

Oneida Tribe of Indians of Wisconsin

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Oneida, WI 54155



UGWA DEMOLUM YATEHE
Because of the help of
this Oneida Chief in
cementing a friendship
between the six nations
and the colony of
Pennsylvania, a new
nation, the United States
was made possible.

Oneidas bringing several hundred bags of corn to Washington's starving army at Valley Forge, after the colonists had consistently refused to aid them.

RESOLUTION # 06-11-08-C

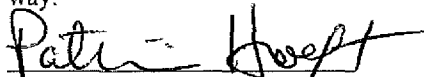
Self-Governance Agreement for Fiscal Year 2009

- WHEREAS,** the Oneida Tribe of Indians of Wisconsin is a federally recognized Indian government, a Treaty Tribe recognized by the laws of the United States, and a Self-Governance Tribe with the Department of the Interior's Bureau of Indian Affairs and with the Department of Health and Human Service's Indian Health Service; and
- WHEREAS,** the Oneida General Tribal Council is the governing body of the Oneida Tribe of Indians of Wisconsin; and
- WHEREAS,** the Oneida Business Committee has been delegated authority under Article IV, Section 1 of the Oneida Tribal Constitution by the Oneida General Tribal Council; and
- WHEREAS,** it is the mission of the Oneida Tribe of Indians of Wisconsin to protect and improve the resources, the standards of living, and the environment in which the Oneida people live while maintaining, enforcing, and exercising the sovereign rights of the Oneida Nation; and
- WHEREAS,** the Oneida Business Committee has determined that entering into a Self-Governance Compact and Funding Agreement with the U.S. Government's Department of Health and Human Service's Indian Health Service pursuant to P.L. 93-638, as amended, supports and enhances the Oneida Nation's sovereignty.

NOW, THEREFORE, BE IT RESOLVED that the Oneida Tribe of Indians of Wisconsin approves the Funding Agreement negotiated with the Department of Health and Human Services' Indian Health Service for Fiscal Year 2009 and authorizes the Chairman to sign the Funding Agreement as negotiated.

CERTIFICATION

I, the undersigned, as Secretary of the Oneida Business Committee, hereby certify that the Oneida Business Committee is composed of 9 members of whom 5 members constitute a quorum. 8 members were present at a meeting duly called, noticed and held on the 11th day of June 2008; that the foregoing resolution was duly adopted at such meeting by a vote of 7 members for; 0 members against, and 0 members not voting; and that said resolution has not been rescinded or amended in any way.


Patricia Hoeft, Tribal Secretary,
Oneida Business Committee

*According to the By-Laws, Article I, Section 1, the Chair votes "only in the case of a tie."

FUNDING AGREEMENT

BETWEEN

ONEIDA TRIBE OF INDIANS OF WISCONSIN

AND

THE UNITED STATES OF AMERICA

DEPARTMENT OF HEALTH AND HUMAN SERVICES

FOR INDIAN HEALTH SERVICE PROGRAMS

OCTOBER 1, 2008 THROUGH SEPTEMBER 30, 2009

Section 1 - Preamble. This Funding Agreement (FA) is entered into by the Oneida Tribe of Indians of Wisconsin (hereinafter the Tribe) and the Director of the Indian Health Service (IHS) for the Secretary of Health and Human Services (HHS) of the United States of America pursuant to Title V of the Indian Self-Determination and Education Assistance Act (the Act), as amended, and governed by the Compact of Self-Governance entered into between the Tribe and the Secretary of Health and Human Services. The purpose of this Agreement is to set forth the programs, services, functions and activities (PSFA), and their associated resources to be transferred from the IHS to the Tribe for the funding period October 1, 2008 through September 30, 2009; to identify the PFSAs, and associated resources to be retained by the IHS for the same funding period; and to identify any terms and conditions for implementation of this Agreement in addition to those in the Compact.

