

Gaming Compliance Audit Reports	
Area of Audit	Report Issue Date
Ultimate Texas Hold'em	January 9, 2020
Complimentary Services	March 5, 2020
Three Card Poker	July 8, 2020
Drop & Count (Class II Poker & Kiosk)	July 8, 2020
Drop & Count	July 28, 2020
EZ Baccarat	August 10, 2020
Craps	August 14, 2020
Title 31	September 28, 2020
Table Games	October 1, 2020
Gaming Contracts	October 2, 2020
Card Games & Poker	October 15, 2020
Information Technology	November 16, 2020
Roulette	October 23, 2020
Slots	November 17, 2020
Surveillance	October 28, 2020
Cage/Vault/Kiosk	November 30, 2020
Four Card Poker	December 4, 2020
Mississippi Stud	February 19, 2021
Complimentary Services	February 22, 2021
Three Card Poker	February 11, 2021
Gaming Promotions & Player Tracking Systems	March 15, 2021
Bingo	April 5, 2021
Blackjack	April 7, 2021

Tribal Audit Reports		
Area of Audit	Type of Audit	Report Issue Date
Contract Procurement		
Legislative	Operational	January 15, 2008
IT Security	Operational	April 2, 2008
Land Management Legislative	Operational	June 19, 2008
Retail	Operational	July 22, 2008
Veterans Services	Operational	November 17, 2008
Tribal Investments & Allocations Process	Operational	November 25, 2008
Oneida Seven Generations Corp.	Operational	December 16, 2008
Gaming Commission	Operational	July 28, 2009
New York Land Claims	Financial	July 8, 2009
Employee-vendor relationships	Data Analytics	August 21, 2009
IRS 1099-Misc Cash payment reporting & document retrieval	Data Analytics	August 21, 2009
Payables documentation	Data Analytics	August 21, 2009
Travel Advances	Data Analytics	August 21, 2009
Slot Management & Admin	Operational	August 27, 2009
Dept. of Public Works	Operational	September 25, 2009
Insurance Broker Selection	Due Diligence	February 18, 2010
MIS Business Process Survey	Operational	February 19, 2010
Food Pantry Restart	Consultation	February 22, 2010
Behavioral Health	Operational	March 3, 2010
Purchasing	Operational	April 8, 2010
Coca Cola Fund	Financial	May 14, 2010
Due Diligence Process for Business Investments	Consultation	May 21, 2010
Center for Self Sufficiency Follow up	Operational	June 7, 2010
Land Commission & Land Management Follow up	Operational	July 28, 2010
Gaming Accounting	Operational	October 22, 2010
Land Management Leasing Supplement	Operational	May 24, 2011
Gmg Commission Follow-up	Operational	May 6, 2011
Oakridge Plaza Leasing	Operational	May 20, 2011
Cash & Debt Management	Operational	August 11, 2011
Voluntary Severance Program	Operational	September 16, 2011
Oneida Pharmacy (Co-source)	Operational	November 7, 2011
Internal Security	Operational	November 9, 2011
Contract Health	Operational	May 17, 2011
Slot Drop Variances	Investigative	December 22, 2011
Oneida Golf Enterprise	Investigative	December 21, 2011
IRS 1099-Misc Reporting	Data Analytics	January 26, 2012
Oneida Veterans' Transportation	Investigative	February 10, 2012
Oneida Resident Centered Community Care Facility - 1	Operational	February 10, 2012
OHA Mutual Help Equity Prog.	Operational	February 10, 2012
IRS 1099-Misc Reporting	Investigative	June 10, 2011
HRD and Gaming Personnel Services Hiring Practices and Backgrounds	Investigative	May 14, 2012
OHA ARRA Grant	Operational	June 26, 2012
Oneida Resident Centered Community Care Facility - 2	Operational	January 14, 2013
Oneida Golf Enterprise & Supplement	Operational	June 13, 2013

