

Accept the Oneida Gaming Commission FY-2022 2nd quarter report

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## Business Committee Agenda Request

1. Meeting Date Requested: 05/11/22

2. Session:

Open     Executive – must qualify under §107.4-1.

Justification: *Choose or type justification.*

3. Requested Motion:

Accept as information; OR

Motion to accept the 2nd Quarter Report & Semi Annual Report as submitted.

4. Areas potentially impacted or affected by this request:

Finance

Programs/Services

Law Office

MIS

Gaming/Retail

Boards, Committees, or Commissions

Other: *Describe*

5. Additional attendees needed for this request:

*Name, Title/Entity OR Choose from List*

*Name, Title/Entity OR Choose from List*

*Name, Title/Entity OR Choose from List*

*Name, Title/Entity OR Choose from List*

**6. Supporting Documents:**

- |  |  |   |
|--|--|---|
| <input type="checkbox"/> Bylaws  | <input type="checkbox"/> Fiscal Impact Statement | <input type="checkbox"/> Presentation           |
| <input type="checkbox"/> Contract Document(s)  | <input type="checkbox"/> Law                     | <input checked="" type="checkbox"/> Report      |
| <input type="checkbox"/> Correspondence  | <input type="checkbox"/> Legal Review            | <input type="checkbox"/> Resolution             |
| <input type="checkbox"/> Draft GTC Notice  | <input type="checkbox"/> Minutes                 | <input type="checkbox"/> Rule (adoption packet) |
| <input type="checkbox"/> Draft GTC Packet  | <input type="checkbox"/> MOU/MOA                 | <input type="checkbox"/> Statement of Effect    |
| <input type="checkbox"/> E-poll results/back-up  | <input type="checkbox"/> Petition                | <input type="checkbox"/> Travel Documents       |
| <input checked="" type="checkbox"/> Other: 2 reports are being submitted, the 2nd Quarter Report & Semi Annual Report. |  |   |

**7. Budget Information:**

- |   |  |
|---|--|
| <input type="checkbox"/> Budgeted – Tribal Contribution | <input type="checkbox"/> Budgeted – Grant Funded   |
| <input type="checkbox"/> Unbudgeted                     | <input checked="" type="checkbox"/> Not Applicable |
| <input type="checkbox"/> Other: <i>Describe</i>         |  |

**8. Submission:**

Authorized Sponsor: Brandon Stevens, Vice-Chairman

Primary Requestor: Mark A. Powless Sr, OGC Chairman

# 2022 2<sup>nd</sup> Quarter Report (Jan'22 to Mar'22)

For Boards, Committees, and Commissions (BCCs)

Approved by official entity action on: May 2, 2022

Submitted by: Mark A. Powless Sr.

OBC Liaison: Brandon Stevens

OBC Liaison: Marie Summers

## ONEIDA GAMING COMMISSION

### Purpose:

The Oneida Gaming Commission and its departments collectively promote and ensure the integrity, security, honesty and fairness of the regulation and administration of all Gaming activities within the jurisdiction of the Oneida Nation.

### Oneida Gaming Commission Members

Mark A. Powless Sr.  
Chairman  
August 2023

Reynold Danforth  
Vice Chairman  
August 2025

Michelle M. Braaten  
Secretary  
August 2022

Jonas Hill  
Commissioner  
August 2024

### Substantiated Complaints (if applicable)

Per § 105.12-4.(a) of the Boards, Committees and Commissions law, annual and semi-annual reports shall contain information on the number of substantiated complaints against all members of the entity.

Per § 105.3-1.(q) a “Substantiated complaint” means a complaint or allegation in a complaint that was found to be valid by clear and convincing evidence.

NUMBER OF SUBSTANTIATED COMPLAINTS: 0

### Meetings

1<sup>st</sup> and 3<sup>rd</sup> Monday of the month.

Emergency Meetings: [Click here to enter any emergency meetings that were held during the reporting period and the reason for the meeting](#)

### Contact Info

CONTACT: Mark A. Powless Sr.  
 TITLE: Commission Chairman  
 PHONE NUMBER: 920-497-5850  
 E-MAIL: mpowles5@oneidanation.org  
 MAIN WEBSITE: Enter website address

### Status report of Three-Year Outcomes/Goals

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#### Outcome/Goal # 1

Develop/Finalize all regulations, including the OGMIC’s revisions, and regulations for: Employee Licensing, Hearings, Administration/Staffing. Revisiting other regulations annually, thereafter.