Section 2 - Tribal Programs and Services. The Tribe agrees to administer, provide, or otherwise be responsible for the PFSAs identified below in accordance with the terms of the Compact and this Agreement. Services will be provided to IHS-eligible persons as defined under applicable law. The Tribe is committed to providing quality patient care by maintaining qualified staff, state-of-the-art equipment, a well-functioning physical plant and the continuous supply of medical provisions required to provide quality patient care as defined in the standards of an accreditation organization such as the Joint Commission on Accreditation of Health Care Organizations (JCAHO) or the Accreditation Association for Ambulatory Health Care (AAAHC). As provided in Section 506 (e) of the Act, as amended, the Tribe may redesign or consolidate PFSAs (or portions thereof) included in this Agreement pursuant to Section 505(b)(2) of the Act and reallocate or redirect funds for such PFSAs (or portion thereof) in any manner the Tribe deems to be in the best interest of the health and welfare of the Indian Community being served, but only if the redesign or consolidation does not have the effect of

denying eligibility for services to population groups otherwise eligible to be served under applicable Federal Law. The Tribe will provide the following services:

A. General Health Services

1. Ambulatory Medical Services
2. Dental Services
3. Pharmaceutical Services
4. Alcohol and Drug Treatment Services
5. Mental Health Services
6. Contract Health Care Reimbursements
7. Optical Services
8. Maternal and Child Health
9. Audiology

B. Preventative Health Services

1. Public Health Nursing
2. Health Education, Medical and Environmental
3. Community Health Representatives
4. Nutrition

C. Environmental Health Services

1. Waste Water Treatment and Disposal
2. Engineering Design Services
3. Surveying and Drafting
4. Site Inspection and Investigation
5. Sanitation Projects
6. Safety/Injury Prevention

D. Facilities

1. General Operation and Maintenance
2. Architectural and Engineering
3. Facilities Renovation
4. Drug and Alcohol Rehabilitation and Treatment
5. Nursing facility services at the Anna John Nursing Home to the same extent that skilled nursing or nursing facility services are covered services under the Medicare or Medicaid program.

E. Administration

1. General Program Administration
2. Special Project Administration

Section 3 - Amounts Available in Fiscal Year 2009. The amounts available to the Tribe pursuant to the Compact and Title V of the Act, as amended, for Fiscal Year 2009 are shown in Attachments #1, 2, and 3, Fiscal Year 2009 Detail Report. The parties to this Agreement recognize that the total amount of funding in this agreement is subject to adjustment based on changes in appropriations by Congressional action in Appropriation Acts. The attached Self-Governance FA funding table has been incorporated into this FA. This table reflects estimated total funding levels and will be amended to reflect actual appropriations whether such appropriations are made by means of regular appropriations acts or continuing resolution. Upon enactment of relevant appropriation Acts or other law affecting availability of funds to the IHS, the Tribe will be notified and the total amount will be adjusted in accordance with the law. The Tribe shall also be eligible for new services, service increases, mandatories, population growth, health services priority system, indirect contract support costs, and other non-recurring resources on the same basis as other Area tribes. Congressional increases that are distributed at the Area level will be distributed based on the Area Tribal Size Adjustment (TSA) % for a Tribe calculated using the most recent validated and approved Bemidji Area Patient Count (formerly titled the area user population). For FY2009, the Area TSA% was calculated using the Area FY2007 Bemidji Area Patient Count. It is recognized there may be errors in calculations or other mistakes regarding estimates of tribal funding shares which may need to be adjusted. Both parties agree to take action to correct such errors as they are identified.

A. Direct Program Funding. The estimated available funding for FY 2009 is as follows:

| | |
|------------------------------------|---------------------|
| Headquarters Tribal Shares | \$ 226,326 |
| Area Tribal Shares w/ Equipment | \$ 615,942 |
| Aberdeen Area Office | \$ 33,630 |
| Tribal Base Funding w/Direct CSC | <u>\$ 9,287,334</u> |
| Indirect Contract Support * | \$ 462,032 |
| Total Shares Eligible for FY 2009: | \$ 10,625,264 |

*Indirect Contract Support Costs (CSC) is non-recurring and subject to Section 5 of this Agreement. OEH&E funds are program formula shares and will be distributed based on final FY 2009 workload data.

B. Tribal Shares Identified but not Compacted by Tribe. All shares identified but not compacted by the Tribe, including but not limited to, all general and mandatory increases, will be made available to the Tribe by IHS should the Tribe elect to add these funds to its FA in future years.

