part of the ACA. It will be very important for Oneida to be represented in the transition planning.
- CMS working in isolation is becoming a larger and more significant problem. The lack of coordination with its sister agencies in particular Indian Health Service (IHS) and Veterans Administration. The issues revolve around access to care and reimbursement for services. This may also need to be elevated to the HHS level. However most of the issues involved are contingent on the IHCIA staying intact.
- MACRA and MIPS final rule was released on October 14, 2016 and does not specifically say that Tribes are exempted from the requirements. MACRA does not provide an explicit exclusion for FQHC's but they should not be affected because they bill under an all-inclusive rate. **This needs to be clarified with CMS.**



Safety Town 2016

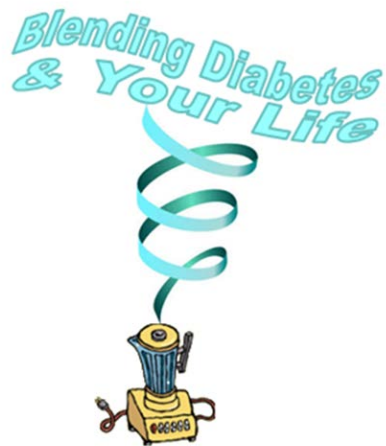


July Community Meeting



OCHD New Furniture

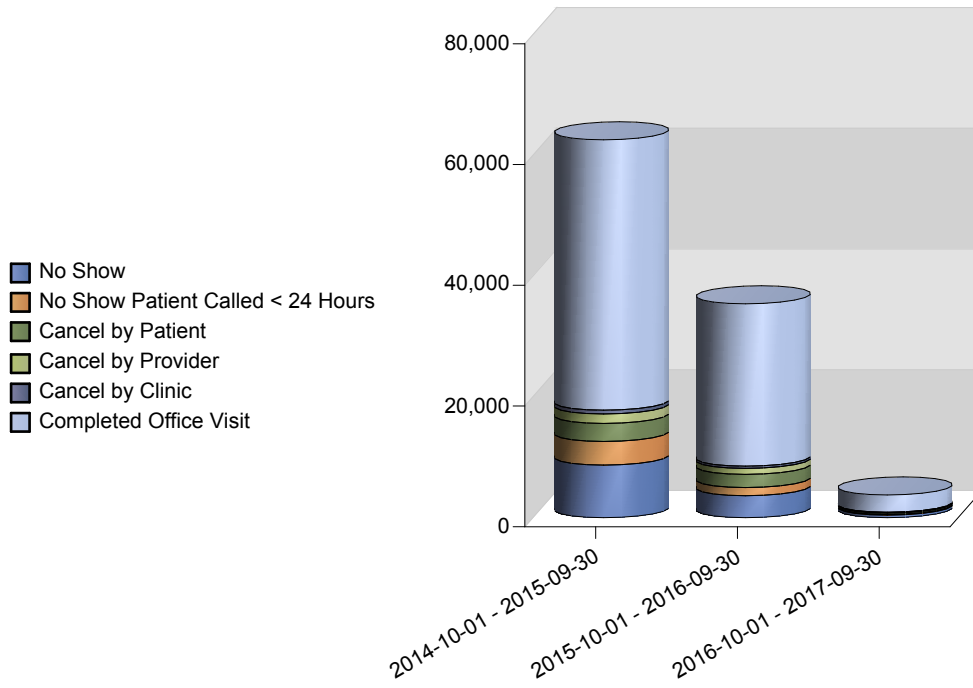
Annual Diabetic Event 2016



Please follow us on our Oneida Comprehensive Health Division Facebook page!



Three Year By Quarter No Show/Cancellation Summary Oneida Health Center Medical Clinic



| Range | FY QTR | No Show | % | No Show Patient Called < 24 Hrs | % | Cancel by Patient | % | Cancel by Provider | % | Cancel by Clinic | % | No Show Cancellation Total | Completed Office Visit | Total Appointments | Billed Visits | Total Clients |
|--------------------------------|--------|------------|--------------|---------------------------------|-------------|-------------------|-------------|--------------------|-------------|------------------|-------------|----------------------------|------------------------|--------------------|---------------|---------------|
| 2016-10-01 - 2017-09-30 | Qtr01 | 424 | 11.3% | 109 | 2.9% | 213 | 5.7% | 93 | 2.5% | 66 | 1.8% | 886 | 2,855 | 3,741 | 2,114 | 2,791 |
| 2016-10-01 - 2017-09-30 | | 424 | 11.3% | 109 | 2.9% | 213 | 5.7% | 93 | 2.5% | 66 | 1.8% | 886 | 2,855 | 3,741 | 2,114 | 2,791 |
| 2015-10-01 - 2016-09-30 | Qtr04 | 939 | 11.0% | 292 | 3.4% | 564 | 6.6% | 184 | 2.2% | 59 | 0.7% | 2,000 | 6,544 | 8,544 | 6,505 | 4,621 |
| | Qtr03 | 861 | 9.9% | 353 | 4.0% | 548 | 6.3% | 192 | 2.2% | 109 | 1.2% | 2,025 | 6,710 | 8,735 | 6,703 | 4,501 |
| | Qtr02 | 777 | 9.0% | 338 | 3.9% | 547 | 6.4% | 285 | 3.3% | 115 | 1.3% | 2,037 | 6,576 | 8,613 | 6,577 | 4,464 |



Three Year By Quarter No Show/Cancellation Summary

Oneida Health Center Medical Clinic

| Range | FY QTR | No Show | % | No Show Patient Called < 24 Hrs | % | Cancel by Patient | % | Cancel by Provider | % | Cancel by Clinic | % | No Show Cancellation Total | Completed Office Visit | Total Appointments | Billed Visits | Total Clients |
|--------------------------------|--------|---------------|--------------|---------------------------------|-------------|-------------------|-------------|--------------------|-------------|------------------|-------------|----------------------------|------------------------|--------------------|---------------|---------------|
| 2015-10-01 - 2016-09-30 | Qtr01 | 1,059 | 11.2% | 370 | 3.9% | 522 | 5.5% | 337 | 3.6% | 81 | 0.9% | 2,361 | 7,061 | 9,422 | 7,065 | 5,031 |
| 2015-10-01 - 2016-09-30 | | 3,636 | 10.3% | 1,353 | 3.8% | 2,181 | 6.2% | 998 | 2.8% | 364 | 1.0% | 8,423 | 26,891 | 35,314 | 26,850 | 8,662 |
| 2014-10-01 - 2015-09-30 | Qtr04 | 2,089 | 13.8% | 784 | 5.2% | 780 | 5.1% | 472 | 3.1% | 107 | 0.7% | 4,232 | 10,928 | 15,160 | 10,930 | 6,159 |
| | Qtr03 | 2,134 | 13.9% | 994 | 6.5% | 734 | 4.8% | 465 | 3.0% | 112 | 0.7% | 4,439 | 10,902 | 15,341 | 10,911 | 6,038 |
| | Qtr02 | 2,176 | 13.8% | 1,128 | 7.1% | 709 | 4.5% | 285 | 1.8% | 209 | 1.3% | 4,507 | 11,274 | 15,781 | 11,294 | 6,224 |
| | Qtr01 | 2,308 | 14.2% | 1,008 | 6.2% | 762 | 4.7% | 352 | 2.2% | 197 | 1.2% | 4,627 | 11,681 | 16,308 | 11,697 | 6,545 |
| 2014-10-01 - 2015-09-30 | | 8,707 | 13.9% | 3,914 | 6.3% | 2,985 | 4.8% | 1,574 | 2.5% | 625 | 1.0% | 17,805 | 44,785 | 62,590 | 44,832 | 10,263 |
| Summary | | 12,767 | 12.6% | 5,376 | 5.3% | 5,379 | 5.3% | 2,665 | 2.6% | 1,055 | 1.0% | 27,114 | 74,531 | 101,645 | 73,796 | 11,961 |



BH - Access Of Care 3rd Available appointment

BH ATODA Intakes

| Resource | Appointment Type | Next 3rd Open |
|--------------------------------|-------------------------------|--------------------------|
| Krueger MSW APSW CSAC, Rebecca | Intake Mental Health/ATODA-90 | Dec 16, 2016 10:00:00 AM |
| Lalonde BS CSAC, Scott | Intake Mental Health/ATODA-90 | Dec 30, 2016 7:00:00 AM |
| Metoxen MSW SAC , Lynn | Intake Mental Health/ATODA-90 | Dec 29, 2016 10:00:00 AM |

BH-ATODA (C2)

| Resource | Appointment Type | Next 3rd Open |
|---------------------------------|-------------------------------|--------------------------|
| Agneessens BA CSAC CSIT, Mike F | Individual Therapy Session-60 | Nov 7, 2016 3:00:00 PM |
| Krueger MSW APSW CSAC, Rebecca | Individual Therapy Session-60 | Nov 9, 2016 12:00:00 PM |
| Lalonde BS CSAC, Scott | Individual Therapy Session-60 | Nov 16, 2016 7:00:00 AM |
| Rasmussen BSW CSAC, Dale S | Individual Therapy Session-60 | Nov 1, 2016 3:00:00 PM |
| Schoen BS CSAC, Kelly | Individual Therapy Session-60 | Nov 24, 2016 12:00:00 PM |

BH-Co-Occuring Intake

| Resource | Appointment Type | Next 3rd Open |
|-------------------------------|-------------------------------|-------------------------|
| Collier, Carmen D MS LPC CSAC | Intake Mental Health/ATODA-90 | Jan 5, 2017 9:00:00 AM |
| King MSW LCSW CSAC, Mary Beth | Intake Mental Health/ATODA-90 | Dec 23, 2016 7:30:00 AM |
| Lambert LPC CSAC, Timothy C | Intake Mental Health/ATODA-90 | Jan 5, 2017 3:00:00 PM |
| Nehring MSE LPC CSAC CS, Teri | Intake Mental Health/ATODA-90 | Jan 3, 2017 8:00:00 AM |

BH-Co-Occuring C2

| Resource | Appointment Type | Next 3rd Open |
|----------------------------------|-------------------------------|-------------------------|
| Cheney MSW, LCSW, SAS Benjamin R | Individual Therapy Session-60 | Nov 10, 2016 2:00:00 PM |
| Collier, Carmen D MS LPC CSAC | Individual Therapy Session-60 | Nov 10, 2016 9:30:00 AM |
| King MSW LCSW CSAC, Mary Beth | Individual Therapy Session-60 | Nov 2, 2016 10:00:00 AM |



BH - Access Of Care 3rd Available appointment

| Resource | Appointment Type | Next 3rd Open |
|-------------------------------|-------------------------------|------------------------|
| Lambert LPC CSAC, Timothy C | Individual Therapy Session-60 | Nov 7, 2016 9:00:00 AM |
| Nehring MSE LPC CSAC CS, Teri | Individual Therapy Session-60 | Nov 8, 2016 9:30:00 AM |

BH MH Intakes

| Resource | Appointment Type | Next 3rd Open |
|----------------------------|-------------------------------|--------------------------|
| Helander MSW LCSW, Valorie | Intake Mental Health/ATODA-90 | Jan 17, 2017 11:00:00 AM |
| Huhtala MSW LCSW, Rhonda | Intake Mental Health/ATODA-90 | Feb 21, 2017 9:00:00 AM |

BH-Mental Health (C2)

| Resource | Appointment Type | Next 3rd Open |
|---|-------------------------------|--------------------------|
| Brito, MFT TL SAC IT, Martha | Individual Therapy Session-60 | Nov 22, 2016 8:00:00 AM |
| Cornelius-Adkins MSW LCSW SAC CSOT BCD, Torland E | Individual Therapy Session-60 | Nov 21, 2016 6:00:00 PM |
| Helander MSW LCSW, Valorie | Individual Therapy Session-60 | Nov 10, 2016 2:00:00 PM |
| Huhtala MSW LCSW, Rhonda | Individual Therapy Session-60 | Nov 23, 2016 1:00:00 PM |
| Sayers MSW LCSW LMFT, Kathleen | Individual Therapy Session-60 | Nov 21, 2016 11:00:00 AM |
| Shaw MSW LCSW, Lisa | Individual Therapy Session-60 | Dec 13, 2016 8:30:00 AM |

BH-EMDR Intakes

| Resource | Appointment Type | Next 3rd Open |
|-------------------------------|------------------|------------------------|
| King MSW LCSW CSAC, Mary Beth | BH-EMDR Intake | Dec 5, 2016 1:00:00 PM |

BH-EMDR Therapy

| Resource | Appointment Type | Next 3rd Open |
|-------------------------------|------------------|--------------------------|
| Collier, Carmen D MS LPC CSAC | BH-EMDR Therapy | Nov 23, 2016 11:00:00 AM |
| King MSW LCSW CSAC, Mary Beth | BH-EMDR Therapy | Nov 15, 2016 8:00:00 AM |



BH - Access Of Care 3rd Available appointment

| Resource | Appointment Type | Next 3rd Open |
|---------------------|------------------|-------------------------|
| Shaw MSW LCSW, Lisa | BH-EMDR Therapy | Dec 12, 2016 1:00:00 PM |

BH-Veteran Eval

| Resource | Appointment Type | Next 3rd Open |
|---------------------|---------------------|------------------------|
| Shaw MSW LCSW, Lisa | Veterans Evaluation | Dec 5, 2016 8:30:00 AM |

BH-Intial Reiki Appointment

| Resource | Appointment Type | Next 3rd Open |
|-------------------------------|------------------|--------------------------|
| King MSW LCSW CSAC, Mary Beth | Reiki | Nov 23, 2016 3:00:00 PM |
| Lalonde BS CSAC, Scott | Reiki | Dec 16, 2016 11:00:00 AM |
| Nehring MSE LPC CSAC CS, Teri | Reiki | Nov 28, 2016 2:00:00 PM |

BH-Psych Evals

| Resource | Appointment Type | Next 3rd Open |
|---------------------|--------------------------|-------------------------|
| Sayers PHD, Michael | Psychological Evaluation | Nov 14, 2016 8:30:00 AM |
| Trail PsyD Sharyl | Psychological Evaluation | Nov 14, 2016 1:00:00 PM |

BH- Psych Eval FU

| Resource | Appointment Type | Next 3rd Open |
|---------------------|------------------------------|------------------------|
| Sayers PHD, Michael | Psychological Eval Follow Up | Nov 4, 2016 2:00:00 PM |

BH-Child Evals

| Resource | Appointment Type | Next 3rd Open |
|-----------------------|------------------|-------------------------|
| Dzubinski MD, David L | BH-Child Eval | Dec 13, 2016 1:00:00 PM |

BH-Child Med Checks



BH - Access Of Care 3rd Available appointment

| Resource | Appointment Type | Next 3rd Open |
|-----------------------|--------------------|--------------------------|
| Dzubinski MD, David L | BH-Child Med Check | Nov 15, 2016 10:00:00 AM |

BH-Adult Evals

| Resource | Appointment Type | Next 3rd Open |
|-----------------------|------------------|------------------------|
| Shekar MD, Chandra K. | BH-Adult Eval | Dec 5, 2016 3:00:00 PM |