IS THIS A LONG-TERM OR QUARTERLY GOAL? Long-term

#### GOOD GOVERNANCE PRINCIPLE:

Rule of Law - Ensuring the rules are known and applied equally to all with clear appeal (if needed)and are enforced by an impartial regulatory body, for the full protection of Oneida Nation stakeholders

#### HOW OUTCOME/GOAL SUPPORTS THE GOOD GOVERNANCE PRINCIPLE:

Establishing and maintaining comprehensive and clear regulations that promulgate the rule of law, ensures fair and consistent processes are followed by the Gaming Commission and its departments. Keeping regulations up to date and available for stakeholder reference also allows for a transparent process and knowledge of what is expected. Up-to-date regulations provide for operational and customer references that benefit the Nation and assist the OGC to regulate Oneida Gaming effectively

#### ACCOMPLISHMENTS REGARDING THE OUTCOME/GOAL:

Reviewed and accepted amendments to House Rules-Rules of play. Completed review of OGMICS Chapter 14 Accounting and Internal Audit; is now in final stages of approval. Reviewed and accepted 13 SOPs that were revised due to regulatory changes, for OGC approval. Responded to 3 inquiries related to audit findings and procedural matters. Reviewed and sent forward for OGC approval 10-progressive malfunctions and 15-progressive transfers. Completed and facilitated the State Audit.

EXPECTATIONS/FUTURE PLANS REGARDING THE OUTCOME/GOAL:

OGMIC's chapters that were pushed back will be completed by the end of 3rd quarter. A review of Chapter 8 Sports Wagering will be completed to absence of some controls. Will move forward to draft OGC policies and procedures that will be followed up with internal departmental procedures.

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Outcome/Goal # 2

Implement department wide software system /technology for streamlined communication and regulatory processes/information sharing.

IS THIS A LONG-TERM OR QUARTERLY GOAL?          Long-term

GOOD GOVERNANCE PRINCIPLE:

Effectiveness and Efficiency - Processes implemented by the Nation producing favorable results which meets the needs of Membership, Employees, Community, while making the best use of resources – human, technological, financial, natural and environmental

HOW OUTCOME/GOAL SUPPORTS THE GOOD GOVERNANCE PRINCIPLE:

Mandatory Compliance with all regulatory authorities will continue to improve as we continue to adapt to ongoing issues as they arise.

ACCOMPLISHMENTS REGARDING THE OUTCOME/GOAL:

Due to resulting tribal-wide changes as the result of COVID, our department was forced to simplify our fingerprint process to more practically meet the compliance requirements surrounding the use of fingerprint information established by the FBI. These changes resulted in reduced compliance requirements as well as costs. As a result, we suffered little effects from changes involving staff turnover and loss.

EXPECTATIONS/FUTURE PLANS REGARDING THE OUTCOME/GOAL:

SOP's continue to be updated and written to be adaptable to changes as they occur. All staff with access to restricted data from the FBI must maintain a yearly training and certification process.

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### Outcome/Goal # 3

Assess staff according to job competencies. Train employees to improve skills, job knowledge and build confidence.

IS THIS A LONG-TERM OR QUARTERLY GOAL? Long-term

GOOD GOVERNANCE PRINCIPLE:

Transparency - Open communication about actions taken and decisions made ensuring access to information is clear

HOW OUTCOME/GOAL SUPPORTS THE GOOD GOVERNANCE PRINCIPLE:

Enter how the Three-year outcome/goal supports the Good Governance Principle.

ACCOMPLISHMENTS REGARDING THE OUTCOME/GOAL:

*Transparency extends the mutual trust between employees and the department/organization. Practicing and maintaining open lines of communication and providing clear expectations will increase positive behaviors and productivity. To maintain transparency, the expectations should be clear and concise. The OGC departments/employees must fulfil their job competencies, trained, and evaluated according to job function and responsibilities*

EXPECTATIONS/FUTURE PLANS REGARDING THE OUTCOME/GOAL:

Expectations have been acknowledged. To ensure staff is aware and understands expectations, periodic assessments are provided to new and existing staff. The Surveillance department has already initiated on-going training curriculum that continues to be improved upon. Each department within the Commission has been introduced in one manner or other to helping each other.

### **Stipends**

*Per the Boards, Committees, and Commissions law, stipends are set via OBC resolution. BC resolution # 08-12-20-C sets the stipend amounts.*

### **Budget Information**

<u>FY-2022 BUDGET:</u>	\$1,248,324.00
<u>FY-2022 EXPENDITURES AS OF END OF REPORTING PERIOD:</u>	\$195,005.46

### **Requests**

Enter request(s), if needed.

**Other**

Enter other information, if needed.