C. IHS Funding Currently not Identified as Tribal Shares. Any funding not identified as Tribal Shares will be made available to the Tribe when those funds are subsequently identified as Tribal Shares.

D. Existing and Future Non-recurring Funds. All new, and previously undistributed, non-recurring funds available for general distribution, will be added to the FA on the same basis as other Area tribes.

E. Other Headquarters Managed Funds. The Tribe is to remain eligible for distribution of Tribal Share Assessments, Workman's Compensation, Management Initiatives, and Emergency funds. Tribal Shares of the Management Initiatives and Emergency Funding shall be based on the IHS HQ TSA % for any balance in a fund at year-end. Tribal shares of Workman's Compensation may be allocated on final recommendations of a joint IHS/Tribal Workgroup.

Section 4 - Programs Retained.

The IHS will provide for continued connection of the Tribe's Resource and Patient Management System (RPMS), if any, to the IHS RPMS, through the Area Office. The Tribe will provide standard data from the Tribe's RPMS components, including Patient Registration, Patient Care Component (PCC) or Ambulatory Patient Care (APC), and Contract Health Service Management System (CHSMS).

The Tribe has elected to have the Secretary retain the following programs:

- A. Area and HQ MIS & DIR Programs**
- B. Area Office Biomedical Engineering Services.**
- C. Headquarters and Area OEH & E (see Addendum #1).**
- D. Area Recruitment Services**
- E. National Indian Health Board**
- F. N.E.C.I.**
- G. Clinical Applications Coordinator**
- H. Business Office Coordinator**

Section 5 - Contract Support Funding.

The parties agree that the Contract Support Cost (CSC) funding under this Funding Agreement (FA) will be calculated and paid in accordance with Sections 508[c], 519(b) and 106(a) of the Act; IHS CSC Policy (Indian Health Manual - Part 6, Chapter 3) or its successor; and any statutory restrictions imposed by Congress. In accordance with these authorities, and taking into account available appropriations for CSC, the parties agree that under this FA the Oneida Tribe of Indians of Wisconsin will receive direct CSC in the amount of \$245,854 (amount from funding table), and indirect CSC in the amount of \$462,032 (amount from funding table). These amounts were determined using the FY 2008 IHS CSC appropriation, and the Tribe's direct cost base and indirect rate as of **June 25, 2007**, and may be adjusted as set forth in the IHS CSC Policy (IHM 6-3) as a result of changes in program bases, Tribal CSC need, and available CSC appropriations. Any adjustments to these amounts will be reflected in future modifications to this FA.

Section 6 - Special Earmarked Programs, Services and Functions. The Tribe is not authorized under this Agreement to redesign or to shift or transfer any of the funding for any PFSA's which are subject to special restrictions imposed by appropriations acts.

Section 7 - No Reduction in Programs, Services to Other Tribes. It is the intent of the parties that pursuant to Section 515(a) of the Act, as amended, nothing in this Agreement diminishes any programs, services, or functions to other tribes.

Section 8 - Method of Payment. Except as provided in subsection (a) and (b) below, all funds identified will be paid to the Tribe in one (1) lump sum payment within thirty (30) days of apportionment to HHS with the exception of program formula payments. The PFSA's shares that are distributed using a program formula will be paid within 30 days after apportionment of such funds to the Area.

A. Periodic Payments. Payment of funds otherwise due the Tribe under this FA, which are added or identified after the initial payment is made, will be made within 10 working days after distribution methodologies and other decisions regarding payment of those funds have been made by IHS.

B. Prompt Payments. All payments will be made in a prompt manner and will be subject to the terms of the Federal Prompt Payment Act, Chapter 39 of Title 31 U.S.C.

Section 9 - Emergency Reserve Funding. The Tribe will be eligible for a percentage of any Emergency Reserve Funding appropriated but not utilized in FY 2009.

Section 10 - Amendment or Modifications of this Agreement. Except as otherwise provided by this FA, the Compact, or by law, any modifications of this Agreement shall be in the form of a written amendment and shall require written consent of the Tribe and the Secretary.