Tribal Audit Reports		
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Proper Commssion Records Disposal	Investigative	February 18, 2014
Oneida Housing Low Income Home Construction	Operational	February 7, 2014
Anna John Resident Centered Care Community	Operational	April 14, 2014
Law Enforcement Compliant Process	Operational	May 30, 2014
Governmental Services Division Office Governance	Operational	July 15, 2014
Land Management/Land Commission Real Estate Acquisition Fuction Preliminary Evaluation	Consultation	July 15, 2014
Ecolane Transit System	Consultation	December 13, 2013
Oneida Food Pantry	Operational	July 20, 2007
DPW Cemetery Maintenance	Operational	October 11, 2016
Oneida Minors Trust Fund	Operational	October 14, 2016
Retail Open Road Rewards Prog	Consultation	December 1, 2016
Ticket & Merchandise Distribution	Operational	February 10, 2017
Employee Advocacy Dept.	Performance	October 18, 2017
Kalihwisaks	Performance	October 18, 2017
Integrated Food Systems	Performance	October 19, 2017
Tourism	Performance	November 27, 2017
Veterans Service Admin	Performance	November 29, 2017
Grants	Performance	November 30, 2017
Utilities	Performance	November 30, 2017
Environmental Health & Safety	Performance	November 30, 2017
SEOTS	Performance	December 13, 2017
Pardon & Forgiveness Screening Committee	Performance	January 3, 2018
Tsyunhehkwa	Performance	January 17, 2018
Oneida Police Department	Performance	January 17, 2018
Vocational Rehab Job Training	Performance	January 23, 2018
Custodial	Performance	February 9, 2018
Gaming Custodial	Performance	February 9, 2018
Human Resource Depart	Performance	February 28, 2018
Oneida Election Board	Performance	March 8, 2018
Oneida Elder Services	Performance	March 9, 2018
Higher Education	Performance	March 9, 2018
Oneida Seven Generations	Performance	April 5, 2018
Business Committee Credit Card Activity	Operational	May 18, 2018
Oneida Golf		
Enterprise/Thornberry Creek at	Investigative	July 26, 2018
Medical Professionals	Performance	August 1, 2018
Engineering	Performance	August 2, 2018
Behavioral Health	Performance	October 15, 2018
Judiciary - Appellate & Trial Court	Performance	May 16, 2019
Judiciary - Family Court	Performance	May 16, 2019
Development Division Admin	Performance	August 2, 2018
Gaming CR Shuttle Dept.	Performance	August 13, 2018
Planning Department	Performance	October 15, 2018
Gaming Compliance	Performance	October 23, 2018
Zoning Administration	Performance	October 31, 2018
GIS	Performance	March 22, 2019
DPW Administration	Performance	March 22, 2019
Patient Registration	Performance	May 30, 2019
Central Purchasing	Performance	August 13, 2018

Tribal Audit Reports		
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Anna John RCCC Board	Performance	January 10, 2019
Oneida Nation School Board	Performance	January 10, 2019
Gaming Support Administration	Performance	November 19, 2018
Oneida Pharmacy	Performance	January 14, 2019
Printing/Mail Center	Performance	July 18, 2019
Oneida Nation Arts Board	Performance	November 20, 2018
Intergovernmental Affairs/Communications	Performance	October 29, 2018
Internal Services Division Admin	Performance	July 18, 2019
Oneida Community Library	Performance	July 26, 2019
Oneida Engineering Science & Construction LLC	Performance	July 25, 2019
Oneida Family Fitness	Performance	May 30, 2019
Transit Department	Performance	October 26, 2018
Central Accounting	Performance	September 5, 2019
Emergency Management	Performance	October 29, 2018
Gaming Valet	Performance	October 15, 2018
Oneida Experiential Adventures	Performance	July 26, 2019
Comprehensive Housing Division	Performance	October 24, 2019
Human Resource Department	Performance	November 18, 2019
Food Distribution	Performance	November 18, 2019
Medical Records	Performance	January 2, 2020
Oneida Pow-wow Committee	Performance	November 22, 2019
Environmental Quality Dept	Performance	November 18, 2019
Health & Industrial Services	Performance	November 18, 2019
Oneida Apple Orchard Admin	Performance	January 7, 2020
Risk Management	Performance	February 11, 2020
Legal Office	Performance	February 13, 2020
Enterprise Division - Retail	Performance	September 18, 2019
Packers Ticket & Merchandise Distribution	Operational	September 20, 2019
HRD Hiring & Compensation	Performance	February 19, 2020
Elementary School	Performance	February 24, 2020
Oneida Airport Hotel Corp	Performance	October 19, 2020
Cultural Heritage	Performance	October 10, 2019
Oneida Child Care	Performance	February 6, 2020
BC Members Credit Card Activity	Operational	December 9, 2020
OGE/Thornberry Creek Followup	Operational	January 15, 2021
Anna John Resident Centered Care Community	Performance	February 18, 2020
Eco-Services	Performance	March 12, 2021
Finance Administration	Performance	March 3, 2021
Natural Resources	Performance	March 12, 2021
Gaming Profits	Performance	March 16, 2021
Oneida Land Commission	Performance	April 9, 2021
AJRCCC Patient Account Receivables	Operational	April 12, 2021

Revised: July 30, 2021