

Oneida Tribe of Indians of Wisconsin

BUSINESS COMMITTEE



Oneidas bringing several hundred bags of corn to Washington's starving army at Valley Forge, after the colonists had consistently refused to aid them.



UGWA DEMOLUM YATEHE
Because of the help of this Oneida Chief in cementing a friendship between the six nations and the colony of Pennsylvania, a new nation, the United States was made possible.

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BC Resolution # 09-13-00-E
*Amendments to the Oneida Gaming Minimum Internal Control Regulations.
Coinless/Cashless Machine Regulations*

WHEREAS, the Oneida General Tribal Council is the duly recognized governing body of the Oneida Tribe of Indians of the Wisconsin, and

WHEREAS, the General Tribal Council has been delegated the authority of the Constitution of the Oneida Tribe of Indians of Wisconsin, and

WHEREAS, the Oneida Business Committee may be delegated duties and responsibilities by the Oneida General Tribal Council and is at all times subject to the review powers of the Oneida General Tribal Council, and

WHEREAS, the Oneida Tribe is required to meet the standards set forth by the National Indian Gaming Commission in its federal regulations - Minimum Internal Control Standards, and

WHEREAS, the Oneida Tribe has agreed, through the Oneida Tribe/State Gaming Compact, to meet the standards set forth by the National Indian Gaming Associations, and

WHEREAS, the Legislative Operating Committee has forwarded, and the Oneida Business Committee has adopted, amendments to the Oneida Gaming Minimum Internal Control Regulations (*OGMICRs*) in regards to -)

- BC-3-13-96-A – Original OGMICRs
- BC-3-23-00-A – Amended OGMICRs for NIGA/NIGC Standards
- BC-7-19-00-A – Amendments for Title 31 Regulations

WHEREAS, the Legislative Operating Committee has forwarded for adoption this final set of amendments regarding “coinless and cashless” gaming machines, and

WHEREAS, the proposed amendments have been presented for comment by the Oneida Gaming Commission and at Public Hearing, and

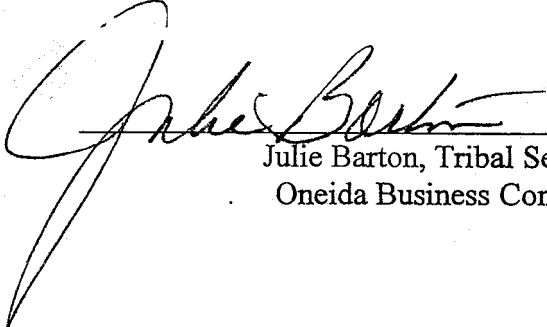
NOW THEREFORE BE IT RESOLVED, that the Oneida Business Committee does hereby adopt the amendments to the Oneida Gaming Minimum Internal Control Regulations for Coinless/Cashless

Gaming Machines; and

NOW THEREFORE BE IT FURTHER RESOLVED, that these amendments are effective immediately, provided that the Gaming General Manager shall present to the Oneida Gaming Commission the procedures regarding these amendments for insertion in the System of Internal Controls within 45 days of adoption of these amendments.

CERTIFICATION

I, the undersigned, as Secretary of the Oneida Business Committee, hereby certify that the Oneida Business Committee is composed of 9 members of whom 5 members constitute a quorum. 7 members were present at a meeting duly called, noticed and held on the 13th day of September, 2000; that the foregoing resolution was duly adopted at such meeting by a vote of 6 members for; 0 members against, and 0 members not voting; and that said resolution has not be rescinded or amended in any way,



Julie Barton, Tribal Secretary
Oneida Business Committee

*attachments: Excerpt from OGMICRs – Coinless/Cashless sections 5-20 and 5-21
Re-numbered OGMICRs*

Amendments to Oneida Gaming Minimum Internal Control Regulations
Coinless/Cashless Gaming Machines

5-20. For gaming machines that accept coins or currency and issue cash-out tickets, the following standards shall apply.

- a. In addition to the applicable accounting and auditing standards in section 5-15 the gaming operation shall foot all jackpot cash-out tickets and track totals to those produced by the system on a quarterly basis.
- b. The customer may request a cash-out ticket from the gaming machine which reflects all remaining credits. The cash-out ticket shall be printed at the gaming machine by an internal document printer.
- c. The customer shall redeem the cash-out ticket at a change booth or cashier's cage. Once presented for redemption the cashier shall:
 1. Scan the bar code via optical reader or its equivalent; or
 2. Input the cash-out ticket validation number into the computer.
- d. The information contained in subsection (c) shall be transmitted to the host computer. The host computer shall verify the authenticity of the cash-out ticket and communicate that information directly to the change booth or cashier cage terminal.
- e. If valid, the cashier pays the customer the cash-out ticket amount and the cash-out ticket shall remain in the cashier's bank for reconciliation purposes.
- f. If invalid, the host computer shall notify the cashier that one of the following conditions exists:
 1. Serial number cannot be found on file (stale date, forgery, etc.);
 2. Cash-out ticket has already been paid; or
 3. Amount of cash-out ticket differs from amount on file.