Written consent of the Tribe and the Secretary shall not be required for issuing amendments which result from increases in actual appropriation levels or which represent an increase in funding for PFSAs identified in the Agreement. Such increases include, but are not limited to:

- # Program/Area/HQ Mandatories
- # Program/Area/HQ End-of-year Distributions
- # CHEF, CHS Deferred Services
- # Medicare and Medicaid Collections (Medicare and Medicaid Collections are non-recurring and are supplemental to, not a part of, program funding under 106(a)(1) of the Act.

When such an increase in funding occurs, the IHS will notify the Tribe of the increase in writing.

Section 11 - Current Commission Corps Personnel. To the extent permitted by Federal law, the parties to this Compact and FA agree to maintain the current staffing level of Commissioned Corp Officers at 2 Full Time Equivalent positions (FTEs). The parties agree that the terms and conditions of the detail of Commissioned Corp Officers are governed by a separate and independent Memorandum of Agreement and not by this FA.

Section 12- Waiver of Federal Law and Sovereignty. Nothing in this FA shall be construed as a waiver of Federal or Tribal sovereign immunity or as an agreement by IHS to be bound by Tribal Law.

Section 13 -Health Status Reports. The Tribe agrees to report on the health status and service delivery in accordance with the requirements of section 507 (a)(1) of the Act. Government Performance and Results Act (GPRA) indicators will be used in measuring the relative costs and benefits of the activities undertaken by the Tribe in this FA. GPRA indicators to be used in FY 2009 are attached as Attachment 4.

Section 14 - Approval of Funding Agreement. The resolution of the Tribe Business Committee approving the FY 2009 FA appears as an attachment.

Section 15 – Reassumption. The parties agree that the Secretary will reassume operation of a PSFA (or portion thereof) and associated funding transferred from the IHS to the Tribe in this Agreement only in the event that the requirements of Section 507(a)(2) of the Act are met.

Section 16 –Statutorily Mandated Grants. In accordance with section 505(b)(2) of Title V and its implementing regulations, the parties agree that the Secretary will add any statutorily mandated grant awarded through the IHS to Tribe to this FA after these grants have been awarded. Grant funds will be paid to the Tribe as a lump sum advance payment through the Unified Financial Management System (UFMS) grants payment system. The Tribe will use interest earned on such funds to enhance the specific statutory mandated grant program including allowable administrative costs. The Tribe will comply with all the terms and conditions of the grant award for statutory mandated grants, including reporting requirements, and will not reallocate grant funds nor redesign the grant program.

Dated this ___th day of _____, 2008.

By: _____
Gerald L. Danforth, Chairman
Oneida Tribe of Indians of Wisconsin
America

By: _____
Mr. Robert McSwain, Director
Indian Health Service, United States of
Department of Health and Human Services

Table #4:
HQ PFSAs for FY 2009 TSA and Program Formula Lines
\$ in Pool, Eligible Shares, and Prior Payment