BH-Med Checks

| Resource | Appointment Type | Next 3rd Open |
|------------------------|---------------------|-------------------------|
| O'Neill, MD, Michael | BH-Medication Check | Nov 8, 2016 9:15:00 AM |
| Patil MD, Veeranagouda | BH-Medication Check | Dec 12, 2016 3:00:00 PM |
| Rodriguez MD, Josefina | BH-Medication Check | Nov 17, 2016 5:00:00 PM |
| Shekar MD, Chandra K. | BH-Medication Check | Nov 9, 2016 3:30:00 PM |

BH-RN Triage Assessment

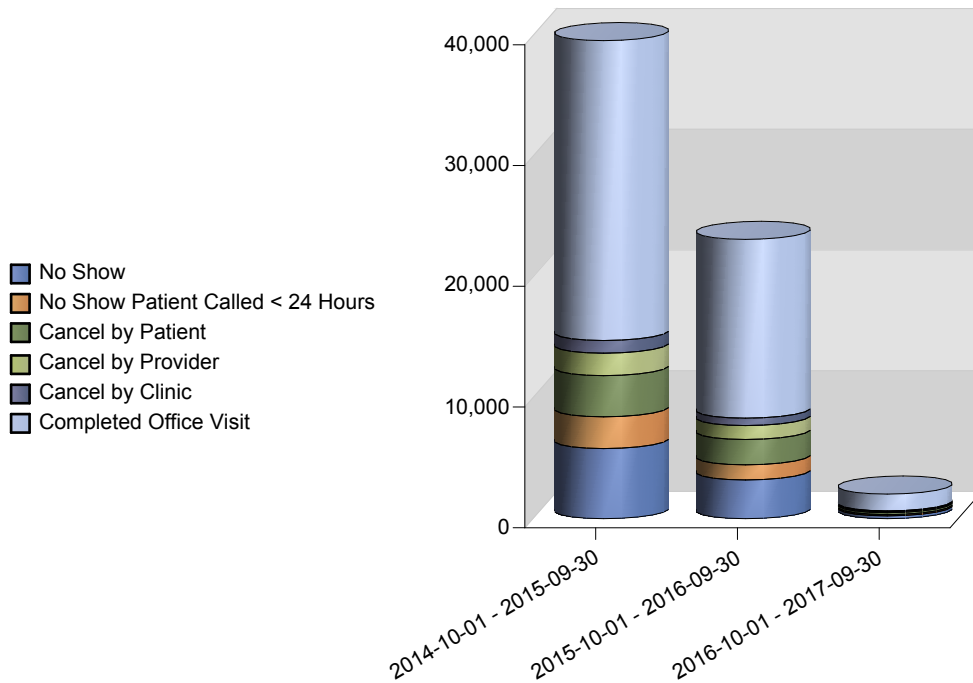
| Resource | Appointment Type | Next 3rd Open |
|-------------------------|-----------------------------------|--------------------------|
| Paluch MSE RN LPC, Dave | RN-Psychiatric Nursing Assessment | Nov 30, 2016 9:30:00 AM |
| Skrivanie MSN RN, Lois | RN-Psychiatric Nursing Assessment | Nov 10, 2016 10:00:00 AM |

BH-Eval FU

| Resource | Appointment Type | Next 3rd Open |
|------------------------|------------------|--------------------------|
| Dzubinski MD, David L | BH-Eval FU | Jan 12, 2017 3:00:00 PM |
| O'Neill, MD, Michael | BH-Eval FU | Nov 15, 2016 10:45:00 AM |
| Patil MD, Veeranagouda | BH-Eval FU | Dec 30, 2016 1:30:00 PM |
| Rodriguez MD, Josefina | BH-Eval FU | Nov 16, 2016 9:30:00 AM |



Three Year By Quarter No Show/Cancellation Summary Behavioral Health Services



| Range | FY QTR | No Show | % | No Show Patient Called < 24 Hrs | % | Cancel by Patient | % | Cancel by Provider | % | Cancel by Clinic | % | No Show Cancellation Total | Completed Office Visit | Total Appointments | Billed Visits | Total Clients |
|--------------------------------|--------|------------|--------------|---------------------------------|-------------|-------------------|-------------|--------------------|-------------|------------------|-------------|----------------------------|------------------------|--------------------|---------------|---------------|
| 2016-10-01 - 2017-09-30 | Qtr01 | 232 | 11.4% | 102 | 5.0% | 162 | 8.0% | 87 | 4.3% | 62 | 3.0% | 645 | 1,391 | 2,036 | 1,222 | 939 |
| 2016-10-01 - 2017-09-30 | | 232 | 11.4% | 102 | 5.0% | 162 | 8.0% | 87 | 4.3% | 62 | 3.0% | 645 | 1,391 | 2,036 | 1,222 | 939 |
| 2015-10-01 - 2016-09-30 | Qtr04 | 769 | 13.2% | 268 | 4.6% | 537 | 9.2% | 281 | 4.8% | 164 | 2.8% | 2,019 | 3,808 | 5,827 | 3,786 | 1,481 |
| | Qtr03 | 807 | 13.8% | 314 | 5.4% | 532 | 9.1% | 205 | 3.5% | 101 | 1.7% | 1,959 | 3,895 | 5,854 | 3,638 | 1,496 |
| | Qtr02 | 824 | 13.2% | 339 | 5.4% | 573 | 9.2% | 307 | 4.9% | 224 | 3.6% | 2,267 | 3,979 | 6,246 | 3,636 | 1,501 |



Three Year By Quarter No Show/Cancellation Summary Behavioral Health Services

| Range | FY QTR | No Show | % | No Show Patient Called < 24 Hrs | % | Cancel by Patient | % | Cancel by Provider | % | Cancel by Clinic | % | No Show Cancellation Total | Completed Office Visit | Total Appointments | Billed Visits | Total Clients |
|--------------------------------|--------|--------------|--------------|---------------------------------|-------------|-------------------|-------------|--------------------|-------------|------------------|-------------|----------------------------|------------------------|--------------------|---------------|---------------|
| 2015-10-01 - 2016-09-30 | Qtr01 | 807 | 15.5% | 331 | 6.4% | 456 | 8.8% | 362 | 7.0% | 122 | 2.3% | 2,078 | 3,127 | 5,205 | 3,122 | 1,377 |
| 2015-10-01 - 2016-09-30 | | 3,207 | 13.9% | 1,252 | 5.4% | 2,098 | 9.1% | 1,155 | 5.0% | 611 | 2.6% | 8,323 | 14,809 | 23,132 | 14,182 | 2,485 |
| 2014-10-01 - 2015-09-30 | Qtr04 | 1,477 | 15.4% | 633 | 6.6% | 816 | 8.5% | 392 | 4.1% | 230 | 2.4% | 3,548 | 6,037 | 9,585 | 6,038 | 2,098 |
| | Qtr03 | 1,510 | 15.2% | 631 | 6.4% | 887 | 8.9% | 451 | 4.5% | 146 | 1.5% | 3,625 | 6,300 | 9,925 | 6,303 | 2,206 |
| | Qtr02 | 1,373 | 13.6% | 666 | 6.6% | 930 | 9.2% | 538 | 5.3% | 392 | 3.9% | 3,899 | 6,179 | 10,078 | 6,182 | 2,119 |
| | Qtr01 | 1,445 | 14.4% | 701 | 7.0% | 776 | 7.8% | 481 | 4.8% | 280 | 2.8% | 3,683 | 6,323 | 10,006 | 6,371 | 2,151 |
| 2014-10-01 - 2015-09-30 | | 5,805 | 14.7% | 2,631 | 6.6% | 3,409 | 8.6% | 1,862 | 4.7% | 1,048 | 2.6% | 14,755 | 24,839 | 39,594 | 24,894 | 3,417 |
| Summary | | 9,244 | 14.3% | 3,985 | 6.2% | 5,669 | 8.8% | 3,104 | 4.8% | 1,721 | 2.7% | 23,723 | 41,039 | 64,762 | 40,298 | 4,288 |

Oneida Business Committee Agenda Request

EXCERPT FROM NOVEMBER 23, 2016: Motion by Lisa Summers to defer the Land Claims Commission FY '16 4th quarter report to the December 14, 2016, seconded by David Jordan. Motion carried unanimously.

1. Meeting Date Requested: 11 / 23 / 2016

2. General Information:

Session: Open Executive - See instructions for the applicable laws, then choose one:

Agenda Header:

Accept as Information only

Action - please describe:

Accept Land Claims Commission FY16 4th quarter report

3. Supporting Materials

Report Resolution Contract

Other:

1.

3.

2.

4.

Business Committee signature required

4. Budget Information

Budgeted - Tribal Contribution Budgeted - Grant Funded Unbudgeted

5. Submission

Authorized Sponsor / Liaison:

Primary Requestor/Submitter:
Your Name, Title / Dept. or Tribal Member

Additional Requestor:
Name, Title / Dept.

Additional Requestor:
Name, Title / Dept.

**ONEIDA LAND CLAIMS COMMISSION
4th QUARTER REPORT**

July 1, 2016 to September 31, 2016

Submitted by Dakota Webster, LCC Secretary 920-228-0623

LAND CLAIMS COMMISSIONERS:

Officers: Chairperson- Vacant, Vice Chair-Loretta V. Metoxen, Secretary/Treasurer-Dakota Webster

Commissioners: Jay Rasmussen, Donald McLester, Chris Cornelius, Tomas Escamea, Charles Wheelock

LCC Liaison: Jennifer Webster

PURPOSE:

The Oneida Land Claims Commission will make informed thoughtful recommendations to the General Tribal Council and Business Committee on issues pertaining to all Oneida land claim issues. We also encourage active participation from the membership.

MISSION:

Actively pursuing education, recommendations, and resolutions to all land claim settlement offers; honoring our ancestors, treaties, and the seven generations.

OBJECTIVES:

The Oneida Land Claims Commission is an elected governing body to meet the needs of the General Tribal Council. The Land Claims Commission continues to actively participate in all Business Committee meetings in regards to the New York Land Claims – Congressional Fix issues along with land claims in progress, and land claim issues which may arise. The Land Claims Commission is in the process of updating the By-Laws which govern the commission. The Land Claims Commission is working to set up a website so information involving land claims is accessible to tribal membership. The Land Claims Commission is planning to conduct community outreach sessions aimed to educate and receive feedback on all land claims which our General Tribal Council is entitled to.

MEETINGS:

July 27

August 18, 25 (special)

September 8 (special), 29

The Land Claims Commission meets twice a month on a regular basis as scheduled; the first and third Thursday of every month at 5:30 p.m. Meeting location to be announced.

FOLLOW UP:

The Oneida Land Claims Commission, with the assistance of Steve Webster, has nearly completed the uploading of all land claims sensitive documentation to the OnBase computerized system. The Land Claims Commission met with Business Committee Secretary Lisa Summers, Treasurer Trish King, member Jennifer Webster, and Executive Assistant Brian Doxtator in which an MOU was established regarding the transfer of duties for the vacant Land Commission Executive Assistant position. The Land Claims Commission will be utilizing staff from the Business Committee Secretary's office, in lieu of a hiring an Executive Assistant, to reduce costs. Renovations are at a standstill due to funding. The Land Claims Commission adopted a revised purpose and mission to align with our new education and strategic goals.

Oneida Business Committee Agenda Request

1. Meeting Date Requested: 12 / 14 / 16

2. General Information:

Session: Open Executive - See instructions for the applicable laws, then choose one:

Agenda Header:

Accept as Information only

Action - please describe:

3. Supporting Materials

Report Resolution Contract

Other:

1.

3.

2.

4.

Business Committee signature required

4. Budget Information

Budgeted - Tribal Contribution Budgeted - Grant Funded Unbudgeted

5. Submission

Authorized Sponsor / Liaison:

Primary Requestor/Submitter: Christopher Johns, Self-Governance Coordinator
Your Name, Title / Dept. or Tribal Member

Additional Requestor: _____
Name, Title / Dept.

Additional Requestor: _____
Name, Title / Dept.

Oneida Business Committee Agenda Request

6. Cover Memo:

Describe the purpose, background/history, and action requested:

Quarterly Report

1) Save a copy of this form for your records.

2) Print this form as a *.pdf *OR* print and scan this form in as *.pdf.

3) E-mail this form and all supporting materials in a **SINGLE** *.pdf file to: BC_Agenda_Requests@oneidanation.org

Oneida Nation

Office of Self-Governance

Post Office Box 365

Phone: (920)869-4368



Oneida, WI 54155

Tribal Self-Governance Quarterly Report to the Oneida Business Committee

Submitted By:
Christopher Johns, SG Coordinator

December 6, 2016

BUDGET

Congress returned for its final Session in mid-November. It is likely that Congress will once again develop and pass a Continuing Resolution (CR) for the period extending through March 31, 2017. This will give the new Congress and the President-elect two (2) months to develop a spending plan for the remaining six (6) months of Fiscal Year (FY) 2017. Congress will also be working on a spending plan for FY 2018 at the same time. As of Friday, December 2, 2016, nothing has been introduced for consideration in either the House or Senate.

CONTRACT SUPPORT COSTS (CSC)

The Class Action lawsuit originally filed by the Ramah Navajo Chapter and to which the Oneida Nation was a class member has resulted in a settlement of \$728,874.85 in back payment to the Nation and a settlement of \$1,237,327.77 to the Oneida Nation School. The Settlement also obligates the federal government to pay 100% of CSC to each Tribal contractor or compactor rather than a percentage based on Congressional appropriations. While this settlement only extends to programs and services funded through the Department of the Interior's Bureau of Indian Affairs (DOI/BIA), progress has also been made in this on-going dispute with the Indian Health Service (IHS).

On October 27, 2016, Principal Deputy Director, Mary Smith, announced that IHS has finalized a new CSC Policy. The new Policy serves as a guide for IHS and Tribes in the preparation, negotiation, determination, payment and reconciliation of CSC funding used to support new, expanded and ongoing services provided through compacts and contracts.

The Policy includes several issues Tribes advocated for in comments to the CSC Draft Policy. One of particular interest is the inclusion of the medical inflation rate to calculate estimated annual increases to ongoing direct CSC. Additional changes include an option to Tribes to reconcile and determine the full, final CSC expenditures within 90 days of the end of the annual performance period and a CSC Negotiation Template.

FEDERAL BRANCH TRANSITION: SELF-GOVERNANCE PRIORITIES

Self-Governance Tribes identified a broad range of “Priorities” for the next Administration at the Fall 2016 National Strategy Meeting of Self-Governance Tribes. The full document will be uploaded to the “G” drive. One of the more important Priorities is a concern that the nation-to-nation relationship that has been evolving over the last several decades continue by appointing qualified, experienced men and women to existing administrative positions and policy advisory groups.

Among the critical individual appointments are the:

- ✓ White House Advisor on Indian Nations
- ✓ Secretary of the Department of the Interior
- ✓ Assistant Secretary of Indian Affairs at the Department of the Interior
- ✓ Secretary of Health and Humans Services
- ✓ Director of Indian Health Service
- ✓ Administrator for the Administration on Native Affairs
- ✓ Administrator of the Substance Abuse and Mental Health Service Administration
- ✓ Administrator of the Center for Medicare and Medicaid Services
- ✓ Secretary of the Department of Transportation
- ✓ Deputy Assistant Secretary for Tribal Government Affairs at the Department of Transportation
- ✓ Office of Management and Budget
- ✓ Assistant Director for Native American Programs

Among the critical Tribal Advisory Committees are the:

- ✓ Self-Governance Advisory Committee for the DOI/BIA
- ✓ Tribal Self-Governance Advisory Committee for HHS
- ✓ HHS Secretary’s Tribal Advisory Committee (STAC)
- ✓ White House Council on Native American Affairs
- ✓ Tribal Nations Leadership Council (TLNC) at the Department of Justice (DOJ)

This is not a complete list of individual appointees or Tribal Advisory Committees. It is likely that the decision to continue any of them will be left to the next Administration's advisors.