The cashier shall refuse payment to the customer and notify a supervisor of the invalid condition. The supervisor shall resolve the dispute.

g. If the coinless/cashless gaming machine system temporarily goes down, cashiers may redeem cash-out tickets after recording the following:

1. Serial number of the cash-out ticket;
 2. Date;
 3. Dollar amount; and
 4. Issuing gaming machine number.
- h. Cash-out tickets shall be validated as expeditiously as possible when the coinless/cashless gaming machine system is restored.
- i. The gaming operation shall develop and implement procedures to control cash-out ticket paper which shall include procedures which:
1. Mitigate the risk of counterfeiting of cash-out ticket paper;
 2. Adequately controls the inventory of cash-out ticket paper; and
 3. Provides for the destruction of all unused cash-out ticket paper.
- j. If the coinless/cashless gaming machine system is down for more than four hours, the gaming operation shall promptly notify the Oneida Business Committee and Oneida Gaming Commission.
- k. The coinless/cashless gaming machine systems shall comply with all other standards (as

applicable) in this section, including:

1. Standards for currency acceptor drop and count;
2. Standards for coin drop and count; and
3. Standards concerning EPROMs.

5-21. If the gaming machine does not accept currency or coin and does not return currency or coin, the following standard shall apply.

a. *Equipment.*

1. A central computer, with supporting hardware and software, to coordinate network activities, provide system interface, and store and manage a player/account database;
2. A network of contiguous player terminals with touchscreen or button controlled video monitors connected to an electronic selection device and the central computer via a communications network;
3. One or more electronic selection devices, utilizing random number generators, each of which selects any combination or combinations of numbers, colors and/or symbols for a network of player terminals.

b. *Player Terminals Standards.*

1. The player terminals are connected to a game server;
2. The game server shall generate and transmit to the bank of player terminals a set of random numbers, colors and/or symbols at regular intervals. The subsequent gaming results are determined at the player terminal and the resulting information is transmitted to the account server;
3. The game servers shall be housed in a game server room or secure locked cabinet off the casino floor.

c. *Customer Account Maintenance Standards.*

1. A central computer acting as an account server shall provide customer account maintenance and the deposit/withdrawal function of those account balances;
2. Customers may access their accounts on the computer system by means of a Player Identification Card at the player terminal. Each player terminal may be equipped with a card reader and PIN pad or touch screen array for this purpose;
3. All communications between the player terminal and the account server shall be encrypted for security reasons.

d. *Customer Account Generation Standards.*

1. A computer file for each customer shall be prepared by a clerk with no incompatible functions, prior to a customer being issued a PIN card to be utilized for machine play. The customer shall select his/her four digit PIN, known only to the customer, to be used in conjunction with the PIN card;
2. The clerk shall sign-on with a unique password to a terminal equipped with peripherals required to input data from the Customer Registration form. Passwords are issued and can only be changed by MIS personnel at the direction of the department director.
3. After entering a specified number of incorrect PIN entries at the cage or player terminal, the customer shall be directed to proceed to the Gaming Machine Information Center to obtain a new PIN. If a customer forgets, misplaces or requests

a change to their four digit PIN, the customer shall proceed to the Gaming Machine Information Center.

e. *Deposit of Credits Standards.*

1. The cashier shall sign-on with a unique password to a cashier terminal equipped with peripherals required to complete the credit transactions. Passwords are issued and can only be changed by MIS personnel at the direction of the department director;
2. The customer shall present cash, chips, coin, or coupons along with their PIN card to a cashier to deposit credits;
3. The cashier shall complete the transaction by sliding the customer's card through a card scanner;
4. The cashier shall accept the funds from the customer and enter the appropriate amount on the cashier terminal;
5. A multi-part deposit slip shall be generated by the point of sale receipt printer. The cashier shall direct the customer to sign two copies of the deposit slip receipt. The original of the signed deposit slip shall be given to the customer. The first copy of the signed deposit slip shall be secured in the cashier's cash drawer;
6. The cashier shall verify the customer's balance before completing the transaction. The cashier shall secure the funds in their cash drawer and return the PIN card to the customer.

f. *Prize Standards.*

1. Winners at the gaming machines may receive cash, prizes redeemable for cash or merchandise, at the discretion of the gaming operation;
2. If merchandise prizes are to be awarded, the specific type of prize or prizes which may be won shall be disclosed to the player before the game begins;
3. The customer shall maintain his/her PIN card for an indefinite period of time. Customers shall not be required to redeem the balance of their account immediately or at the end of their gaming trip which creates a liability to the customer from the gaming operation.

g. *Payoff Odds Standards.*

1. Payoff odds shall be determined by the gaming operation and approved by the Oneida Gaming Commission;
2. The gaming operation shall submit the pay rate, pay tables, seed amounts (if applicable), machine entry procedures and authorizations, the attendant jackpot payout key control procedures, and machine entry control procedures to the Oneida Gaming Commission.

NOTE: These proposed sections will result in renumbering the remaining sections in Article V.
Adopted by the Oneida Business Committee, September 13, 2000 thru Resolution 09-13-00-G