

2021 3rd Quarter Report (April '21– June '21)

For Boards, Committees, and Commissions (BCCs)

Approved by official entity action on: 07/21/2021

Submitted by: Mark A. Powless Sr, Chairman

OBC Liaison: Marie Summers

OBC Liaison: Brandon Yellowbird-Stevens

ONEIDA GAMING COMMISSION

Purpose:

The Oneida Gaming Commission and its departments collectively promote and ensure the integrity, security, honesty and fairness of the regulation and administration of all Gaming activities within the jurisdiction of the Oneida Nation.

BCC Members

Mark A. Powless Sr.
Chairman
August 2023

Reynold "Tom" Danforth
Vice Chair
August 2025

Michelle Braaten
Secretary
August 2022

Jonas Hill
Commissioner
August 2024

Substantiated Complaints (if applicable)

Per § 105.12-4.(a) of the Boards, Committees and Commissions law, annual and semi-annual reports shall contain information on the number of substantiated complaints against all members of the entity.

Per § 105.3-1.(q) a "Substantiated complaint" means a complaint or allegation in a complaint that was found to be valid by clear and convincing evidence.

NUMBER OF SUBSTANTIATED COMPLAINTS: 0

Meetings

Held every 1st and 3rd Monday of the month.

Emergency Meetings: There was no Emergency Meetings

Contact Info

CONTACT: Mark A. Powless Sr,

TITLE: Chairman

PHONE NUMBER: (920)497-5850

E-MAIL: Mpowles5@oneidanation.org

MAIN WEBSITE: www.Oneida-nsn.gov/GamingComm

Status report of Three-Year Outcomes/Goals

Outcome/Goal # 1

Develop/Finalize and revise all regulations, as necessary, including the OGMICS and regulations for Compliance and Enforcement, Employee Licensing, Hearings, Administration/Staffing, and Raffles.

IS THIS A LONG-TERM OR QUARTERLY GOAL? Long-term

GOOD GOVERNANCE PRINCIPLE:

Rule of Law - Ensuring the rules are known and applied equally to all with clear appeal (if needed) and are enforced by an impartial regulatory body, for the full protection of Oneida Nation stakeholders

HOW OUTCOME/GOAL SUPPORTS THE GOOD GOVERNANCE PRINCIPLE:

Establishing and maintaining comprehensive and clear regulations that promulgate the rule of law, ensures fair and consistent processes are followed by the Gaming Commission and its departments. Keeping regulations up to date and available for stakeholder reference also allows for a transparent process and knowledge of what is expected. Up-to-date regulations provide for operational and customer references that benefit the Nation and assist the OGC to regulate Oneida Gaming effectively.

ACCOMPLISHMENTS REGARDING THE OUTCOME/GOAL:

The following chapters of the Oneida Nation Gaming Minimum Internal Control Standards have been revised and reviewed in the 3rd quarter: Definitions section, General Section, Chapter 14 Audit and Accounting and Chapter 13 Revenue Audit.

EXPECTATIONS/FUTURE PLANS REGARDING THE OUTCOME/GOAL: OGMIC's – Definitions Section, General Section, Revenue Audit, Audit and Accounting, will be on the August 25, 2021 OBC Agenda.

Outcome/Goal # 2

To attain and maintain department wide the new compliance requirements related to the use of Criminal History Record Information (CHRI) as required by the FBI and National Indian

Gaming Commission (NIGC) audits. These are mandatory requirements to continue licensing gaming employees.

IS THIS A LONG-TERM OR QUARTERLY GOAL? Long-term

GOOD GOVERNANCE PRINCIPLE:

Effectiveness and Efficiency - Processes implemented by the Nation producing favorable results which meets the needs of Membership, Employees, Community, while making the best use of resources – human, technological, financial, natural and environmental

HOW OUTCOME/GOAL SUPPORTS THE GOOD GOVERNANCE PRINCIPLE:

Making sure that everyone is updated on the CHRI requirements ensures positive Federal and NIGC Audit results.

ACCOMPLISHMENTS REGARDING THE OUTCOME/GOAL:

Processes had to and continue to be changed to accomplish additional compliance demands by the FBI and NIGC. Beside Procedural changes, technical support has become a very important part of meeting compliance.

EXPECTATIONS/FUTURE PLANS REGARDING THE OUTCOME/GOAL:

Currently working and keeping up with the changes of the CHRI, all people in our area have passed the tests. Commission is still in the process, of completing SOP's to stay in compliance with what the Federal entities put forth.

Outcome/Goal # 3

Determine staff competencies and expectations, evaluate staff and identify gaps, and train accordingly.

IS THIS A LONG-TERM OR QUARTERLY GOAL? Long-term

GOOD GOVERNANCE PRINCIPLE:

Accountability - The acknowledgement and assumption of responsibility for decisions and actions as well as the applicable rules of law

HOW OUTCOME/GOAL SUPPORTS THE GOOD GOVERNANCE PRINCIPLE:

Accountability is achievable when expectations are communicated, and stakeholders are held to those expectations. In order to ensure the OGC departments are sufficiently accountable, required competencies must first be identified, staff evaluated, and subsequently trained, accordingly. For accountability-sake, stakeholders need to acknowledge determined expectations and be held to them as standards of performance.

ACCOMPLISHMENTS REGARDING THE OUTCOME/GOAL:

Competencies and expectations have been identified, staff evaluated and trained identified and/or planned for all departments to ensure staff is aware and understands expectations. The Surveillance department has already initiated on-going staff training with periodic assessments. The training material continues to be improved upon as the on-going assessments ensue. Each department within the Commission has been introduced in one manner or other to helping each other.

EXPECTATIONS/FUTURE PLANS REGARDING THE OUTCOME/GOAL:

Further training within other departments to further close gaps in order to maintain efficient workflows as a department.

Stipends

Per the Boards, Committees, and Commissions law, stipends are set via OBC resolution. BC resolution # 05-08-19-B sets the stipend amounts.

Budget Information

FY-2021 BUDGET: \$4,559,084

FY-2021 EXPENDITURES AS OF END OF REPORTING PERIOD: \$230,614.95

The OGC's budget, along with the budgets for the departments under the Oneida Gaming Commission oversight and the umbrella guidance of the OGC, are utilized to accomplish regulatory requirements and expectations.

Requests

- 1) None

Other

1. The Commission pursued Covid-19 rapid testing with Oneida Health Department for Surveillance Department frontline workers in hopes for preventing a breakout within the department.
2. All Commissioners and Staff were required to take a Criminal History Report Information (CHRI) test. There will be further testing in the future.