The document further recommends the establishment of the following:

- ✓ A Self-Governance Advisory Committee within the Department of Transportation (DOT). Though the Tribal Transportation Self-Governance Program (TTSGP) Negotiated Rulemaking Committee will likely complete its work by the end of 2017, DOT will need ongoing support and advisement from Tribal leaders as implementation of the Fixing America's Surface Transportation (FAST) Act proceeds. An advisory committee can provide support and education for DOT leadership, Tribes, and other industry stakeholders.
- ✓ A Justice Programs Council on Native American Affairs (JPCNAA) within DOJ. A senior level Advisory Council within the Office of Justice Programs (OJP) was established to ensure coordination and consultation on justice and public safety issues in Indian Country. The Council reviews and develops Tribal justice and safety policy recommendations and strategies that align with the OJP Strategic Plan's model and are embraced and implemented by OJP leadership. In order to better address justice and public safety issues in Indian Country, the JPCNAA needs to be established within the DOJ as a whole. For example, within HHS, Congress authorized the Intradepartmental Council on Native American Affairs (ICNAA) as part of the Native Programs Act. This internal council brings together all operating and staff divisions within HHS to frame the department's policies and initiatives, and guide their overall interactions with AI/AN. We request that you include the same authority for JPCNAA in DOJ.
- ✓ A Veterans Health Advisory Committee. The next Administration should make coordination between Tribes, IHS, and the Veterans Administration (VA) a top priority in order to improve the access and quality of care provided to veterans. This Committee should be charged with developing solutions for improving coordination of care, updating and extending the National VA-IHS Memorandum of Understanding (MOU), and creating policy recommendations to provide greater health care access and quality for all veterans.

TRIBAL CONSULTATION AND COMMENT REQUESTS

✓ HHS to Host Tribal Consultation on Uniform Administrative Requirements, Cost Principles, and Audit Requirements

On November 10, 2016, the Department of Health and Human Services (HHS) announced they will host a Tribal consultation call with a subsequent 30-day comment period to receive input regarding a Notice of Proposed Rulemaking (NPRM) that amends HHS Uniform Administrative Requirements, Cost Principles, and Audit Requirements for HHS federal Awards.

HHS received a number of Tribal comments, including comments from the IHS Tribal Self-Governance Advisory Committee (TSGAC), relating to provisions in the July 13, 2016, NPRM that apply to the Indian Self-Determination and Education Assistance Act (ISDEAA). In addition to

comments relating to the ISDEAA, many comments requested additional Tribal consultation on the NPRM. HHS continues to accept and review all of the comments that are received from Tribes on this NPRM and will host a Tribal consultation call prior to finalizing the ISDEAA provisions. HHS will seek to issue the ISDEAA provisions as part of its existing regulations following consultation. Details of the Tribal consultation call can be found below:

Friday, December 9, 2016
3:00 p.m. - 4:30 p.m. (Eastern Time)
Conference Call Dial-in: (888) 950-7566
Participant Passcode: 1469236

The Tribal consultation record will remain open for 30 days after the consultation call wherein additional comments will be accepted.

√ IHS Headquarters Realignment National Teleconference

IHS Principle Deputy Director, Mary Smith, announced November 15, 2016, that IHS will extend the comment period on the proposal to realign IHS Headquarters to January 13, 2017. In addition to the extended comment period, IHS will host three national teleconferences to gather input from Tribes. The third call is set for Wednesday, November 30, 2016.

Monday, December 12, 2016
3:00 - 4:30 p.m. (Eastern)
Dial-in: (800) 857-2499
Participant Passcode: 3720279

Comments must be submitted to consultation@ihs.gov by January 13, 2017. TSGAC submitted comments prior to the initial deadline, but will continue to hear feedback from Tribes and send a follow up letter if necessary.

Notable 2016 Self-Governance Meetings and Events

Joint IHS TSGAC – DOI SGAC Meeting
January 24-26, 2017
Washington, DC

Tribal Interior Budget Committee (TIBC) Meeting
March 21, 2017
Washington, DC

Joint IHS TSGAC – DOI SGAC Meeting
March 28-30, 2017
Washington, DC

2017 Tribal Self-Governance Annual Consultation Conference
April 23-27, 2017
Spokane Convention Center- Spokane, Washington

Tribal Interior Budget Committee (TIBC) Meeting
May 2, 2017
Washington, DC

Joint IHS TSGAC – DOI SGAC Meeting
July 18-20, 2017
Washington, DC

Joint IHS TSGAC – DOI SGAC Meeting
October 24-26, 2017
Washington, DC

Tribal Interior Budget Committee (TIBC) Meeting
November 7, 2017
Washington, DC

Oneida Business Committee Agenda Request

1. Meeting Date Requested: 12 / 14 / 16

2. General Information:

Session: Open Executive - See instructions for the applicable laws, then choose one:

[Empty text box]

Agenda Header: Reports

Accept as Information only

Action - please describe:

Emergency Management 4th Quarter FY 2016 Report and EMPG Summary Attachement

3. Supporting Materials

Report Resolution Contract

Other:

1. FY 2016 EMPG Summary

3. [Empty text box]

2. [Empty text box]

4. [Empty text box]

Business Committee signature required

4. Budget Information

Budgeted - Tribal Contribution

Budgeted - Grant Funded

Unbudgeted

5. Submission

Authorized Sponsor / Liaison:

Melinda J. Danforth, Tribal Vice Chairwoman

Primary Requestor/Submitter:

Kaylynn Gresham, Director Emergency Management

Your Name, Title / Dept. or Tribal Member

Additional Requestor:

Name, Title / Dept.

Additional Requestor:

Name, Title / Dept.



STATE OF WISCONSIN
DEPARTMENT OF MILITARY AFFAIRS
 DIVISION OF EMERGENCY MANAGEMENT

Brian M. Satula
 Administrator

Scott Walker
 Governor

Steve Fenske
 East Central Region Director

November 18, 2016

COPY

Cristina Danforth
 Oneida Nation Chairwoman
 PO Box 365
 Oneida, WI 54155-0365

Dear Ms. Danforth:

The East Central Regional Office of Emergency Management has received and reviewed semiannual reports submitted by county/tribal directors of Emergency Management for the **second half FFY 2016**. This period of time includes **April 2016 through September 2016**. The following is an excerpt from the East Central Regional report as it relates to your tribe.

Oneida Nation:

During the 2nd half of the FFY2016 POW, Oneida Tribe EM Director updated the entire Emergency Response Plan (ERP) including the Basic Plan, Table of Contents, Legal Basis, Phone List, and all 15 Emergency Support Function (ESF). Updates are made regularly to reflect the inclusion of the submitted ESFs as well as the necessary changes of language and policy that affects the Tribes as a whole as Federal policies are created and/or changed in relation to FEMA and Tribal policy.

Oneida Tribe received a grant in 2015 to complete the update of their Pre-Disaster Mitigation plan. Oneida Tribe of Indians worked with BayLakes Regional Planning Commission to complete the update, which was submitted and approved by FEMA. The plan is now in effect until September of 2021. Additional planning initiatives include: continued participation in Regional Mass Care Planning; as well as working with the 152 departments and the Gaming & Retail establishment to develop and update Emergency Action Plans.

The Director has completed all minimum required training courses per the EMPG grant guidance, plus the Director attended a number of training courses and attended various other tribal and state conferences during this period, including Disaster Assessment training, FEMA Regional Power Outage exercise, and Mass Fatality Planning, just to name a few. Outreach included presentations to various civic groups on being prepared for upcoming severe weather season and the importance of obtaining a NOAA Weather radio. Plus the Emergency Management Director provided informational outreach to community members at a booth at the Oneida Elder Expo.

In addition to the Plan of Work requirements, the Emergency Management Director, along with several other County EM Directors and the WEM Response Section Supervisor, participated in the POW Workgroup. The workgroup was tasked with rewriting the 2017 EMPG Plan of Work and Grant Guidance.

All requirements for program administration were provided by the end of the FFY2016 grant period. **Payment of EMPG and EPCRA grants is recommended for the FFY2016 second-half reporting period for Oneida Nation.** If you have any questions regarding your Tribe's Emergency Management Plan of Work, or my comments in this report, please feel free to contact me at any time.

Sincerely,
Steve Fenske
Wisconsin Emergency Management
East Central Region Director

Cc: Tribal E.M. Director



Oneida Nation
Office of Emergency Management
2783 Freedom Road, Oneida WI 54155
o-920-869-6650, c-920-366-0411,
kgresham@oneindanation.org

TO: Oneida Business Committee
FM: Emergency Management
REF: Quarter 4 Report (July – September, 2016)
Date: December 2, 2016

General Overview:

The Emergency Management Department works to ensure the Oneida Nation is in a constant state of readiness through the use of efforts focusing on preparedness, mitigation, response and recovery. Coordinating and cooperating with numerous divisions and departments within the Tribal organization as well as differing jurisdictional response agencies/entities EM focuses on providing a coordinated timely response to emergencies and/or disasters that threaten the life safety of community members, the environment and/or assets of the Oneida Nation. Through a shared vision of coordination and cooperation emergency/disaster plans have been developed using shared principles of response initiatives; EM is the go-between for response agencies/entities of the Oneida Nation and the numerous surrounding jurisdictions when an emergency/disaster that affects the Oneida Nation or the Oneida Community occurs.

Executive Summary:

The purpose of this report is to describe the activities of the Emergency Management Department during the months of July, August, and September 2016. During this time the Emergency Management Department participated in a number of different activities discussed throughout the following information:

July – The Director continued work on the updates and changes to Emergency Support Functions (ESF) for the Oneida Nation Emergency Response Plan. ESF 1 – Transportation, 2 – Communications, 3 – Public Works, 6 – Human Services, 10 – HAZMAT, 11 – Agriculture, and 12 – Energy; were sent to the respective areas for review. During the month of July the EM Director continued to work extensively with departments and divisions within the Oneida Nation to develop and complete their Emergency Action Plans. A request was made to all Department Managers and Division Directors that they continue to follow up on Emergency Action Plans for all the areas and departments within their area of supervision.

During the month of July the Director continued to work with several departments within the Oneida Nation to update the Nation's Pre-Disaster Mitigation Plan. The plan was presented to the Oneida Community members at the Semi-Annual GTC meeting, where over 200 people signed in and received information about the plan and what it discusses. After the presentation to the community the plan was then sent to FEMA for review and approval. The Oneida Nation received confirmation in August that FEMA approved the submitted plan, at which time the OBC

formally adopted the Oneida Nation Pre-Disaster Mitigation Plan 2016 – 2021, by resolution. The adopted plan is in effect until 2021, at which time it will need to be updated.

During the month of July the Bad River Reservation in Northern WI experienced severe flooding that impacted their entire community. Emergency Management reached out to the Tribe as a whole to lend support, and also to the Tribal Leadership to provide administrative assistance, as their tribe does not have an emergency management department. The Director is also the Chairwoman of WiTEMA; as such direction and administrative assistance was provided to the Bad River Tribe during their Presidential Declaration Process for the damage their reservation sustained. The Oneida Nation sent a semi-trailer of water as well as contributed monetarily to the Bad River Flooding Fund as a way to provide assistance to those affected. Requested supplies were also delivered by community members to the Bad River Reservation in an effort to provide assistance.

August – As part of the deliverables of the Emergency Management Performance Grant, the Director continued to meet with the necessary departments to update the Emergency Support Functions of the Oneida Nation ERP. In August the Director received the information for review and signatures from several ESF's that were sent out during July. The Director received signatures on ESF's 1 – Transportation, 2 – Communication, 6 – Human Services, 10 – HAZMAT, 11 – Agriculture, and 12 – Energy. Upon approval and signature of the ESF's they are sent to the Regional EM Director for approval and inclusion of the completed deliverable of the FY Emergency Management Performance Grant. The Director also updated the Acronyms section and Legal Basis section of the Oneida Nation Emergency Response Plan and sent it to the Regional EM Director for approval and inclusion of the FY 2016 EMPG.

During the month of August the Director attended the Tribal Lands Forum Conference in Connecticut in conjunction with employees from the Environmental Health and Safety Division and the Oneida Law Office. The Director also attended the pilot class for Partner Coordination at the Emergency Management Institute in Emmitsburg, Maryland as an invited attendee from the Tribal Liaison for EMI's training academy.

During the month of August, to complete the third exercise requirement for the EMPG, the EM Director attended FEMA Region V Regional Power Outage Exercise with partners throughout WI and MI, focusing on response to a Power Outage that could affect the Midwest Region of the US. The EM Department also attended the Oneida Nation Elder Expo, providing information and outreach to community members on Emergency Management programs and initiatives on the Oneida Reservation.

September – During the month of September the Director focused most of her time on continuing to update the Emergency Action Plans for the tribal departments. Since September is the last month of the fiscal year, EM submitted ESF's 3 – Public Works and Engineering, 7 – Resource Support, 15 – External Affairs (Draft), and 16 – Radiological Incidence (Draft) to the EM Regional Director for inclusion and approval for the 2016 EMPG. The Director also submitted the updated version of the Oneida Nation Emergency Response Plan with informational updates to the Basic Plan, Table of Contents and Phone List. It often takes several months for the Regional Director to review all the submissions for the EMPG. Although the approval letter for Oneida's EMPG funding was received outside of the timeframe discussed within this report, a copy of the approval letter is attached for review with discussion of the objectives completed and documents submitted during the second half of the fiscal year 2016. (See attached letter dated November 18, 2016)

During the month of September the EM Director began discussion with the representative from the LPGA and Thornberry Creek Golf Course and OPD for planning needs in reference to Emergency Management and Law Enforcement perspectives. Meetings continue to be scheduled to develop the event planning guide for the event. The EM Director attended the National Tribal Emergency Management Conference in LeMoore, CA. There were over 100 Tribes represented at the conference with presentations from several tribes that have had

Emergency Declarations for various reasons as well as several Federal Regulatory Agencies that were partners in the responses to their incidents. The representation of Midwest tribes at the conference was minimal; therefore it is my hope as the Chairwoman of WiTEMA and as a member of FEMA Region V IEMA (International Emergency Management Association) that I can recruit more tribal representatives from our area of the Midwest to attend.

During the 4th quarter of FY 2016 the Emergency Management Department focused on continuing to update and develop the Emergency Action Plans (EAP) for the Divisions and Departments throughout the organization. Several departments have completed their updates and are now compliant in having up-to-date Emergency Action Plans for their employees. EM is in the process of developing an updated Tribal List of Department and Building locations for all tribally occupied facilities for the organization.

EM also completed and submitted the second half report for FY 2016 EMPG. The report and narrative were submitted to the Regional Director for funding approval, along with the crosswalk detailing updated information to the Oneida Nation Emergency Response Plan.

