

2019 4th Quarter Report (Jul '19 - Sep '19)

Oneida Gaming Commission

Approved by official entity action on: November 15, 2019
 Submitted by Mark A. Powless Sr., Chairman

Board, Committee, or Commission Members

Name and Title	Oneida Nation Email	Term Expiration Date
Mark A. Powless Sr., Chairman	Mpowles5@oneidanation.org	August 2023
Reynold "Tom" Danforth, Vice-Chair	Rdanfort@oneidanation.org	August 2020
Michelle M. Braaten, Secretary	Mbraaten@oneidanation.org	August 2022
Jonas G. Hill, Commissioner	Jhill1@oneidanation.org	August 2024

OBC Liaison(s)

Kirby Metoxen, Brandon Yellowbird Stevens

Meetings

Held every 1st and 3rd Monday of the month
 Location: Oneida Gaming Commission 2669 W. Mason St Green Bay, WI. 54313
 Time: 9:00 AM

Accomplishments

Summary:

OGC MINUTES/OFFICIAL ACTIONS*
<ul style="list-style-type: none"> • Elimination of MEAL Verifier (Approved 07/17/19; Ratified 08/05/19) • Sports Wagering OGC-OCM MOA (Approved 07/17/19; Ratified 08/05/19) • Letter of Support Eikon Gaming (Approved 07/17/19; Ratified 08/05/19) • OGC Salary Adjustment (Approved 07/16/19; Ratified 08/05/19) • NIGC Outstanding Fingerprint Processing Fees (Approved 08/06/19; Ratified 08/19/19) • Reaffirmation of OGMICS Revision & SOP Approvals (Approved 08/12/19; Ratified 08/19/19) • OGMICS Gaming Machines Chapter 5 (Approved 08/12/19; Ratified 08/19/19) • Election of Officers (Approved 09/03/19) • LOA Findings IT Compliance Audit (Approved 08/21/19; Ratified 09/16/19) • Bingo King Software Upgrade (Approved 08/26/19; Ratified 09/16/19) • Letter to the State-OGMICS Revision Chapter 5 Gaming Machines (Approved 09/05/19; Ratified 09/16/19) • OGC Legal Representation RFP (Approved 09/04/19; Ratified 09/16/19)
<p>*Highlighted Actions For complete list of official actions contact the OGC office for a copy of approved meeting minutes.</p>

OGC Approved:	SOP's	Promotions	Progressive Malfunctions/ Transfers	Employee Licenses	Vendor Licenses	Gaming Contracts
July	12	9	10	17	0	6
August	1	2	12	31	2	7
September	4	23	11	15	0	2
OTHER UPDATES						
<ul style="list-style-type: none"> • Gaming Commissioner, Jonas Hill, was sworn in on August 28, 2019 • Surveillance System Replacement Project (Gaming-related) <ul style="list-style-type: none"> ○ Main Casino cabling, IP camera replacement being completed; Although Main Casino has been utilizing the new system for over two years, IP camera replacements were the last segment of this project phase; Added project work at NHC set back Main Casino timelines • OGMICS Revision Project experienced considerable setbacks this quarter 						
SPECIAL EVENTS/TRAVEL						
July:	<ul style="list-style-type: none"> • NIGC Training Welch, MN. July 22-26, 2019 					
August:	<ul style="list-style-type: none"> • WGRA Meeting WI Dells, WI. August 15, 2019 (Mileage only) 					
September:	<ul style="list-style-type: none"> • NTGCR Fall Conference Maricopa, AZ. September 23-27, 2019 					

Impact:

These accomplishments support the triennial strategic perspective that the OGC leverages its resources to implement necessary processes to ensure required regulatory minimum standards and expectations are sufficiently executed.

Goals

LONG-TERM GOAL #1

Develop/Finalize and revise all regulations, as necessary, including the OGMICS and regulations for Compliance and Enforcement, Employee Licensing, Hearings, Administration/Staffing, and Raffles.