Based on FY 2008 IHS Appropriation

ONEIDA

Shares Allocable to AFA
\$373,974

Eligible for 2009
\$373,974

| ONEIDA | | TSA | PF | BB | \$ Pool TSA+PF | Eligible Shares | Paid in 2008 | Elig. in 2009 | Leave 2009 | Due 2009 |
|--------------------------------|---------------------------------|-------------------------------------|-------------------------------------|--------------------------|---------------------------|----------------------------|-------------------------|--------------------------|-----------------------|---------------------|
| Hospitals & Clinics | | | | | \$55,742,609 | \$228,784 | \$93,672 | \$228,784 | | |
| 101 | Emergency Fund | <input type="checkbox"/> | <input checked="" type="checkbox"/> | <input type="checkbox"/> | \$4,019,436 | | | | | |
| 104 | Inter-Agency Agreements | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$0 | \$5,798 | \$5,798 | \$5,798 | | 5798 |
| 105 | Management Initiatives | <input type="checkbox"/> | <input checked="" type="checkbox"/> | <input type="checkbox"/> | \$2,081,553 | | | | | 0 |
| 106 | A.C.O.G. Contract | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$99,724 | \$461 | \$460 | \$461 | | 461 |
| 107 | H.P./D.P. Initiatives | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$3,771,725 | \$8,361 | \$8,361 | \$8,361 | | 8361 |
| 110 | N.E.C.I. | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$1,116,127 | \$5,165 | | \$5,165 | 5165 | |
| 111 | Nurse Initiatives | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$1,296,972 | \$5,856 | \$5,856 | \$5,856 | | 5856 |
| 112 | Nursing Costeps | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$625,933 | \$2,896 | \$2,896 | \$2,896 | | 2896 |
| 113 | Chief Clinical Consultant | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$279,770 | \$1,295 | \$1,295 | \$1,295 | | 1295 |
| 115 | Emergency Medical Svcs | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$557,924 | \$2,064 | \$2,064 | \$2,064 | | 2064 |
| 117 | Traditional Advocacy Program | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$101,748 | \$471 | \$470 | \$471 | | 471 |
| 118 | Research Projects | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$1,286,049 | \$5,921 | \$5,921 | \$5,921 | | 5921 |
| 119 | A.A.I.P. Contract | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$27,038 | \$126 | \$126 | \$126 | | 126 |
| 120 | Clinical Support Center-Phoenix | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$1,696,009 | \$8,304 | \$8,304 | \$8,304 | | 8304 |
| 121 | Costeps-Non Physicians | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$78,482 | \$363 | \$363 | \$363 | | 363 |
| 123 | Physician Residency | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$278,958 | \$1,291 | \$1,291 | \$1,291 | | 1291 |
| 124 | Recruitment/Retention | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$2,093,392 | \$9,689 | \$9,688 | \$9,689 | | 9689 |
| 125 | U.S.U.H.S., etc. | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$3,068,207 | \$14,192 | \$14,192 | \$14,192 | | 14192 |
| 126 | D.I.R. Support Fund | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$19,364,067 | \$89,640 | | \$89,640 | 89640 | |
| 127 | Evaluation | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$1,071,827 | \$4,961 | \$4,961 | \$4,961 | | 4961 |
| 128 | National Indian Health Board | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$464,397 | \$2,134 | | \$2,134 | 2134 | |
| 129 | Albuq/HQ Administration | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$895,288 | \$4,684 | \$4,683 | \$4,684 | | 4684 |
| 130 | Nutrition Training Center | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$348,893 | \$1,746 | \$1,746 | \$1,746 | | 1746 |
| 131 | Diabetes Program-Albuq/HQ | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$1,280,663 | \$6,178 | \$6,178 | \$6,178 | | 6178 |
| 132 | Cancer Prevention-Albuq/HQ | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$713,507 | \$3,464 | \$3,464 | \$3,464 | | 3464 |
| 133 | Health Records | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$137,844 | \$504 | \$503 | \$504 | | 504 |
| 134 | AIDS Program | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$427,836 | \$3,349 | \$3,349 | \$3,349 | | 3349 |
| 135 | Handicapped Children | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$349,791 | \$1,702 | \$1,702 | \$1,702 | | 1702 |
| 137 | National DIR Support-Albuq/HQ | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$8,211,449 | \$38,170 | | \$38,170 | 38170 | |
| Dental Health | | | | | \$5,105,593 | \$5,970 | \$5,970 | \$5,970 | | |
| 201 | IHS Dental Program | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$1,017,471 | \$5,970 | \$5,970 | \$5,970 | | 5970 |
| 202 | IHS Dental Program - PgmFormula | <input type="checkbox"/> | <input checked="" type="checkbox"/> | <input type="checkbox"/> | \$4,088,123 | | | | | |
| Mental Health | | | | | \$2,221,377 | \$10,368 | \$10,368 | \$10,368 | | |
| 301 | Technical Assistance | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$1,485,206 | \$6,961 | \$6,961 | \$6,961 | | 6961 |
| 302 | C.M.I. Grants | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$628,615 | \$2,910 | \$2,909 | \$2,910 | | 2910 |
| 303 | National Conference | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$107,556 | \$497 | \$497 | \$497 | | 497 |
| Alcohol/Sub. Abuse | | | | | \$3,685,939 | \$21,661 | \$21,661 | \$21,661 | | |
| 401 | Clinical Advocacy | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$2,896,570 | \$19,834 | \$19,834 | \$19,834 | | 19834 |
| 402 | Collaborative Initiatives | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$789,369 | \$1,828 | \$1,828 | \$1,828 | | 1828 |

