2021 1st Quarter Report (Oct. '20– Dec '20)

For Boards, Committees, and Commissions (BCCs)
Approved by official entity action on: 02/09/2021

Submitted by: Mark Powless Sr, Chairman

OBC Liaison: Marie Summers

OBC Liaison: Brandon Yellowbird-Stevens

ONEIDA GAMING COMMISSION

Purpose:
The Oneida Gaming Commission and its departments collectively promote and ensure the integrity, security, honesty and fairness of the regulation and administration of all Gaming activities within the jurisdiction of the Oneida Nation.

BCC Members

Mark A. Powless Sr.	Reynold "Tom" Danforth
Chairman	Vice Chair
August 2023	August 2025
Michelle Braaten	Jonas Hill
Secretary	Commissioner
August 2022	August 2024

Substantiated Complaints (if applicable)
Per § 105.12-4.(a) of the Boards, Committees and Commissions law, annual and semi-annual reports shall contain information on the number of substantiated complaints against all members of the entity.

Per § 105.3-1.(q) a "Substantiated complaint" means a complaint or allegation in a complaint that was found to be valid by clear and convincing evidence.

NUMBER OF SUBSTANTIATED COMPLAINTS: 0

Meetings
Held every 1st and 3rd Monday of the month.

Emergency Meetings: There was no Emergency Meetings

Contact Info
CONTACT: Mark Powless Sr,

TITLE: Chairman

PHONE NUMBER: (920)497-5850

Status report of Three-Year Outcomes/Goals

Outcome/Goal # 1

Develop/Finalize and revise all regulations, as necessary, including the OGMICS and regulations for Compliance and Enforcement, Employee Licensing, Hearings, Administration/Staffing, and Raffles.

IS THIS A LONG-TERM OR QUARTERLY GOAL?   Long-term

GOOD GOVERNANCE PRINCIPLE:
Rule of Law - Ensuring the rules are known and applied equally to all with clear appeal (if needed)and are enforced by an impartial regulatory body, for the full protection of Oneida Nation stakeholders

HOW OUTCOME/GOAL SUPPORTS THE GOOD GOVERNANCE PRINCIPLE:
Establishing and maintaining comprehensive and clear regulations that promulgate the rule of law, ensures fair and consistent processes are followed by the Gaming Commission and its departments. Keeping regulations up to date and available for stakeholder reference also allows for a transparent process and knowledge of what is expected. Up-to-date regulations provide for operational and customer references that benefit the Nation and assist the OGC regulate Oneida Gaming effectively.

ACCOMPLISHMENTS REGARDING THE OUTCOME/GOAL:
The following chapters of the Oneida Nation Gaming Minimum Internal Control Standards have been reviewed, revised and approved in the first quarter: OGMICS Chapter 6 Gaming Promotion and Player Tracking Systems, OGMICS Chapter 12 Drop Count. With the completion of these chapters the Operations continues to advance and streamline processes that are more efficient and cost effective.

EXPECTATIONS/FUTURE PLANS REGARDING THE OUTCOME/GOAL:
The OGMICs revision project will continue with OGC-Compliance facilitating the OGMICS Revision Project process for the OGC and Gaming Operations. The OGMICs chapters to be completed include: Controlled Keys, Revenue Audit, Accounting & Internal Audit, and 547 IT. The OGC will also move the Compliance and Enforcement Regulation and the Employee Licensing Regulation forward in upcoming months.

Outcome/Goal # 2

Implement department-wide software system/technology for streamlined communication and regulatory processes and information sharing, budget permitting.

Is this a long-term or quarterly goal?   Long-term

GOOD GOVERNANCE PRINCIPLE:
Effectiveness and Efficiency - Processes implemented by the Nation producing favorable results which meets the needs of Membership, Employees, Community, while making the best use of resources – human, technological, financial, natural and environmental

HOW OUTCOME/GOAL SUPPORTS THE GOOD GOVERNANCE PRINCIPLE:
"Doing more with less" has been an on-going phrase heard over the years, particularly during the budget planning cycles, and taken to task. To implement processes that produce favorable results to meet all stakeholders' needs is ideal. And to do so, utilizing the Nation's resources responsibly and to the fullest is even more ideal. In order to improve operational effectiveness and efficiencies for the OGC and its departments, leveraging technology is a focal point of such improvement.

ACCOMPLISHMENTS REGARDING THE OUTCOME/GOAL:
Attempting to utilize what the Nation has already invested in, the OGC-Compliance department has worked with MIS to create the department's SharePoint site. This allows the department to organize and internally communicate information more effectively. A SharePoint site is currently under development for the OGC and its departments. Once this site is completed the OGC will have a platform where essential information can be shared openly with the Gaming Operation including regulatory documents, vendor information, and/or forms and processes. We continue to identify software/technology that offers a turn-key solution for surveillance reporting, employee licensing information and regulatory compliance matters.

EXPECTATIONS/FUTURE PLANS REGARDING THE OUTCOME/GOAL:
Currently not able to obtain this goal due to circumstances resulting from Covid-19 pandemic. However, the ideal system will be a cross-functional regulatory platform that accommodates the needs of the Investigations & License, Surveillance, Compliance and Administrative departments. The Commission still in the process to finding ideal platform that will handle processing and record keeping from/for licensing applications, surveillance reporting, compliance checks, audit reports, special investigations, and internal process workflows.

Outcome/Goal # 3

Determine staff competencies and expectations, evaluate staff and identify gaps, and train accordingly.

IS THIS A LONG-TERM OR QUARTERLY GOAL?   Long-term

GOOD GOVERNANCE PRINCIPLE:
Accountability - The acknowledgement and assumption of responsibility for decisions and actions as well as the applicable rules of law

HOW OUTCOME/GOAL SUPPORTS THE GOOD GOVERNANCE PRINCIPLE:
Accountability is achievable when expectations are communicated, and stakeholders are held to those expectations. In order to ensure the OGC departments are sufficiently accountable, required competencies must first be identified, staff evaluated, and subsequently trained, accordingly. For accountability-sake, stakeholders need to acknowledge determined expectations and be held to them as standards of performance.

ACCOMPLISHMENTS REGARDING THE OUTCOME/GOAL:
Competencies and expectations have been identified, staff evaluated and trained identified and/or planned for all departments to ensure staff is aware and understands expectations. The Surveillance department has already initiated on-going staff training with periodic assessments. The training material continues to be improved upon as the on-going assessments ensue. Each department within the Commission has been introduced in one manner or other to helping each other.

EXPECTATIONS/FUTURE PLANS REGARDING THE OUTCOME/GOAL:
Further training within other departments to further close gaps in order to maintain efficient workflows as a department.

Stipends
Per the Boards, Committees, and Commissions law, stipends are set via OBC resolution. BC resolution # 05-08-19-B sets the stipend amounts.

Budget Information

FY-2020 BUDGET:	$4,559,084
FY-2020 EXPENDITURES AS OF END OF REPORTING PERIOD:	$215,454.93

The OGC's budget, along with the budgets for the departments under the Oneida Gaming Commission oversight and the umbrella guidance of the OGC, are utilized to accomplish regulatory requirements and expectations.

Requests
1) Request OBC to recall Background & Investigation employee.

Other
1. The Commission pursued Covid-19 rapid testing with Oneida Health Department for Surveillance Department frontline workers in hopes for preventing a breakout within the department.
2. All Commissioners and Staff were required to take a Criminal History Report Information (CHRI) test. There will be further testing in the future.