Most successful to note, during the 4th quarter, in cooperation with Bay Lakes Regional Planning, Oneida Emergency Management submitted and obtained approval from FEMA of the Oneida Nation Pre-Disaster Mitigation Plan (PDMP) 2016 - 2021, which has been subsequently adopted by Resolution by the OBC. The update to the previous plan was completed by several departments within the organization over an 18 month process. Oneida's current PDMP is effective for the next 5 years.

----- End of Report -----

Kaylynn Gresham
Director Emergency Management
Oneida Nation

Oneida Business Committee Agenda Request

1. Meeting Date Requested: 12 / 14 / 16

2. General Information:

Session: Open Executive - See instructions for the applicable laws, then choose one:

Agenda Header:

Accept as Information only

Action - please describe:

Business Committee approval of report

3. Supporting Materials

Report Resolution Contract

Other:

1.

3.

2.

4.

Business Committee signature required

4. Budget Information

Budgeted - Tribal Contribution

Budgeted - Grant Funded

Unbudgeted

5. Submission

Authorized Sponsor / Liaison:

Primary Requestor:

Jeff House, OTIE Board Member

Your Name, Title / Dept. or Tribal Member

Additional Requestor:

Name, Title / Dept.

Additional Requestor:

Name, Title / Dept.

Oneida Total Integrated Enterprises

4th Quarter Report 2016 November 29, 2016

Prepared by:

Nick Ni, PE, President

Submitted to:

OTIE Board of Managers: Butch Rentmeester, Chairperson,
Jeff House, Jackie Zalim, Wayne Metoxen, Leslie Wheelock

OTIE Report 01-20161129



Narrative Report

a. Explanation of the core of the Corporation's business practices and market overview

Five core services contribute a majority of OTIE's backlog in response to federal and state project requirements, changing infrastructure demands, and the often accompanying regulatory challenges. OTIE provides:

- Environmental services
- Remediation
- Construction and demolition
- Engineering design and studies
- Munitions response services

We deliver these diversified services to government agencies, as well as commercial clients in the United States and across the world. OTIE has staff worldwide, continuing an upward trend of growth measured by staff count while some firms in our space have shrunk due to weaker financial performance.

Our business posture is configured to consistently provide solutions that offer our customers cost-effective mission support while adapting to evolving procurement strategies and budgetary pressures. Core service growth has established OTIE as vastly more diversified than ever before to win contracts that require multi-dimensional capabilities.

As a service-based company, OTIE revenue is primarily derived through the labor-based professional services, plus construction projects that can potentially return higher margins. On both pro-services and construction projects, we forego pursuit on opportunities with capital-intensive requirements and the accompanying buildup of depreciating assets. Instead, our growth is achieved by: retaining clients and attracting new ones; providing quality and responsive value-oriented project management at competitive rates; and retaining high-performing, dedicated employees.

Market overview

Markets continue to change and our business is evolving to address these changes. Environmental services and Munitions Response Services (MRS) continue to see declining potential due to market saturation and bundling of sites/service delivery requirements. OTIE succeeds by focusing our efforts on core and emerging sectors, well-funded service types, and reliance on partnerships to deliver high-dollar projects.

Regarding infrastructure engineering services, years of deferred maintenance by customers has created appreciable opportunity for OTIE serving federal agency customers, whose budgets have undergone belt-tightening and a corresponding requirement to "do more with less." Along with our competitors, OTIE strives to



capture the high labor projects that government spending on infrastructure improvements demands.

Broader economic recovery and industry confidence has created renewed investment through construction, and this creates value and opportunity in construction and demolition, driven by the energy/efficiency requirements and technology updating. While the adjectives “slow and steady” remain prominent, headwinds are dropping to help stimulate recovery. Construction and construction management (including Title II) services represent a significant portion of OTIE’s revenue potential over the next five years.

As reported in prior Annual Reports, the market for our services remains highly competitive. An abundance of Architecture / Engineering / Construction (AEC) firms provide similar services offered by OTIE. Competitive factors for our success include performance reputation, price, geographic location and availability of technically skilled personnel. We are pleased with our accomplishments which reinforce our market leadership as a small business competitor.

Operational tactics in the marketplace

OTIE directs our primary business pursuits from a stable customer base concentrated in federal, State and municipal government entities. We progressively develop and position for federal opportunities and target large program offerings at state, municipal and tribal levels.

As a technical consultancy, we provide studies, design and engineering together with project management skills for a growing roster of clients. Added resilience occurs through prospecting for projects in other regions/with other tribes, and in adjacent technical services. Our tribal business, aerospace industry (commercial), and electric utility company contracts are exceptions to OTIE’s heavy reliance on government contracts, and in all cases our customer base offers outstanding opportunity for securing projects and targeting:

- long-term, high-dollar contracts to offer steady and profitable backlog
- low risk contracts to stabilize the Company’s business base
- capturing market share in emerging or expanding growth markets

Our strategy focuses on maintaining our top-tier position with select federal agency customers; realizing the opportunities arising from infrastructure investment; and from the budgetary spend in environmental programs. OTIE’s transportation, tribal and munitions response capabilities provide added diversity within our core services.

Success implementing OTIE’s preference for long-term contracts is demonstrated in our win at Thornberry Golf Course, where we enjoy residual opportunity over and above the initial project that was awarded. Similarly our operational tactics with our WisDOT customer produced a win for IH-39 Rock River Bridges and STH 59 Interchange Reconstruction, providing lasting value on a high-visibility project.



Our operational excellence continues to improve the underlying processes of the business, ensuring increased time to focus on our clients' needs and project delivery.

b. Explanation of the Corporation's current place within the market

OTIE is a Native American, tribally-owned, SBA-certified 8(a) small disadvantaged business (SDB). Other small business categories in the marketplace include: HUB-Zone; Woman-owned; Veteran-owned; Service Disabled Veteran-owned; Alaskan Native Corporation; Native Hawaiian Owned; and Native American-owned.

OTIE operates in a fiercely competitive, expanding market that seeks diversity and economic vitality through government contracting. Our advantage over emerging small business competitors is maintained through steadfast conformance with quality, safety and contracting/acquisition requirements and regulations imposed by the federal government. We pride ourselves in offering "no drama" project performance, and continued requests for OTIE project leadership occurs.

Looking at the 8(a) market, OTIE is clearly distinguished from others by our size and longevity as a company. Now in our third decade of business operations, we are a mature, tested and proven small business with infrastructure that rivals many large businesses. We believe that our present size and diversified service offerings - relative to the smaller, niche companies - are advantageous to OTIE.

The company's position in the marketplace remains healthful and configured for future success. To sustain and expand our place within the market, OTIE budgets and expends significant funds in Bid & Proposal (B&P), marketing, advertising and conference participation. We limit expenditures on trade shows and conferences to events wherein OTIE holds a present market position and potentials growth can be directly tied to attendance.

Our financial and project performance are coequal metrics that are tracking along gradual improvement vectors, and are indicative of steady growth instead of punctuated spikes that are harder to sustain. With the hard work and support of a diverse and talented workforce around the world, we have confidence in our strong position within the marketplace, and that we can make a difference to the world we live in. OTIE remains appropriately configured for the year ahead despite continued uncertainties in some of our markets.

c. Explanation of the outlines of strategies by the Corporation for improved value in the market

Improving our value and position in the marketplace directly corresponds to delivering profitable growth for the Oneida Nation. OTIE strategically identifies opportunities with government and other customers where funding is reliable and alignment with our core competencies is commensal. Examples include engineering services, Munitions Response Services (MRS), and design/construction contract management.



We also target areas that we believe are attractive for building professional development for our staff. For instance, our Atlanta office has focused heretofore on EPA START program support, but recently crafted a winning strategy for a groundwater monitoring and optimization project at Air Force Plant 6 in Georgia. OTIE's EPA-experienced staff have diversified their customer base and built new credentials for future similar pursuits.

More specifically, the following elements drive our strategy going forward:

1) Pursuing larger contract opportunities. With continued development of a strong infrastructure and expanded engineering capabilities, we focus on pursuing larger prime contracts and expanding our pool of opportunities. We continue to strengthen our relationships with other firms to create teaming arrangements that better serve our clients, but our goal remains prime contracts instead of team-sub positions.

2) Leveraging of our services. OTIE has diverse services to offer to a growing customer base. As OESC integrates, we remain vigilant for 8(a) set-asides for OTIE, MS2, and SRS. The combination of our multiple skill sets and broad service offerings allows us to work efficiently in a crowded market, whether selling services, or via effective use of our design and construction management skills supporting DoD and bridging such skills to other customers such as the Oneida Nation. Our strategy for leveraging all three companies is intentional and focused; the methodical integration of OESC companies will generate opportunity and sustained value.

3) Expanding our international footprint. OTIE is one of AFCEC's select, "go-to" AE firms for Title I and Title II services. Our forward operating presence in Guam has strengthened OTIE's position in Asia/Pacific. Based on superior OCONUS performance the AFCEC awarded the OTIE-RS&H JV a Task Order in Qatar for AE Services at Al Udeid Air Base.

4) Investing in our people. We are committed to building on our employee's accomplishments while expanding our reach through new hires. Continued profitable growth is projected to occur through our carefully executed hiring strategies. OTIE attracts and hires staff who improves our resource depth and competitive position in the marketplace.

5) Geographic and client expansion through acquisition. We will direct an active acquisition strategy focused on expanding our ability to offer our technical services in new geographic areas and with new clients through the acquisition of complementary businesses. While we continue to invest in our people, we must continue to methodically pursue potential acquisitions. Being disciplined in valuing and structuring the transactions, OTIE avoids acquisitions merely for the sake of revenue.

Overall, OTIE's strength in the market is built on relationships with key customers, and our ability to discover new opportunities early in the acquisition cycle and respond with discipline and direction. OTIE's strategy is to:

- Get in front of the right people



- Present compelling information and arm the company with winning tactics
- Partner with proven industry leaders preferred by the customer
- Reinforce our sales tactics with proven past performance.

By marketing to the right decision-makers, and conducting objective determinations on potential for success, OTIE fiercely competes when probability for success is high, and we forego opportunities where we see minimal chance of success. Using this focused approach reduces costs and improves our win percentage, both of which are key components of building value in the marketplace.

OTIE is optimistic that federal funding will remain durable. Our long term ID/IQ contracts should provide outstanding pipeline and backlog generation. Our simplified organizational structure will deliver improved efficiency and, coupled with volume growth, will support margin improvement.

d. **Explanation of the Corporation's relative performance vs. competitors and identification of key competitors within the market**

As presently classified, OTIE is an SBA-approved 8(a) SDB and our competitors include: Alaskan Native Corporations (ANCs); Native American, tribally-owned small businesses; and Native Hawaiian Organizations (NHOs). Each of these business concerns is treated in a category of small businesses that may be awarded prime contracts without competition. OTIE also competes against other 8(a) firms for set-aside acquisitions, including small businesses that are categorized as Woman-Owned, HUBZone, Service Disabled Veteran Owned small business, and others.

Typical competitors for OTIE include:

- Small Business firms with fewer than 750 employees in NAICS 562910 (e.g. Baywest, EA, Conti, Versar, Severson and others) plus regional transportation engineering firms in Wisconsin.
- Current 8(a) small businesses (Ahtna, Bristol, Prudent Technologies, CIRI, companies like Northwind, and others).
- 8(a) “graduates” (e.g., Bhate, CAPE, ERRG, HGL, Nobis, Tidewater, and others). These small businesses are now mature businesses developing past performance and earning customers that keep them “entrenched” in specific situations.

In April 2017 OTIE will be an 8(a) graduate and Small Business under the size standards for NAICS 562910. We will no longer receive 8(a) direct award contracts, which has been a mainstay for our business growth and diversification. However, OTIE is already viewed by other small businesses as extremely competitive on major ID/IQ prime acquisitions. Our federal agency customers have commended OTIE on the high quality of our ID/IQ contract proposals, as well as the ease of negotiations and businesslike interactions. This reputation and competitive edge provides substantial confidence for OTIE's long-term success as an 8(a) graduate.



In the Eastern region on task order proposals, OTIE has struggled against other Small Business competitors to win work under Multiple Award Task Order Contracts (MATOC). On balance we fair better under Best Value procurements and tend to lose out on opportunities awarded based on Low-Price, Technically Acceptable evaluations. We will continue to focus on improved processes to win competitive task orders on all of OTIE's MATOC tools.

One of our best prospects for market expansion remains in the PFCs arena, where OTIE has a significant advantage having entered early in this emerging and high-profile business area. Several DoD agencies such as NASA and NAVFAC are targets for customer diversification in PFC site investigation.

OTIE remains well positioned for continued DoD work overseas, especially in Asia/Pacific. The Defense Department's force posture in Asia aligns with OTIE's planned growth: We are pre-positioned for profitable contract performance in Japan, Guam and potentially Australia, where the DoD focus has pivoted, and we are reaping the rewards of the long-term vision and investments already made.

OTIE has garnered nationwide (and international) attention as a vigorous competitor. We have fended off competitors for AE Services in the Pacific like Jacobs and CH2M. We hold a wide variety of diverse federal contracts, and our superior performance on these contracts makes OTIE very hard to out-compete.

e. **Explanation of any material changes or developments in the market or nature of business the Corporation is primarily engaged in since the last reporting period**

A regulatory change by SBA in 2016 increased the small business size standard in our primary NAICS code (562910) from 500 employees to 750 employees, a 50% increase. Within small business circles, OTIE remains a sturdy, medium-sized competitor, but this regulatory change welcomed in a few larger, robust competitors like Conti, Versar and Parametrix. Some competitors are large firms with vast financial resources, requiring OTIE to be selective in our marketing and sales efforts and more adept in developing strategic partnerships to enhance our competitive advantage.

Additionally, SBA adjusted the long-standing Mentor-Protégé Program (MPP) regulations to permit a small business of any category (Woman Owned small business, Veteran Owned small business, HUB Zone, etc.) to establish an MPP with a large business. The impact of this change is that the approved MPP firms may submit a Joint Venture bid on a federal acquisition and compete against other joint or individual small businesses. Also, the agency has accelerated the review/approval process for MPP application, which is a change from the ordinarily long waiting period for small business and large business partners' applications like OTIE faced with our partner CH2M.

To counteract the increased competitive landscape, further fortification of the Oneida Nation's invested capital can help our "sister" companies become stronger, and better



positioned to build a performance history to compete for more 8(a) direct awards. OTIE's strength has assisted Sustainment Restoration Services (SRS) to win an 8(a) direct award from the US Navy. With strengthened financials and a growing past performance history, each of the Onieda Nation's companies becomes more competitive and offers the ease of contracting options that our federal customers desperately need.

In spite of changes in our industry, our ability to adapt to the evolving needs of the marketplace has enabled us to build a resilient business that is closely aligned to the spending priorities of our federal clients. Our diversified portfolio steadies OTIE against market fluctuations and regulatory changes, as does the fact that a number of our most important markets remain well funded.

Because we are accustomed to competing against formidable small and large businesses, OTIE is configured to succeed in an atmosphere of increased competition and reduced budgets. Our business development strategies aim to collect early information on upcoming procurements, affording us time to develop winning strategies and allowing us to sharpen our pricing. Coupled with time-tested partnerships in industry, we form teams that are among the most formidable, value-adding propositions available to our customers.