ONEIDA

| | TSA | PF | BB | \$ Pool TSA+PF | Eligible Shares | Paid in 2008 | Elig. in 2009 | Leave 2009 | Due 2009 |
|---|---------------------------------------|-------------------------------------|-------------------------------------|--------------------------|--------------------|------------------|------------------|---------------|-------------|
| Contract Health Care | | | | | | | | | |
| | | | | <u>\$9,115,229</u> | <u>\$10,413</u> | <u>\$10,413</u> | <u>\$10,413</u> | | |
| 501 | Fiscal Intermediary | <input type="checkbox"/> | <input checked="" type="checkbox"/> | <input type="checkbox"/> | \$6,867,669 | \$0 | \$0 | | |
| 504 | C.H.S. Reserve & Undistributed | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$2,247,580 | \$10,413 | \$10,413 | | 10413 |
| Public Health Nursing | | | | | | | | | |
| | | | | <u>\$3,357,052</u> | <u>\$4,242</u> | <u>\$4,242</u> | <u>\$4,242</u> | | |
| 601 | Preventive Health Initiatives | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$927,394 | \$4,242 | \$4,242 | | 4242 |
| 602 | Preventive Health Initiatives - PgmFo | <input type="checkbox"/> | <input checked="" type="checkbox"/> | <input type="checkbox"/> | \$2,429,658 | | | | |
| Health Education | | | | | | | | | |
| | | | | <u>\$1,125,742</u> | <u>\$5,261</u> | <u>\$5,261</u> | <u>\$5,261</u> | | |
| 701 | IHS Health Education Program | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$1,125,742 | \$5,261 | \$5,261 | | 5261 |
| CHR | | | | | | | | | |
| | | | | <u>\$2,332,108</u> | <u>\$10,819</u> | <u>\$10,819</u> | <u>\$10,819</u> | | |
| 801 | IHS CHR Program | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$2,332,108 | \$10,819 | \$10,819 | | 10819 |
| Direct Operations | | | | | | | | | |
| | | | | <u>\$16,528,324</u> | <u>\$76,456</u> | <u>\$63,918</u> | <u>\$76,456</u> | | |
| 1301 | Direct Operations - Rockville | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | \$16,528,324 | \$76,456 | \$63,918 | | 12539 63917 |
| Facilities & Envr. Hlth. S | | | | | | | | | |
| | | | | <u>\$7,193,530</u> | | | | <u>9935</u> | |
| 2401 | San. Facilities Constr. Support | <input type="checkbox"/> | <input checked="" type="checkbox"/> | <input type="checkbox"/> | \$2,136,761 | | See Table 4F | | 3890 |
| 2402 | Environ. Health Services Support | <input type="checkbox"/> | <input checked="" type="checkbox"/> | <input type="checkbox"/> | \$1,286,625 | | See Table 4F | | 4005 |
| 2403 | Facilities & Realty Support | <input type="checkbox"/> | <input checked="" type="checkbox"/> | <input type="checkbox"/> | \$2,071,117 | | See Table 4F | | 339 |
| 2404 | Facilities Engineering Support | <input type="checkbox"/> | <input checked="" type="checkbox"/> | <input type="checkbox"/> | \$1,261,956 | | See Table 4F | | 0 |
| 2405 | Engineering Services Support | <input type="checkbox"/> | <input checked="" type="checkbox"/> | <input type="checkbox"/> | \$437,072 | | See Table 4F | | 1701 |
| Other: _____ Note: For shares in line 2401-2405, please refer to Table 4F to be provided by Area. _____ | | | | | | | | | |
| | TSA | | | <u>\$77,672,333</u> | | | | | |
| | Program Formula | | | <u>\$28,735,170</u> | | | | | 383,909 |
| | Total | | | <u>\$106,407,504</u> | <u>\$373,974</u> | <u>\$226,323</u> | <u>\$973,074</u> | | |

Revised Total

157,583 226,326

The IHS negotiator is responsible for pro-rating Program, Functions, Services Activities (PFSA) amount IF: 1) the Tribe elects not to take 100% of the respective PFSA, and/or 2) the period is not a full year.