Up-to-date regulations provide for operational and customer references, as well as provide for consistent and repeatable processes that benefit the Nation and assist the OGC regulate Oneida Gaming effectively.

Update on Goal:

Current focus continues to be on the OGMICS Revision Project which has experienced delays due to re-allocating resources toward sports betting research and regulations, WGRA conference planning and hosting, and staffing changes. The commissioners have implemented working meetings to focus on revision of other regulations.

LONG-TERM GOAL #2

Determine staff competencies and expectations, evaluate staff and identify gaps, and train accordingly.

Educating, training and ensuring staff are experienced in overseeing the regulation of Oneida Gaming further supports the triennial strategic plan of resource development.

Update on Goal:

Staff competencies and expectations continue to be evaluated so that appropriate training can be completed to address skill gaps in the workforce. Each department has documented SMART Goals to be completed and periodically evaluated.

QUARTERLY GOAL #1

The OGMICS Revision Project focus continues. Chapters have been prioritized for review and revision. Chapters are revisited to determine if there are necessary changes relevant to the NIGC Class III MICS Guidance document, while the most stringent of Class II and Class III minimum internal control standards are being incorporated into the revised OGMICS.

Up-to-date regulations provide for operational and customer references, as well as provide for consistent and repeatable processes that benefit the Nation and assist the OGC regulate Oneida Gaming effectively.

Update on Goal:

The OGMICS Revision Project completed Gaming Machines – Chapter 5 and Complimentary Services or Items – Chapter 7 through OBC adoption. Table Games – Chapter 4 and Surveillance – Chapter 15 were reviewed by Gaming Management and are in the final stages of approval. Multiple resources and efforts were dedicated this quarter to reaffirm the OGMICS Revision Project process and expectations of Gaming Management for review and input and SOP revisions/approvals.

QUARTERLY GOAL #2

Staff and self-assessments will be developed and planned for deployment. Annual training plans are to be finalized for already known training needs.

Educating, training and ensuring staff are experienced in overseeing the regulation of Oneida Gaming further supports the triennial strategic plan of resource development.

Update on Goal:

Annual training plans have been implemented.

QUARTERLY ACTIVITIES

OGC responsibilities and required processes (regulatory and operational) are being reviewed for value, efficiency and effective checks and balances. Procedures and flow charts are being developed/revised accordingly.

Update on Activities:

Procedure/Process updates are time intensive and prioritized after regulatory document review and revision (i.e., OGMICS Revision Project). Resources are dedicated as much as possible. Progress is slow, particularly with emphasis being placed on OGMICS revisions and potential OGC bylaw revisions.

Budget

Total Budget for FY-2019:	Value A:	\$4,364,919
Status of Budget at 4th Quarter:	Value B:	<u>\$4,184,678</u>
		FY19 Savings: \$180,241

\$136,754 (76%) of the savings is reflected as a savings to tribal contribution.

\$43,487 (24%) of the savings is reflected as a savings to Gaming’s operational budget for OGC’s Surveillance and Investigations & License services.

ProTem Compensation	Eligible Payments	Total Paid in Quarter
Utilized only if a conflict of interest exists and a ProTem Commissioner is called upon to participate in a hearing	\$150	\$ 0

Budget Utilization:

The OGC's budget, along with the departments under the Executive Director's oversight and the umbrella guidance of the OGC, the budget is utilized to accomplish regulatory requirements and expectations.

Projected budgetary uses for the next quarter:

The OGC will continue to utilize its budget to accomplish regulatory requirements and expectations, particularly its consistent responsibilities to monitor, report and enforce regulatory compliance. In addition, focus will be placed on training and education to further develop our internal resources.

Requests

- Request OBC ensure Gaming General Manager communicates regulatory matters relevant to Gaming Operations to the OGC in a timely manner. Minimally, this includes but is not limited to:
 - OGMICS revision concerns
 - Sports Betting status and implementation plans
- Request OBC continue to update the OGC on governmental dialogue about Oneida Nation's gaming exclusivity and jurisdictional matters