- f. Identification of the primary goals and targets of the Corporation and progress made towards accomplishment of the same

Goals for OTIE

Growth is the primary business goal for OTIE. Success for OTIE comes when we align and invest along growth profiles and manage/measure accordingly to make sure that our clients prosper.

In FY16 we pursued new opportunities, and we exceeded expectations by winning impressive 8(a) and unrestricted contracts, such as:

- AFCEC AE13ES – for AE Services at Worldwide USAF installations
- General Services Administration (GSA) – Multiple Award Blanket Purchase Agreement (BPA) for Environmental Services
- USACE Tulsa District – environmental site investigations for Perfluorinated Compounds (PFCs) in groundwater through an 8(a) direct award and working in five US states
- USACE Huntington District – two 8(a) direct awards for Remedial Construction at Former Plum Brook Ordnance Works site
- NAVFAC Southwest – contract/task order for Fuel Farm Underground Storage Tank RI/FS at Miramar NAS, CA



- USACE Los Angeles District – concrete crushing contract/task order win for Edwards AFB, CA

Expansion of technical resource depth

Our design and engineering business has performed well during the year with strong volumes and high productivity, with new licensed professionals hired in disciplines such as mechanical, electrical and structural engineering. Under OTIE's USAF contracts we are now performing engineering studies, evaluations and reports with engineering recommendations on water, wastewater and asset management services.

Winning the AFCEC AE13DCS contract was a crucial first-step to retaining our position and relationship with our Air Force customers; we are expanding our resource depth and maximizing opportunity for growth and development under this contract. A healthy pipeline of AE services work remains throughout the 4-year ordering period and 7-year performance period together with our JV partner and supporting our US Air Force customer.

Geographic expansion

OTIE has embraced the challenges of expanding into new geographies by winning work in two remote/austere locations as follows:

- Under our Range AE Inspection Services (RAIES) contract with the US Air Force's 45th Space Wing, OTIE won a design for runway improvements on Ascension Island. The runway is located in very remote equatorial waters of the South Atlantic Ocean, around 1,000 miles from the coast of Africa 1,400 miles from the coast of Brazil, which is roughly midway between the horn of South America and Africa. The long term benefit of OTIE's work is direct, prime contractor experience on DoD runway and airfield design and improvement services, and in a very remote region.

Under the US Air Force's Design and Construction Services (DCS) contract with JV partner RS&H, OTIE will deliver Title I Design and Title II Construction Management services for twelve discrete projects at Al Udeid Air Base in Qatar. Al Udeid AB houses foreign coalition personnel and assets and is headquarters of United States Central Command in the Middle East. OTIE will feature this work experience to broaden our roster of DoD customers and experience working in hostile locations.

Targets for OTIE

OTIE targets growth in government contracting where our skills and experience align with our customers' mission priorities. In the aggressive market of federal agency contracting - especially in times of budgetary uncertainty - every advantage is crucial to maintain OTIE's position in the elite levels of small business contracting. Gaining a competitive advantage takes strategic planning and research to focus on opportunities that are robust (high confidence customer spend) and enduring



(affording long-term growth opportunity). We pursue emerging or growing markets and seek entry and profit in these areas, while closely monitoring risks and barriers. Identified market segments or locations for expansion by OTIE include:

- Facility/infrastructure engineering and asset management
- Diversified environmental services
- Munitions Response Services (MRS)
- Expansion of USEPA services, contracts and Regions
- Growth in the Asia/Pacific region, plus the Southeast US

Described below are the accomplishments for OTIE's targets.

Facility/infrastructure engineering and asset management – aging DoD real property/infrastructure is a target with legitimate potential for sustained growth. Over the last five years OTIE has expanded the engineering services we provide to our customers. We are delivering engineering projects across the mainland US plus Hawaii and Alaska and at DoD installations in Qatar, Japan and Korea. We are winning engineering projects for facility/infrastructure design improvements that have typically been awarded to competing engineering giants like Jacobs Engineering, AECOM, and CH2M.

Diversified environmental services – Under two prime contracts, OTIE is serving the US Air Force's needs for site investigation of the emerging contaminant, PFCs. We anticipate additional opportunities with USACE Districts, potentially including an 8(a) direct award in FY17.

Munitions Response Services (MRS) – OTIE is one of the only 8(a) firms with broad capability and past performance in MRS, affording significant competitive advantage to OTIE. But contract spending by DoD has been slow, and select opportunities have been bundled as consolidated contracts. This affects new opportunities for ID/IQ contracts in FY17 and beyond. Slowly building past performance, OTIE remains committed to delivering MRS services, and potentially combining MRS with our other traditional engineering skill sets.

Expansion of services/contracts with USEPA – OTIE was awarded a significant work assignment at the AMCO site in Northern California under the USEPA Region 9 8(a) site-specific RAC. In addition to the work we provide under the prime START in EPA Region 4, and we have submitted on three new EPA contracts that will be awarded in late 2017 under the EPA's revised Remedial Action Framework.

Growth in the Asia/Pacific region – Projects performed by OTIE in Asia include Air Force and USACE contracts. Over the last ten years we have systematically expanded the service offerings and the level of self-performance by OTIE instead of team subs. With offices in Hawaii and Guam, OTIE is pre-positioned to win projects to support the DoD's rebalancing and force posture within the Pacific.



Growth in the Southeast US – OTIE has a long-standing business operations in Atlanta, GA, and Oak Ridge, TN. Boosting this sustained presence is our more recent success in the Space Coast region of Florida. We have secured 8(a) contracts with USACE Savannah District, and we have two additional competitive proposals submitted to the Savannah District. Significant opportunity exists for further growth and diversification in this region.

- g. Identification of key elements for success in strategies given, including risks, resources and relations available and needed in order to successfully fulfill outlined strategies

Markets have changed and our business continues to evolve in to order uphold our value and competitive position. With 8(a) graduation approaching, three primary categories or “pillars” capture OTIE’s strategic focus over the next five years. All of the objectives and goals – *internal and external* – that we identify flow up to support one (or more) of the following three overarching pillars of our strategy:

| Pillar | Description |
|-------------------------------------|--|
| Operational excellence | Objectives emphasize optimizing financial delivery on all our projects. Strategies and tactics to improve: corporate infrastructure critical to supporting operations; business development; quality and safety integration; and HR services improvements. |
| Portfolio optimization | To ensure configuration for future success, we create plans for each business in our portfolio, continuing to focus on smarter growth and higher margin activities. We establish areas of particular focus for investment, and monitor, measure and adapt over time. |
| Subsidiary and regional improvement | Our regions, Business Units and subsidiary companies have attractive growth prospects. Business maturation is a gradual, stepwise process that requires proactive strategies to becoming fully-fledged. |

Key elements for success include:

Establishing and monitoring Key Performance Indicators (KPI) – Our KPIs measure past performance and also provide information and context to anticipate future events and, in conjunction with our detailed knowledge and experience in the markets in which we operate, allow us to act early and manage the business going forward. We track safety, staff turnover, profitability, efficiency, backlog and resource capacity.

Plotting and following strategies for service diversification – Within our project and program management functions, we identify actual or potential performance gaps, and we use these data to inform and advise how to re-shape the business, adapting to a changing customer base, contract type, or key skill set. We recognize and re-configure based on identified needs to delivery strategic growth.

Cross-selling OTIE services – Between OTIE regions, great diversity of project capabilities exists. Our challenge is to leverage the diversity that we enjoy and cross sell our services in other geographic areas.



Opportunity identification for subsidiary exploitation – If contract access is a concern for any customer, OTIE identifies and promotes our subsidiary company capabilities, either for self-performance or in partnership with another firm(s). We treat this as a key enterprise-wide goal for transferring 8(a) direct award opportunities to subsidiaries as a growth model.

Understanding and balancing risks between organic expansion and acquisition – As we seek further growth, we examine tactics to harness our speed to market. While organic growth may be safer (low risk) the drawbacks could come from lost opportunity. High risk acquisitions can more quickly configure us for service diversification and penetration into market, but integration and other explicit and embedded risks are examined and determined. Key to our success will be orderly and methodical procedures for exploring and proffering arrangements for acquisitions.

Increasing our geographic footprint and capabilities – In an expanding global marketplace, OTIE evaluates our strategies for expansion. For example, we are pre-positioned in Asia/Pacific with offices and past performance that eases the justification and approval process for source selection on several OTIE contracts.

Recalibrating corporate infrastructure to optimize operational efficiencies
Understanding that BD feeds Operations, and our Contracts, Finance & Accounting are in a support posture to the operations group, OTIE understands and adapts our organizational structure for natural market-driven developments that trigger internal change. We understand these relationships, and we reorganize when necessary, and based on new hires, new technologies and raw growth of the enterprise.

To uphold and fulfill OTIE's value and position in the marketplace we focus on those opportunities where the federal government continues funding and that clearly align with OTIE's customers, such as engineering services, Munitions Response Services, and design/construction contract management. We also continue to focus on areas that we believe offer attractive enough returns to our clients that they will continue to fund efforts, such as Military Family Housing design (Title I) and construction type services (Title II) including infrastructure improvements internationally, and studies and assessments for emerging contaminants like PFCs.

We have grown OTIE into an elite, highly capable small business, and we have out-competed small and large businesses for some of our industry's most prized contracts. As a very credible competitor, our staff growth and geographic distribution enhances our win potential and our resources to sustain and support our customers' mission. Going forward a challenge for our Regional Managers and Business Unit Managers is to identify and equip project-level staff to continue to grow the business. We are committed to sustaining internal efforts, such as investment in our people, such that "seller-doers" have the necessary training and tools to identify and win new work.



Risk in the marketplace

Our service lanes are sturdy, lucrative and rich in potential, and we anticipate much prosperity in the years to come. However, risks in business are a constant, and lasting companies endure risks with careful plotting for avoidance, and calculated mitigation once the risks are encountered. Acknowledged risks for OTIE include:

- We operate in highly competitive industries and contracting is often erratic and unpredictable; cancellations or delays in pending awards by government agencies could adversely affect us
- Our inability to win or renew government contracts could adversely affect us
- We expose the company to risks associated with operating internationally
- Our failure to properly manage projects may result in additional costs or claims
- An economic downturn may adversely affect our business
- In order to succeed we need to keep up with a variety of rapidly changing technologies
- We are highly dependent on key personnel
- Acquisitions may not go as expected and may have unexpected costs and consequences
- The government may adopt new contract laws or regulations at any time.

Fundamental keys to success are our personnel, infrastructure, financial strength, and cost-consciousness. If any one of these requirements is neglected, OTIE will miss our goals and targets, and competitors may capitalize on weaknesses and gain market advantage. These key OTIE features mold our strategies and processes for identifying growth opportunities that align our business – services, customers, channels and geographic areas – and augment the largest proportion of revenue and profits.

Business relations in the marketplace

OTIE's organizational structure aligns with client expectations and industry standards. Being customer focused, we grow the business by implementing principles and strategies to:

- Organize work around results that customers consider valuable;
- encourage operational accountability and concentration on high-quality achievements;
- create streamlined and flexible relationships in response to customers' evolving needs; and
- provide employees with corporate support required to create opportunities and grow our company.



As an expanding small business enterprise, OTIE successfully strengthens our market position based on our systematic business development processes and our responsive value-added proposals. We generate revenue and profit after successfully winning work under our hard-fought proposals. And we provide an outstanding level of service delivery, which ultimately determines our customers' propensity and desire to continue a business relationship with OTIE.

OTIE Educational and Other Outreach Programs

OTIE continues to implement its outreach programs with the Oneida community and membership that focuses on the Oneida youth education, elders and veterans. Our support in educational activities and opportunities for Oneida youth to encourage their consideration of careers in engineering and science will include: 1) sponsorship of up to two Oneida high school students to attend a Milwaukee School of Engineering summer camp session to learn more about engineering and a potential career in the engineering field; 2) award up to two \$5000 merit scholarships to an Oneida-member high school senior and/or a college-level senior for excellent academic performance and the desire to study the sciences for a potential career in engineering or environmental sciences; 3) sponsorship of math and technology activities for Oneida middle school students; 4) OTIE is exploring the opportunity to provide internship(s) for Oneida students.

OTIE will also continue to sponsor ongoing tai chi and chair yoga exercise classes for the Oneida elders to support the health and well-being of the elders. This sponsorship is accomplished with the assistance of Oneida Elder Services and the Oneida Fitness Center. OTIE has explored and identified available sponsorship options with Oneida Veterans groups.

h. Identification of medium (two to five year) and long (greater than five year) prospects and sustainability of the Corporation given the present status, strategies and risks

While a return to more tepid economic growth fills OTIE's sail, the uncertain status of the hotly-debated National Defense Authorization Act (NDAA) presents some navigational challenges. Still, we are buoyed by our balanced and diversified business, plus our consistent delivery on revenue and profit to the Oneida Nation. In OTIE's backlog has grown and our utilization is very high — all indicators that being diversified safeguards the Tribe's investment.

We have successfully groomed long-term business relationships with key DoD agencies for services delivered in the Continental US and around the world. With a solidified agreement by the US Congress on federal budget initiatives, government contracting remains an exceptionally reliable market in terms of payment, stability, and growth opportunity. Our medium- and long-term prospects reinforce our projections for steady, sustained growth.



Medium-term prospects

OTIE's prospects in the two- to five-year term rely on our sturdy performance in engineering, science and construction to existing clients; adjacent clients/services and geographic areas; and new service offerings to new clients. OTIE's increased focus and investment in business development and talent acquisition has allowed us to significantly increase both new task orders and backlog going into FY17. We continue to strongly believe that OTIE's capabilities and strengths align well with the future needs and demands of our clients.

Existing Services/Existing Clients

Maintaining quality service to existing clients is a top priority for OTIE. Our best business development comes through the customers we already serve, and this fundamental tenet of business operations will become gospel in all OESC companies. Outstanding performance allows our good reputation to flourish and paves the way for follow-on contracts, task orders and "cross-selling" potential.

OTIE will continue providing services to our existing customers, aiming to exceed expectations and become the preferred provider. Internationally and locally we see very strong alignment between our capabilities and the services our customers require, including WisDOT, Oneida Nation, DoD and EPA.

In FY16 we continued to reinforce key growth areas for DoD customers. Examples include: Munitions Response Services and environmental services; and strategic actions by the company that provide legitimate growth and align with our customers' spending trajectory.

- **Munitions Response Services (MRS)** – Our acquisition of OER equipped OTIE with broader capabilities in the MRS market, and with support from specialty providers, such as geophysics we are making steady progress growing our presence in this market. We will actively pursue customers that seek combined services as we leverage our capabilities into the changing MMRP environment.
- **Environmental Services** – Over the years, OTIE has managed more than 40 environmental ID/IQ contracts, ranging in value, each with as many as 30 or more concurrent TOs. For example, on our AFCEC 4PAE08 contract for the USAF, we managed 29 projects, including 10 concurrent, across four CONUS time zones and OCONUS. We have performed over 400 TOs for the USAF. OTIE's performance history with the USAF, coupled with our technical experience and capabilities with groundwater monitoring, created the opportunity that led to a direct award for site investigations at USAF installations to characterize that nature and extent of PFCs in groundwater. Looking forward, this direct experience has OTIE uniquely positioned as a small business competitor in a growing field.



