

# 2022 1<sup>st</sup> Quarter Report (Oct '21 to Dec'21)

For Boards, Committees, and Commissions (BCCs)

Approved by official entity action on: [Click here to enter approval date](#)

Submitted by: Mark A. Powless Sr., Gaming Commission Chairman

OBC Liaison: Brandon Stevens

OBC Liaison: Marie Summers

## ONEIDA GAMING COMMISSION

### Purpose:

The Oneida Gaming Commission and its departments collectively promote and ensure the integrity, security, honesty and fairness of the regulation and administration of all Gaming activities within the jurisdiction of the Oneida Nation.

### Gaming Commission Members

Mark A. Powless Sr.  
Chairman  
August 2023

Reynold Danforth  
Vice Chairman  
August 2025

Michelle Braaten  
Secretary  
August 2022

Jonas Hill  
Commissioner  
August 2024

Enter Board Member Name  
Enter Board Member Title, if any  
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Enter Board Member Title, if any  
Enter term end date

### **Substantiated Complaints (if applicable)**

Per § 105.12-4.(a) of the Boards, Committees and Commissions law, annual and semi-annual reports shall contain information on the number of substantiated complaints against all members of the entity.

Per § 105.3-1.(q) a “Substantiated complaint” means a complaint or allegation in a complaint that was found to be valid by clear and convincing evidence.

NUMBER OF SUBSTANTIATED COMPLAINTS: 0

### **Meetings**

1<sup>st</sup> and 3<sup>rd</sup> Monday of the Month .

Emergency Meetings: None

### **Contact Info**

CONTACT: Mark A. Powless Sr.  
TITLE: Commission Chairman  
PHONE NUMBER: (920) 497-5850 Ext. 5654  
E-MAIL: MPOWLES5@oneidanation.org  
MAIN WEBSITE: Enter website address

### **Status report of Three-Year Outcomes/Goals**

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## Outcome/Goal # 1

Develop/Finalize all regulations, including the OGMIC's revisions, and regulations for: Employee Licensing, Hearings, Administration/Staffing. Revisiting other regulations annually, thereafter.

IS THIS A LONG-TERM OR QUARTERLY GOAL?          Long-term

### GOOD GOVERNANCE PRINCIPLE:

Rule of Law - Ensuring the rules are known and applied equally to all with clear appeal (if needed) and are enforced by an impartial regulatory body, for the full protection of Oneida Nation stakeholders

### HOW OUTCOME/GOAL SUPPORTS THE GOOD GOVERNANCE PRINCIPLE:

Establishing and maintaining comprehensive and clear regulations that promulgate the rule of law, ensures fair and consistent processes are followed by the Gaming Commission and its departments. Keeping regulations up to date and available for stakeholder reference also allows for a transparent process and knowledge of what is expected. Up-to-date regulations provide for operational and customer references that benefit the Nation and assist the OGC to regulate Oneida Gaming effectively.

### ACCOMPLISHMENTS REGARDING THE OUTCOME/GOAL:

*Reviewed and accepted House Rules- Rules of Play Sports Wagering ROP Poker – Amendment/ Revision, for OGC approval. Reviewed and accepted a total of 16 SOPs for Sports wagering, for OGC approval. Drafted new Sports Wagering Suspicious activity*

*report to ensure operation meets compliance with approved Sports Regulations. Reviewed and accepted another 10 SOPs that improve efficiency of gaming operations. Reviewed and approved or responded to 2 requests from gaming management. Completed review of the surveillance audit to address and resolve a critical finding.*

EXPECTATIONS/FUTURE PLANS REGARDING THE OUTCOME/GOAL:

In the next 3 months the OGMIC's – Definitions Section, General Section, Revenue Audit, Audit and Accounting will be completed. This will bring an end to the OGMICS revision project allowing the department to further develop in other processes and procedures.

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Outcome/Goal # 2

Implement department wide software system /technology for streamlined communication and regulatory processes/information sharing.

IS THIS A LONG-TERM OR QUARTERLY GOAL?          Long-term

GOOD GOVERNANCE PRINCIPLE:

Effectiveness and Efficiency - Processes implemented by the Nation producing favorable results which meets the needs of Membership, Employees, Community, while making the best use of resources – human, technological, financial, natural and environmental

HOW OUTCOME/GOAL SUPPORTS THE GOOD GOVERNANCE PRINCIPLE:

Mandatory Compliance with all regulatory authorities will continue to improve as we continue to adapt to ongoing issues as they arise.

ACCOMPLISHMENTS REGARDING THE OUTCOME/GOAL:

Due to resulting tribal-wide changes as the result of COVID, our department was forced to simplify our fingerprint process to more practically meet the compliance requirements surrounding the use of fingerprint information established by the FBI. These changes resulted in reduced compliance requirements as well as costs. As a result, we suffered little effects from changes involving staff turnover and loss.

EXPECTATIONS/FUTURE PLANS REGARDING THE OUTCOME/GOAL:

SOP's continue to be updated and written to be adaptable to changes as they occur. All staff with access to restricted data from the FBI must maintain a yearly training and certification process.

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Outcome/Goal # 3

Assess staff according to job competencies. Train employees to improve skills, job knowledge and build confidence.

IS THIS A LONG-TERM OR QUARTERLY GOAL?          Long-term

GOOD GOVERNANCE PRINCIPLE:

Transparency - Open communication about actions taken and decisions made ensuring access to information is clear

HOW OUTCOME/GOAL SUPPORTS THE GOOD GOVERNANCE PRINCIPLE:

*Transparency extends the mutual trust between employees and the department/organization. Practicing and maintaining open lines of communication and providing clear expectations will increase positive behaviors and productivity. To maintain transparency, the expectations should be clear and concise. The OGC departments/employees must fulfil their job competencies, trained, and evaluated according to job function and responsibilities*

ACCOMPLISHMENTS REGARDING THE OUTCOME/GOAL:

Expectations have been acknowledged. To ensure staff is aware and understands expectations, periodic assessments are provided to new and existing staff. The Surveillance department has already initiated on-going training curriculum that continues to be improved upon. Each department within the Commission has been introduced in one manner or other to helping each other.

EXPECTATIONS/FUTURE PLANS REGARDING THE OUTCOME/GOAL:

Advanced and supplementary training within other departments to further extend the mutual trust and being transparent with all information related to the department goals and objectives.

**Stipends**

*Per the Boards, Committees, and Commissions law, stipends are set via OBC resolution. BC resolution # 08-12-20-C sets the stipend amounts.*

**Budget Information**

FY-2022 BUDGET: 1,248,324.

FY-2022 EXPENDITURES AS OF END OF REPORTING PERIOD: 247,020.06

Enter budget utilization information, if needed.

**Requests**

Enter request(s), if needed.

## Other

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