Customers will continue to rely on OTIE as integral to their success. We deliver their most valuable projects safely, on time, within budget, and to their quality requirements. In the two- to five-year term we will work closely with them to find practical and innovative solutions, adding tactical value.

“Adjacent” Clients, Services and Geographic Locations

Bridging out to new clients or offering new services to existing clients is an important component of our vision for growth. In FY16 we merged the skills we offer into new client groups and geographic areas. One such example is the 8(a) direct award that OTIE received from the US Navy’s Expeditionary Warfare Center (EXWC), with justification and approval relying on our exemplary performance with EXWC’s “parent” division, NAVFAC Southwest. In the two- to five-year term we will follow and build upon this success, competing for multi-year Navy contracts nationwide.

OTIE’s two- to five-year strategy originates from our focus on current customers whom have strong strategic links to the core of our business. Meetings with the most creative/innovative customers can be a valuable source of potential opportunity for OTIE. For example, we met with leadership at Al Udeid AB in Qatar to replicate OTIE’s success in PACAF for similar AE service offerings in the USAF Central Command. Exceptional opportunity exists to follow this model at other locations

New/Strategic Services, Clients and Geographic Locations

OTIE expanded to meet customer requirements by adding engineering disciplines in FY16 including electrical and mechanical engineering. We see these key areas as having growth potential in the near future with current and future clients.

An exciting growth opportunity has come about in Cocoa Beach, FL. OTIE will lead a design-build runway improvement in the remote Ascension Island. We are optimistic about building upon this experience to capture other AE services addressing airfields/runways.

In geographic regions like the US Southeast, OTIE is better positioned to find business growth in Atlanta by winning the Air Force Plant 6 groundwater monitoring and operations contract. In addition, we are teamed with some top-tier partners in this key geographic area for OTIE’s growth.

These and other new customers and locations present outstanding opportunities for service growth and diversification. In FY17 OTIE will continue the drive to target customers and new markets for additional projects.

Long-term prospects

OTIE envisions steady growth with our DoD and USEPA customers over the long term. Considering that over one-third of the federal budget is devoted to defense spending, OTIE delivers projects where funds historically flow and our competitive posture has continued to improve in the last decade. On one of our best contracts, the



US Air Force's AE13DCS contract, our Joint Venture is currently ranked third by dollar volume of awarded task orders. This puts our performance ahead of some large engineering stalwarts, and this ranking could continue over the life of the contract.

Continued reliance on low-price awards is a known risk, and we continue to adjust OTIE's pricing structure to ensure that we remain competitive across all business segments, while remaining conscious of the need to deliver profitable performance. Similarly, we are concentrating our marketing efforts on maximizing our return on investment through expanding support for existing customers, developing tasks under existing contracts, and collaborating with firms that need either small business participation or our specialized expertise.

We believe that the five year period up to 2021 will be stable years for OTIE. Being well positioned in key markets and critical geographic locations, we are confident that the long-term strategy of diversifying our offerings, maintaining geographic reach, and focusing on our customers' business objectives will continue to serve us well. The prospects look particularly optimistic in the Asia/Pacific region, where OTIE has methodically expanded our presence and our service offerings. In addition, our early and effective penetration into the PFCs contamination market will allow OTIE to hold its position, capture more work, and expand our resource depth.

Graduation from 8(a) status presents potential risk for OTIE. We mitigate the graduation risk by virtue of having previously (and successfully) graduated the program when doing business as T N & Associates, Inc. We know from experience not to expect "graduation gifts" and that the best success strategy is to be prepared and to be experienced competing for work.

- i. **Explanation of market growth (if any) experienced by the Corporation, identifying sources of growth (i.e., organic growth through market share increase, volume of business increase, acquisition of competition or other assets, etc.)**

As a top-tier contractor to the federal government, OTIE has a large and diverse blend of federal, State, municipal, tribal and commercial business customers. We provide science, engineering, construction, and specialized technical services to DoD, USEPA, WisDOT and other customer groups in support of a wide range of mission-critical programs.

Since inception of the company, OTIE's growth has been primarily organic or internally driven. Organic growth presents sales and BD potential only if we hire top-caliber employees with connections, knowledge and experience in the market.

In addition to internal or organic growth, our current strategy involves growth through additional acquisitions of complementary businesses, as well as growth from acquisitions that would diversify our current service offerings. We frequently engage in ad hoc evaluations of potential acquisitions which, if warranted are further explored. If consummated, new acquisitions could be significant to OTIE's growth.



Having expanded the volume of work performed for DoD customers outside the continental US, we see outstanding potential for market growth internationally and in the Continental US. We have established a solid presence in Asia/Pacific with offices in Hawaii and Guam, plus project offices in Japan. In the CONUS we are beginning to capture work with the Illinois Department of Transportation, and we view this neighboring state as ideal for prospecting based on our WisDOT history and performance. Lastly, our prospects for further growth in the Southeast US are attractive and promising for OTIE.

- j. Summary of the assets of the Corporation, including but not limited to its financial, physical, employee, customer, brand or intellectual property, and supply assets.

OTIE ASSETS

Financial (On Balance Sheet)

Cash – Checking Accounts
 Accounts Receivable from Customers
 Work In Process on Current Projects
 Fixed Assets such as Vehicles, Furniture and Fixtures, Survey Equipment, Monitoring Equipment
 Goodwill from the purchase of T N & Associates
 Other Assets such as Prepaid Expenses, Investment in Joint Ventures

Employees

Total of 333 Employees
 92 Engineers
 81 Scientists
 17 Construction Professionals
 30 Technicians
 6 Skilled Trades
 44 Administrative
 4 Land Surveyors
 7 Marketing
 40 MMRP/UXO Specialists
 12 Military Base Security Specialists

Customers

77% of Revenue from our Federal clients, such as the Department of Defense, which includes the Air Force, Navy, and Corps of Engineers. Other Federal clients include the Environmental Protection Agency
 6% Commercial –WE Energies, Raytheon
 8% State and Municipal – WI Department of Transportation, California National Guard, Ventura County, City of San Marcos, Division of State Facilities
 9% from Oneida Nation



- k. Summary and status of any pending legal action to which the Corporation is a party and any relevant government regulation to which the Corporation may be subject.

OTIE is named as a defendant in a lawsuit filed by the artist of a mural. The case is Kammeyer v. USACE.

In 1976, Kammeyer painted a mural on a dam in California after winning a contest. The mural was painted as part of the bicentennial celebration. Over time, the paint began to flake off, thereby contaminating the environment around the dam. The USACE Los Angeles District recently determined that the paint used to create the mural was lead-based. OTIE was hired by USACE to remove a mural to stop the lead-based paint contamination. Several months after the contract was awarded, Kammeyer filed a lawsuit against USACE and OTIE to prevent the destruction of the mural. Shortly after filing the lawsuit, Kammeyer filed a temporary restraining order against USACE and OTIE in June 2015, which was granted by a judge one day before OTIE was to begin removing the mural. OTIE demobilized from the site at USACE's direction.

OTIE has hired local counsel to represent OTIE's interests in the lawsuit. OTIE requested plaintiff's counsel to remove OTIE from the lawsuit by not including OTIE as a defendant in plaintiff's second amended complaint. Plaintiff's counsel refused. On August 24, 2015 the court granted the plaintiff's motion for a preliminary injunction. The court found in favor of the plaintiff on nearly every issue, but did not address any of the claims against OTIE. OTIE's next step is to decide whether to file a motion to dismiss. USACE has issued a notification of termination for convenience of the contract.

Oneida Business Committee Agenda Request

1. Meeting Date Requested: 12 / 14 / 16

2. General Information:

Session: Open Executive - See instructions for the applicable laws, then choose one:

Agenda Header:

Accept as Information only

Action - please describe:

Business Committee approval of report

3. Supporting Materials

Report Resolution Contract

Other:

1.

3.

2.

4.

Business Committee signature required

4. Budget Information

Budgeted - Tribal Contribution

Budgeted - Grant Funded

Unbudgeted

5. Submission

Authorized Sponsor / Liaison:

Primary Requestor:

Jacquelyn Zalim, OESC Chairwoman of the Board

Your Name, Title / Dept. or Tribal Member

Additional Requestor:

Name, Title / Dept.

Additional Requestor:

Name, Title / Dept.

Oneida ESC Group, LLC

4th Quarter Report – FY 2016

November 30, 2016

Preliminary

OESC Board of Managers:

Jacquelyn Zalim, Chair

Wilbert Rentmeester

Jeffrey House

Oneida ESC Group, LLC (OESC), was formed in 2012 as the catalyst for continued growth for Oneida owned firms doing business in Construction NAICS codes for federal government contracts. Oneida Total Integrated Enterprises (OTIE) has exceeded the \$33.5M limit in certain NAICS codes and is unable to bid and obtain contracts that fall within these NAICS codes as a prime contractor under the small business or 8(a) business categories in the federal procurement process. OESC and its subsidiaries are positioned to bid and pursue small business or 8(a) contracts where OTIE has exceeded size standards.

OESC and its subsidiaries are supported by OTIE with general management and administrative functions, including accounting and contracting management, human resource management, IT support, overall management, and marketing, bid and proposal. Below is a summary of OESC and its subsidiary's activities.

Narrative Report

a. Explanation of the core of the Corporation's business practices and market overview

OESC was created to serve as a holding company for subsidiary limited liability companies with an 8(a) certification. This type of holding company structure is modeled after the Alaskan Native Corporations (ANC) and other Native American Tribes that have created similar entity structures. This holding company structure allows ANCs and Native American Tribes to perpetually establish different 8(a) companies with different primary NAICS codes.

OESC currently has two subsidiaries, Mission Support Services (MS2) and Sustainment & Restoration Services (SRS). MS2 obtained its 8(a) certification in 2013. SRS received its 8(a) certification in 2014.

As part of the overall strategic plan for continued growth, OTIE will be moved under OESC as a third subsidiary upon OTIE's graduation from the 8(a) program in 2017.

b. Explanation of the Corporation's current place within the market

MS2 is focused on construction contracts with a size standard not to exceed \$33.5M. SRS is pursuing contracts with a size standard not to exceed \$14.5M. Only firms with less than \$33.5M or \$14.5M on average over a 3-year period can compete as a prime contractor. Since OTIE can no longer compete as a prime contractor under the small business or 8(a) business category under that size standard, OESC and its subsidiaries are strategically positioned to compete with OTIE support.

OTIE is currently focusing on developing both MS2 and SRS. The financial and technical resources that OESC needs are provided by OTIE.

c. Explanation of the outlines of strategies by the Corporation for improved value in the market

OESC's strategy is to develop its subsidiaries. The initial pursuits will take advantage of OTIE's existing networking capability and MS2's and SRS' key personnel who have existing relationships with federal clients. These federal clients have various federal facilities nationwide.

OTIE has been supporting MS2 and SRS to recruit several employees including managers or staff that have proven past performance and existing working relationships with many federal clients. OTIE has led MS2 and SRS to their existing clients. Those clients have the work and usually will procure under the small or 8(a) category under the applicable NAICS code. This advantage will help MS2 and SRS to develop their capabilities rather quickly.

Currently MS2 is working in California, Florida and Illinois. MS2's current projects include sustainment, restoration, and modernization (SRM) projects for general facilities, asset/energy management and safety consulting. Additional projects will be pursued in civil construction, utilities, energy management, and general facilities engineering requirements. More competencies will be added as opportunities are sought.

SRS is currently pursuing work to provide waste management services for multiple clients in California. SRS established an office in Santa Barbara to pursue this opportunity. SRS established an office in Chicago, Illinois for pursuit of a US EPA Region V 8(a) START contract proposal and SRS was awarded this contract in November 2015.

d. Explanation of the Corporation's relative performance vs. competitors and identification of key competitors within the market

OESC and its subsidiaries are start-up companies. It will be difficult for them to compete with established small businesses that are active in the industry market. To offset this disadvantage, OTIE will support and lead OESC and its subsidiaries to take advantage of existing relationships already built by MS2's and SRS' key employees or from OTIE's networking. While competition will be very challenging for OESC and its subsidiaries, OTIE's support will help to overcome those challenges through the years. With OTIE's support, OESC can reach a point where its subsidiaries can become more competitive and sustainable in their industries.

e. Explanation of any material changes or developments in the market or nature of business the Corporation is primarily engaged in since the last reporting period

None.

f. Identification of the primary goals and targets of the Corporation and progress made towards accomplishment of the same

Opportunities continue to exist in geographies where OTIE, MS2, or SRS key personnel have successful past performance, and where personnel involved in such projects have established strong relationships with teaming partners and clients in those locations. Downstream opportunities continue to emerge based on MS2 project performance and combined efforts of OTIE and MS2's marketing and sales efforts. Target clients continue to include Vandenberg AFB, NAVFAC SW, NAVFAC SE, NAVFAC Great Lakes, and NAS Pensacola.

Additional new target clients include Naval Base Ventura County, USACE Jacksonville, the US Army Joint Munitions Command (JMC), Edwards AFB, the Air Force Civil Engineering Center (AFCEC).

With SRS' recently awarded work, SRS target clients include EPA Region V and Naval Station Great Lakes. Additional new targets include USACE LA District, EPA Region VI and USACE Tulsa.

OTIE is supporting MS2 and SRS on many marketing and proposal efforts. MS2 and SRS have been introduced by OTIE to many potential government clients, and as a result, more opportunities are within reach so that they can recruit more capable people to support each federal agency's mission as well as increase their technical capabilities. The following is the assistance that OTIE has provided to MS2 and SRS:

- MS2 and SRS have performed some projects as a subcontractor to OTIE.
- MS2 has submitted proposals and has been awarded projects which have sustained staff at Vandenberg AFB to date and additional work is expected.
- OTIE's senior managers continue to introduce MS2 and SRS to different federal facilities in different states and continue to help M2 and SRS pursue several contract opportunities.

MS2 has been awarded contracts by the following clients: NAVFAC SE (Naval Station Pensacola), NAVFAC Mid-Atlantic (Great Lakes Naval Station), NAVFAC SW (Naval Base Ventura County), Army Contracting Command (Joint Munitions Command), USACE Omaha, USACE Albuquerque, Edwards AFB and USACE Tulsa. We continue to receive task orders under some of these contracts because of the quality of MS2's work and reputation.

MS2 was able to win several contract vehicles called Indefinite Delivery/Indefinite Quantity (ID/IQ) contracts in which MS2 will receive task orders (projects). In the 4th Quarter, MS2 received the following task order:

- ID/IQ USACE Tulsa – received a Performance Oriented Construction Activity (POCA) ID/IQ contract to provide general SRM activities, primarily at Tinker AFB in Oklahoma. Seed project is for limited paving and replacement of a washroom roof at a civil works asphalt paving project.

In addition to ID/IQ contracts, MS2 has also been awarded stand alone, single project contracts from our government clients that we are currently executing.

- Army Contracting Command – Joint Munitions Command – contract to provide full-time explosive safety personnel.
- Naval Station Pensacola - received award for Chiller replacement project.
- NAVFAC Mid-Atlantic – Fort Snelling, MN – received a contract for security upgrades and fencing improvements. This utilized our Design-Build MACC contacts at Great Lakes Naval Station. This is a new client for MS2.
- Army Contracting Command, Joint Munitions Command – ARC Flash Project – contract to provide ARC Flash services at Pine Bluff Arsenal in Little Rock, AR and Bluegrass Army Depot in Lexington, KY. (4th quarter award)
- Army Contracting Command, Joint Munitions Command – Safety Support – contract to provide 1 year of safety support at the JMC Safety office at Rock Island Arsenal.

SRS has been awarded contracts by the following clients: US EPA, NAVFAC Mid-Atlantic (Great Lakes Naval Station), USACE Los Angeles District and US Fish & Wildlife Services. We continue to receive task orders under some of these contracts because of the quality of SRS's work and reputation.

SRS was able to win several contract vehicles called Indefinite Delivery/Indefinite Quantity (ID/IQ) contracts in which SRS will receive task orders (projects). In the 4th Quarter, SRS received the following task orders:

- US EPA START ID/IQ contract – issued 3 new task orders to include USS lead soil, USS lead tap water and Toledo Tie EE/CA. USS Lead soil is a soil remediation project that includes providing technical support, contractor oversight and air monitoring and soil clean up sampling. USS lead tap water includes collecting tap water samples before and after soil remediation. Toledo Tie EE/CA is a complete characterization of hazardous waste project with a technology evaluation and cost estimates for technologies.

In addition to ID/IQ contracts, SRS has also been awarded stand alone, single project contracts from our government clients that we are currently executing.

- USACE Los Angeles District – Alark Hard Chrome project which includes security improvements to a Superfund site in downtown Los Angeles. This project was completed in FY16.
- NAVFAC Mid-Atlantic (Great Lakes Naval Station) – Phase II Navy Hazmat Refurbishment Project storage lockers will be transported to a facility in Oak Creek, WI to be cleaned, refurbished, repaired, painted and then reinstalled at their point of origin. Also includes installation of new storage lockers. (4th quarter, new award)
- US Fish and Wildlife – Crab Orchard removal and abandonment of 150 monitoring wells. (4th quarter, new award)

g. Identification of key elements for success in strategies given, including risks, resources and relations available and needed in order to successfully fulfill outlined strategies

The primary strengths at the outset will be personnel-related. MS2 and SRS key personnel understand federal construction and construction-related professional services, and have strong knowledge of competitors, target clients, and strong business relationships in several geographies.

The primary risk includes lack of immediately available resources and bonding capacity, limited availability of cash on hand, and demonstrated past performance. These must be offset or mitigated by client knowledge of key MS2 and SRS personnel, pursuit of realistic project opportunities, and timely support from the owners or parent company with respect to bonding requirements.

h. Identification of medium (two to five year) and long (greater than five year) prospects and sustainability of the Corporation given the present status, strategies and risks

Same as (g) above.

- i. Explanation of market growth (if any) experienced by the Corporation, identifying sources of growth (i.e., organic growth through market share increase, volume of business increase, acquisition of competition or other assets, etc.)**

As a start-up organization, OESC and its subsidiaries intend to grow organically through the methods identified above.

Preliminary

- j. **Summary of the assets of the Corporation, including but not limited to its financial, physical, employee, customer, brand or intellectual property, and supply assets.**

| OESC ASSETS |
|--|
| <u>Financial (On Balance Sheet)</u> |
| Cash –Checking Account |
| Work In Process on Current Projects |
| Fixed Assets such as Vehicles, Furniture and Fixtures, and Miscellaneous Equipment |
| Other Assets such as Prepaid Expenses, Investment |
| <u>Employees</u> |
| OESC - 0 employees |
| MS2 - 20 employees |
| SRS - 14 employees |
| <u>Customers</u> |
| 95% of Revenue from Federal clients. |

- k. **Summary and status of any pending legal action to which the Corporation is a party and any relevant government regulation to which the Corporation may be subject.**

No current pending legal action.

Oneida Business Committee Agenda Request

1. Meeting Date Requested: 12 / 14 / 16

2. General Information:

Session: Open Executive - See instructions for the applicable laws, then choose one:

Agenda Header:

Accept as Information only

Action - please describe:

Accept Bay Bank FY '16 4th quarter report

3. Supporting Materials

Report Resolution Contract

Other:

1.

3.

2.

4.

Business Committee signature required

4. Budget Information

Budgeted - Tribal Contribution

Budgeted - Grant Funded

Unbudgeted

5. Submission

Authorized Sponsor / Liaison:

Primary Requestor/Submitter:

Jeff Bowman, President & CEO, Bay Bank

Your Name, Title / Dept. or Tribal Member

Additional Requestor:

Name, Title / Dept.

Additional Requestor:

Name, Title / Dept.

Bay Bank
QUARTERLY REPORT

Quarter ended September 30, 2016

A. BAY BANK

Submitted by: Jeff Bowman, Board Member
Directors: Nathaniel King, Fern Orié, Bob Jossie
Jeff Bowman, Pete King, Elaine Skenandore-Cornelius

Oneida Business Committee Contact: Tina Danforth

B. MINUTES

None Submitted

ACTION TAKEN

No Tribal Policy change.

D. FINANCIAL

Note that various financial reports

December 31, 2016 is Bay Bank's fiscal year end.

E. SPECIAL EVENTS AND TRAVEL

None

F. PERSONAL COMMENTS

Bay Bank account numbers as of September 30, 2016:

| | |
|-------|----------------------------|
| 1,112 | Checking Accounts |
| 362 | Business Checking Accounts |
| 73 | Money Market Accounts |

| | |
|-------|---|
| 1,557 | Savings Accounts |
| 375 | Certificates of Deposit |
| 92 | Commercial Real Estate Loans |
| 786 | Residential Real Estate Loans |
| 159 | Business Loans |
| 583 | Consumer Loans |
| 42 | Oneida Small Business 2000 Loan Program Loans |

The Oneida Small Business Loan Program 2000 that is administered by Bay Bank started on May 1, 2002. As of this date over \$ 11.4 million in new loans have been made to over 124 new or growing Oneida tribal member owned businesses.

The Section 184 mortgage loans serviced under FHLB MPF program totaled \$58.0 million at September 30, 2016. There are 481 loans in the program currently.

G. GOALS AND OBJECTIVES

2016 GOALS:

GOAL A: Bay Bank will strive to attain a minimum 0.50% Return on Assets for the year ending December 31, 2016. Return on Assets (ROA) is a common measurement of a bank's profitability. This ratio informs you how well the bank is managing and investing the bank's assets.

| 2016 ROA Goal | 2016 YTD ROA Actual | 2016 Peer Group Average |
|---------------|---------------------|-------------------------|
| 0.50 % | 1.26% | 0.82% |

GOAL B: Bay Bank will strive to attain a minimum 6.00 % Return on Equity for the year ending December 31, 2016. Return on Equity (ROE) is the measurement of how well the bank is performing for its stockholder.

| 2016 ROE Goal | 2016 YTD ROE Actual | 2016 Peer Group Average |
|---------------|---------------------|-------------------------|
| 6.00 % | 8.91 % | 6.93 % |

Bay Bank had budgeted total loans for the quarter ended September 30, 2016 in the amount of \$ 53.4 million. Total loans at September 30, 2016 were \$ 53.2 million or \$ 0.2 million less than projected. Loans increased \$ 2.9 million over the 12-month period ended September 30, 2016.

Bay Bank had budgeted total deposits for the quarter ended September 30, 2016 in the amount of \$ 69.3 million. Total deposits at September 30, 2016 were \$ 65.4 million or \$ 3.9 million less than projected balances. Deposits decreased \$ 0.6 million for the past twelve months, the result of certificate of deposits decreasing during this timeframe, as we allowed our non-core funds to mature.

H. MEETINGS

Monthly meeting on the third Thursday of each month. Well attended.

Oneida Business Committee Agenda Request

1. Meeting Date Requested: 12 / 14 / 16

2. General Information:

Session: Open Executive - See instructions for the applicable laws, then choose one:

[Empty text box]

Agenda Header:

Reports

Accept as Information only

Action - please describe:

Motion to accept the FY16 4th Quarter Report

3. Supporting Materials

Report Resolution Contract

Other:

1. OAHC d/b/a Radisson 4th Quarter Report

3. [Empty text box]

2. Three Clans Airport d/b/a Wingate 4th Qtr Report

4. [Empty text box]

Business Committee signature required

4. Budget Information

Budgeted - Tribal Contribution

Budgeted - Grant Funded

Unbudgeted

5. Submission

Authorized Sponsor / Liaison:

Trish King, Tribal Treasurer

Primary Requestor/Submitter:

Janice Skenandore-Hirth President
Your Name, Title / Dept. or Tribal Member

Additional Requestor:

Name, Title / Dept.

Additional Requestor:

Name, Title / Dept.

Oneida Business Committee Agenda Request

6. Cover Memo:

Describe the purpose, background/history, and action requested:

To update the Business Committee on the performance of OAHC d/b/a Radisson Hotel & Conference Center and Three Clans Airport d/b/a Wingate by Wyndham for the 4th Quarter of FY16.

Request a motion to accept the OAHC 4th Quarter Report for FY16.

1) Save a copy of this form for your records.

2) Print this form as a *.pdf *OR* print and scan this form in as *.pdf.

3) E-mail this form and all supporting materials in a **SINGLE** *.pdf file to: BC_Agenda_Requests@oneidanation.org

Oncida Airport Hotel Corporation
Radisson Hotel & Conference Center
Quarterly Report
For the quarter ended: September 30, 2016

Narrative Section

Business practice, market overview, place within market:

- STR report shows for the Quarter that we are down in Occupancy YOY -14.4% index, up in ADR YOY 10.9% index with a result of -5.1% index YOY for RevPar. Occupancy numbers down YOY due to less Packers games in September YOY as well as a National Conference rotated out of Wisconsin that was here in September 2015. ADR up due to Badger vs LSU in September.
- STR report show for the Year that we are down in Occupancy YOY -1.0% index, down in ADR -1.4% index with a result of -2.4% index YOY for RevPar. FY2015 had citywide events that FY2016 did not have including Hockey, Concert at Lambeau, Soccer Tournament and Bowling Tournaments.
- Sales team attended a Connect Marketplace in August as well as hosted the Aianta reception in Tulalip in September. The sales team continues to be at events including Chamber Networking breakfasts monthly; BNI meetings weekly; Current luncheons/events monthly; Management Women events monthly; MPI event Quarterly; WSAE event quarterly; WBTA event quarterly – to gain leverage for the Radisson in the Green Bay market.

Competitive analysis:

- Casino food outlets continue to be a source of competition for F&B revenue
- KI Expansion a source of competition for large convention groups

Strategies for improved value:

- Developed packaging in Corporate/Association Meetings, Tour & Travel, Wedding, Travel Agents and Transient markets to gain short term bookings for need months
- Working with Radisson Corporate in all Sales markets to gain leverage and recognition
- Working with Aimbridge Regional properties to gain leverage and recognition
- Working closely with Green Bay CVB in all Sales markets to keep Radisson in forefront of Sales efforts and participate in all sales initiatives

Material changes or developments in market/business:

- Appleton will be adding a Convention Center on land near the Radisson Appleton; this will add 33,000 square feet of meeting space, groundbreaking end of Sept 2016 – due to open September 2017
- Radisson Appleton will undergo full renovation – in our STR comp set

- Ramada Plaza due to change to a Marriott Delta – in our STR comp set
- Holiday Inn Appleton due to change to a Marriott product – in our STR comp set

Market growth:

- Northland Hotel due to start construction on 147 room hotel downtown to compliment the KI Convention Center expansion, due to open July 2017
- Residence Inn 100 room property set to build and open December 2016 in Green Bay, near Aloft
- 4 Star Lodge Kohler property being built on Packer property near the stadium with 200 rooms – due to open July 2017
- Home 2 Suites to be built on Holmgren Way across from Grainger
- TBD property online to be built downtown on Broadway near The Cannery

Pending legal action:

- Nothing at the moment

Oneida Airport Hotel Corporation
Three Clans Airport, LLC
Quarterly Report
For the quarter ended: September 30, 2016

Business practice, market overview, place within market:

- Ranking for the fourth quarter from the STR Report the Wingate is 4 out of 5 based on REVPAR and 3 out of 5 based on Occupancy. Wingate continues to maintain fair market share within the competitive set while increasing rate.
- The Wingate continued to change its focus in the fourth quarter to growing rate over occupancy. The Wingate was able to grow rate by 2.6% and only loss -1.8% in occupancy.
- The Wingate averaged an 82.7% occupancy for the fourth quarter.

Competitive analysis:

- The competitors ran a REVPAR of \$105.85 to Wingate's \$70.80 for the fourth quarter.
- The competitive set had an occupancy of 81.9% to the Wingate's 82.7%.

Strategies for improved value:

- The Wingate is focused on growing rate for the fourth quarter and first quarter of the new budget.
- The Wingate's strategy is to yield rates sooner especially on high demand dates and to offer less heavily discounted rates on slow dates.
- The Wingate continues to use all 3rd party booking channels to increase bookings on low demand dates but is not using opaque rates as often.
- The Radisson Sales team continues to cross sell the hotel. The Radisson sales team uses the Wingate to sell to large convention groups as an overflow property.

Material changes or developments in market/business:

- The Wingate continues to see increases in the corporate business traveler and is starting to see the corporate business traveler using travel agents again while shying away from the third party websites.
- We also were able to negotiate rates with some new local companies to add to the Wingate's preferred list.

Market growth:

- For the fourth Quarter, the Wingate ended with 66.9% REVPAR Index. The Wingate saw a decrease of -1.2% year over year for REVPAR Index.
- Revenues for the fourth quarter were \$515053 which were up from prior year by \$8610.00.

Pending legal action:

- Nothing at the moment

Oneida Business Committee Agenda Request

1. Meeting Date Requested: 12 / 14 / 16

2. General Information:

Session: Open Executive - See instructions for the applicable laws, then choose one:

Agenda Header:

Accept as Information only

Action - please describe:

Motion to accept the OGE 4th Quarter Report for FY16

3. Supporting Materials

Report Resolution Contract

Other:

1.

3.

2.

4.

Business Committee signature required

4. Budget Information

Budgeted - Tribal Contribution

Budgeted - Grant Funded

Unbudgeted

5. Submission

Authorized Sponsor / Liaison:

Primary Requestor/Submitter: Janice Skenandore-Hirth, Agent

Your Name, Title / Dept. or Tribal Member

Additional Requestor:

Name, Title / Dept.

Additional Requestor:

Name, Title / Dept.

Oneida Business Committee Agenda Request

6. Cover Memo:

Describe the purpose, background/history, and action requested:

To update the Business Committee on the performance of Oneida Golf Enterprise d/b/a Thornberry Creek at Oneida for the 4th Quarter of FY16.

Request a motion to accept the OGE 4th Quarter Report for FY16.

- 1) Save a copy of this form for your records.
- 2) Print this form as a *.pdf *OR* print and scan this form in as *.pdf.
- 3) E-mail this form and all supporting materials in a **SINGLE** *.pdf file to: BC_Agenda_Requests@oneidanation.org

Oneida Golf Enterprise Corporation**Fiscal year-To-Date Reporting for period Ending:****September, 2016**

The Oneida Golf Enterprise Corporation (OGEC) is a corporation of the Oneida Nation established to oversee and manage the business known as Thornberry Creek at Oneida.

Narrative Report**Business Practice, Market Overview, Place Within Market:**

- Thornberry Creek at Oneida (TCO) is a 27 hole premier golf course settled in a rural prestigious housing development on the Oneida reservation.
- TCO is the Official Golf Course of the Green Bay Packers.
- TCO was awarded "Best 9 Hole Course" both statewide and nationally in 2015
- TCO Legends Course was ranked #10 in the state by *GolfAdvisor* in 2016
- TCO along with the Radisson, Wingate and Casino was ranked "Best Golf and Casino Resorts" in 2016 by *Golf Vacation Insider*
- TCO Legends Course was ranked "Best of the Bay" 2016 best golf course by *Green Bay Press Gazette*
- TCO is one of 10 Official PGA Family golf courses in Wisconsin offering tees for kids based upon skill level and ability to further enjoy the game.
- TCO includes a banquet facility, practice range, golf shop and sports pub & grill
- TCO is considered a leader in charitable golf event hosting and a leading choice for future brides.
- TCO hosted 39 special events in the fourth quarter for a total of 137 special events in the fiscal year
- TCO hosted 59 golf events in the fourth quarter for a total of 85 Golf Events in the fiscal year

Competitive Analysis:**GOLF**

- Area competitors in this market for public golf courses with 9 holes is Village Green in Howard; 27 holes includes Mid Vallee in De Pere; 18 holes include Brown County and Crystal Springs.
- Outside our 10 mile radius are other courses such as Royal St. Patrick's, Ledgeview, The Woods, and Northbrook. Courses outside the area but still considered competitors include The Bull in Sheboygan and Blackwolf Run/Whistling Straits in Kohler.

Banquet

- Thornberry Creek at Oneida has 7,000 square feet of flexible banquet space with large windows and spectacular views. This space will accommodate 300 guests at round tables. We also feature a porch room that accommodates up to 40 people. Included in this space is the Cupola available for smaller wedding ceremonies and intimate gatherings.
- Thornberry Creek at Oneida also offers a full range of conference equipment and amenities for the corporate client.
- Our large bar and grill offers full coverage on all 24 HDTV flat screen televisions for all sporting events and seats up to 160 customers.
- Area competitors in this market include Rock Gardens and the Radisson.

Strategies for Improved Value:

- In an effort to combine the branding efforts of Thornberry Creek and the LPGA Classic we have created ad campaigns highlighting both products
- New ads have been placed in the *Packer Gameday* publication as well as the *Wisconsin Badger Yearbook*; the expected circulation of both publications will be in excess of 1 million
- Working with CBS the Thornberry product will be featured via commercial in all golf telecasts in 2016; the aerial footage from DroneHub will be used to create captivating imagery for our potential guest and client
- TCO continues to maximize opportunities with golf outings, weddings and special events throughout the summer months.
- TCO and OGE hosted an LPGA Year from Here event in August with great success. We captured important marketing and advertising material with LPGA tour players as well as hosting future partners of the Thornberry Creek LPGA Classic
- TCO sold the facility for the day for the first time ever to host a high profile golf event
- Our brand image continues to be elevated as we're seen and heard across all the major networks: FOX, CBS, NBC and WIXX
- Our new point of sale system IBS has been a great help with reporting and efficiency for day to day operations
- IBS allows OGE the opportunity to collect immediate feedback and package departments together to better offer value to our guest
- With the renovation and construction on the parking lot and practice facility completed, the facility will open in 2017 and bring many new revenue opportunities
- Utilizing direct mail campaigns, we have seen a jump in attendance on specialized evenings
- Upon finishing the trade show circuit, TCO has experienced an influx of inquiries regarding the All in Package and stay and play getaways. TCO hosted over 175 stay and play rounds in the fourth quarter seeing growth throughout the year partnering with the Radisson and Wingate.

Material Changes or Developments in Market/Business:

- OTIE completed the expansion of the parking lot and practice facility to meet deadline with ease; they have been amazing to work with thus far
- Rick Jacobsen, the original golf course architect, in conjunction with Hollembeak completed the practice tee, putting green, short game area and on course bunker renovations; all of their work has been beautifully done and we now have a world class practice facility
- OTIE is on schedule with the basement renovation
- OGE has started a new Team Member recognition program and has experienced exceptional feedback regarding the program
- TCO employed 220 team members at the height of our season in the fourth quarter, the most TCO has ever had; the quality of candidates still proves difficult in the market due to compensation packages and an extremely low unemployment rate
- We continue to create alignment in our branding efforts in all marketing campaigns and print materials onsite; this process will continue for the next few years
- OGE will be identifying the opportunities available through the sales team members to assist in selling inventory in the off-season months
- The Pub continues to struggle outside of the golfing season and the expectation of growth is minimal unless major changes are made to the concept and product offered

Market Growth:

- Our social media presence continues to grow, up 18% in FY2016 and up 78% over 2015
- Although revenues are up so are expenses; some due to increased service efforts and operational costs from equipment breaking or needing to be replaced
- The Fringe Benefit card shows 83% gains from FY2015 to FY2016 proving loyalty from the golfing community
- We have sold out all Saturdays in 2017 from May to October except for 3 weekends and beginning to book multiple wedding weekends. With the addition of the practice tee and basement renovations we will be able to offer outdoor ceremonies in 2017 and beyond thus creating additional revenue to the facility
- Season pass sales have seen monumental growth; 44 in 2014, 58 in 2015 and currently 88 in 2016; 100% growth in two years' time
- Thornberry Creek continues to grow adding to its awards in FY2016 with the Legends Course being ranked #10 in the state by *Golf Advisor*, "Best Golf Course 2016" by Green Bay Press-Gazette Best of the Bay, "Golf Vacation Insider's" list of 2016 Best Golf & Casino Resorts, "Best Golf Course in the State" by the Golf Course Owners of Wisconsin, "Best 9 hole Course in the Nation" by Always Time for 9.

- Rounds continue to convert to the Legends course, inventory levels are becoming low but not completely sold out

Pending Legal Action:

- No litigation pending.

Oneida Business Committee Agenda Request

1. Meeting Date Requested: 12 / 14 / 16

2. General Information:

Session: Open Executive - See instructions for the applicable laws, then choose one:

Agenda Header:

Accept as Information only

Action - please describe:

3. Supporting Materials

Report Resolution Contract

Other:

1.

3.

2.

4.

Business Committee signature required

4. Budget Information

Budgeted - Tribal Contribution Budgeted - Grant Funded Unbudgeted

5. Submission

Authorized Sponsor / Liaison:

Primary Requestor/Submitter:
Your Name, Title / Dept. or Tribal Member

Additional Requestor: _____
Name, Title / Dept.

Additional Requestor: _____
Name, Title / Dept.

Oneida Business Committee Agenda Request

6. Cover Memo:

Describe the purpose, background/history, and action requested:

Oneida Seven Generations respectfully requests a deferral from the December 13th and 14th meetings. OSGC would like to be on the December 27th and 28th agendas, please.

- 1) Save a copy of this form for your records.
- 2) Print this form as a *.pdf *OR* print and scan this form in as *.pdf.
- 3) E-mail this form and all supporting materials in a **SINGLE** *.pdf file to: BC_Agenda_Requests@oneidanation.org

Becky Demmith

From: Becky Demmith <becky@osgc.net>
Sent: Monday, November 21, 2016 10:30 AM
To: bc_agenda_requests@oneidanation.org
Cc: Lisa M. Summers (LSUMMER2@oneidanation.org); pete@king111solutions.com
Subject: OSGC Quarterly Deferral

Oneida Seven Generations Corporation respectfully requests a deferral from the December 13th and 14th Business Committee meetings. We would like to be on the agenda for December 27th and 28th.

If you have any questions or need additional information, please let me know.

Thanks, Becky
920-347-0500

XI. GENERAL TRIBAL COUNCIL

- A. Schedule special General Tribal Council meeting, as directed by General Tribal Council, on February 20, 2017; and approve draft agenda**
Sponsor: Lisa Summers, Tribal Secretary

- B. Accept verified petition submitted by Sherrole Benton regarding request to change pre-employment drug testing for marijuana; and request appropriate analyses**
Sponsor: Lisa Summers, Tribal Secretary

- C. Petitioner Edward Delgado: Oneida panel of educators and retention of kindergarten students**
 - 1. Accept financial analysis progress report**
Sponsor: Larry Barton, Chief Financial Officer

- D. Review Fletcher, PLLC legal analysis of June 13, 2016, General Tribal Council meeting regarding per capita and determine next steps**
Sponsor: Larry Barton, Chief Financial Officer

These agenda items contain information for Tribal Members only. Please visit the Business Committee Support Office on the second floor of the Norbert Hill Center with Tribal I.D. to obtain full packet materials. Materials may also be obtained after logging into the Tribal Members only portal at <https://oneida-nsn.gov/members-only/gtc-portal/bc-meeting-materials-for-members-only/>

For any questions, please call the Business Committee Support Office at (920)869-4364 or send an email to TribalSecretary@oneidanation.org. Thank you.



About Police Department

The Oneida Police Department serves the community, within the Oneida reservation, by helping build a stronger, safer community through police protection and service while instilling a sense of unity and treating all with respect, dignity, and compassion.

History

In The 1970's Oneida Tribal leaders brought a proposal to the General Tribal Council to establish a Tribal Law Enforcement agency. The proposal went to vote and it was rejected. Once Bingo and other Tribal enterprises were established on the Oneida reservation, a security force was necessary, which created a larger demand for Law Enforcement services on the reservation. The local agencies could not provide the services that were needed and asked for by Tribal leaders.

In mid-1985, the Oneida Nation of Wisconsin approached James Danforth, who was an Outagamie County Sheriff Deputy, with their concern of establishing a tribal law enforcement agency. The Oneida Public Safety Department was established on November 12, 1985, with James Danforth as the Chief of Police, 13 officers, and one Secretary/Dispatcher. All the officers were enrolled Oneida Tribal members and were required to go to the Basic Police Academy for training and certification. The intention was to provide police, fire, and rescue services to the Reservation.

Initially there was resistance from the agencies that also covered the Oneida Reservation boundaries. The agencies, Brown and Outagamie Counties, disputed the law enforcement powers the Department was attempting to use. Public Law 280 did establish the authority for criminal law enforcement on the reservations in the state, which Oneida did fall under.

NO
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Sharon
House
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why

Between the initial organization of the department and the early 90's, the agencies did not recognize the department as a Law Enforcement agency with any arrest powers. Incidents such as threatening to arrest Oneida Officers if they were caught speeding to impounding Oneida squad cars occurred. A lawsuit had been filed in federal court by the two counties disputing the jurisdiction within the reservation boundaries as established in the 19th century. The court eventually ruled that Oneida did have concurrent jurisdiction within the boundaries, along with the counties.

Ashwaubenon Public Safety Chief John Konapacki entered into an agreement with the Oneida Public Safety Department for dispatching services. This agreement did give the department access to 24 hour dispatching, vehicle and driving records, NCIC and CIB files through the state of Wisconsin.

After the federal courts ruled on the concurrent jurisdiction on the reservation, Sheriff Leon Pieschek of the Brown County Sheriffs Department entered into an agreement to dispatch for Oneida. A "dual dispatch" system was worked out where both an Oneida and a county officer would be dispatched to all calls for service on the reservation. Brown County also dispatched Oneida Officers into Outagamie County for calls of service there. Later, Sheriff Brad Gehring of the Outagamie County Sheriff's Department, entered into an agreement to dispatch Oneida Officers. However they would send the Oneida officers alone, depending of the seriousness of the offense.

The professional relationship grew when an Oneida officer was included into the Brown County Multi-Jurisdictional Group and was deputized by Pieschek. Eventually, all the Oneida Officers would be deputized in which ever county they resided. Outagamie County deputized all the officers living in Outagamie County in 1991. In 1993, Brown County deputized all the officers living in Brown County.

On January 24, 1996, the Oneida Police Department moved from a small building on Park Drive (with 3 rooms and a 4 room mobile home outside) into a 22,000 square foot building at 2783 Freedom Rd.

In July 2000, the Oneida Police Department started a Dispatch Center which provides dispatching services for the Police Department twenty-four hours a day, seven days a week. The Department now enjoys a positive working relationship with the surrounding communities.

Currently, the Oneida Police Department consists of a Chief of Police, Assistant Chief, Lieutenant, three Sergeants, one Detective Sergeant, 12 Patrol Officers consisting of one K-9 Officer, a Community Resource Officer, a School Liaison and D.A.R.E. Officer, five Dispatchers-including a Dispatch Supervisor, one Office Manager and one Administrative Assistant.

The officers contribute to the community by offering a wide variety of services and programs other than the general Law Enforcement duties. Some of these are the GREAT, DARE, and School Liaison programs at the Oneida Nation School System. Other programs offered are the Exploring Post (part of the Boy Scouts of America) and activities such as Safety Camps, school functions and other community functions.

PHONE

Non Emergency (920) 869-2239

(920) 833-7694

Emergency/Dispatch (920) 869-2026

Fax (920) 869-1864

MAILING ADDRESS

PO Box 365
Oneida, WI 54155

LOCATION

2733 Freedom Road
Oneida, WI 54155

Heather M. Heuer

From: pete@king111solutions.com
Sent: Tuesday, December 13, 2016 12:29 PM
To: Michael T. Debraska
Cc: Cristina S. Danforth; Heather M. Heuer; Lora L. Skenandore; Lisa M. Summers
Subject: RE: 4th Quarter - FY '16 OSGC Report

Follow Up Flag: Follow up
Flag Status: Flagged

Good afternoon Michael,

There was a conflict in my schedule in which I could not make those dates. We appreciate the deferral and look forward to presenting in a few weeks.

Thanks,

Pete King III
President/CEO
King Solutions
(785) 200-7507

----- Original Message -----

Subject: 4th Quarter - FY '16 OSGC Report
From: "Michael T. Debraska" <mdebrask@oneidanation.org>
Date: Tue, December 13, 2016 12:11 pm
To: "pete@king111solutions.com" <pete@king111solutions.com>
Cc: "Cristina S. Danforth" <tdanfort@oneidanation.org>, "Heather M. Heuer" <hheuer@oneidanation.org>, "Lora L. Skenandore" <LSKENAN3@oneidanation.org>, "Lisa M. Summers" <lsummer2@oneidanation.org>

Mr. King:

Please provide a written explanation, as soon as possible, for the reason/rationale for a deferred request on your 4th quarter FY '16 OSGC report. It has been deferred to the Dec. 28, 2016 regular BC meeting. Thank you.

Mike Debraska
Senior Policy Advisor

Business Committee Meeting

9:00 a.m. Wednesday, December 14, 2016

Thank you for printing clearly

- Rebbie Duff
- Guadalupe DeHortel
- Patricia A. Cornelius
- Juli Stepanich
- Sherr Forgette
- Sandra Schultz
- Mary Cornelissen - EHN
- Gina Buenafede
- Michele Doytator
- Carly Baehuber
- Jessica Wallerfang
- Barbara Dickson
- Joanic L. Buckley
- C. J. Mast
- Christine Palmer
- Yvonne Cooper
- Jeff Smith
- Madelyn Genstow
- Lisa Eiggins
- Rich VanBoxtel