Tribal Size Adjustment (TSA) LINES: The amount shown in the Shares column was determined based on the TSA formula during April 1997 (FY 1997 budget). Since then, annual adjustments were made to shares that are proportional to increases/decreases in the IHS appropriations for the relevant budget sub-activity. Annual adjustments will be applied to shares when the new IHS appropriations bill is enacted.

PROGRAM FORMULA (PF) LINES: The amounts shown in the Shares column is determined annually by separate program formula. In many program formula lines, results differ from year to year. If zero shares appear in any program formula line at the time of negotiations, keep in mind that the AFA may (or may not) qualify later in the fiscal year (depending results when the formula is applied). The Facilities and Environmental Health Support, lines 2401 - 2405, are recomputed annually with program formula - Table 4F.

BASE BUDGET (BB) COLUMN: Stable funding level over a multi-year period to operate IHS PFSA's under Title III Compact

Estimated Area and Headquarters Facilities Appropriation Funds for FY 2009 SD/SG Negotiations

Current Funds Manager: BE, ONEIDA TRIBE
 Possible SG Tribe or Org: Oneida
 Tribes Served: Oneida
 Comments:

Serv Type: T5
 For Fiscal Year: 2009

| HQ Line # | Activity Description | AREA | | | Base Thru | Share Factor | HEADQUARTERS - Facilities Appropriation | | | | | | |
|-----------|---|-------------------------------------|------------------|-------------------|-----------|--------------|---|----------------|---------------|--------------|-----------|-----|--|
| | | FY2008 Actual | FY2009 Avail106a | FY2009 Negotiated | | | FY2008 Actual | FY2009 Av 106a | FY2009 Calcul | FY2009 Negot | Base Thru | | |
| (a) | (b) | (c) | (d) | (e) | (f) | (g) | (h) | (i) | (j) | (k) | (l) | (m) | |
| | Maintenance and Improvement (M&I)(2100) | | | | | | | | | | | | |
| 1 | Routine M&I IHS owned Facility | 0 | 0 | 0 | | | | | | | | | |
| 2 | Routine M&I Tribally owned Facility | 254,002 | 205,780 | 0 | | | | | | | | | |
| 3 | Project M&I IHS owned Facility | 0 | 0 | 0 | | | | | | | | | |
| 4 | Project M&I Tribally owned Facility | 53,612 | 0 | 0 | | | | | | | | | |
| a | Subtotal Non-base (25) | 0 | 205,780 | 0 | | | | | | | | | |
| b | Subtotal base (26) | 0 | 0 | 0 | | | | | | | | | |
| 2100 | Total M&I (26) | 0 | 205,780 | 0 | | | | | | | | | |
| 5 | M&I Environmental Remediation Projects | | | | | | | | | | | | |
| 2200 | 9 Sanitation Facilities (P.L. 88-121 Projs) (00) | Available through amendment process | | | | | | | | | | | |
| 2300 | 10 Health Care Facilities (NEW) (00) | Available through amendment process | | | | | | | | | | | |
| | Facilities and Environ Health Support (2400) | | | | | | | | | | | | |
| | Environ Health Support Account (EHSA) | | | | | | | | | | | | |
| 11 | San Fac Constr (SFC) Support - Proj Related | 53,577 | 53,486 | 0 | | | | | | | | | |
| 12 | AO SFC Program Mgmt - Proj Related | 0 | 0 | 0 | | | | | | | | | |
| 13 | SFC Support - Non-project Related | 9,011 | 8,920 | 0 | | | | | | | | | |
| 14 | AO SFC Program Management-Non-project Related | 0 | 0 | 0 | | | | | | | | | |
| 15 | Other: | 0 | 0 | 0 | | | | | | | | | |
| a | Subtotal Non-Base (27) | 0 | 72,406 | 0 | | | | | | | | | |
| b | Subtotal Base (27) | 0 | 0 | 0 | | | | | | | | | |
| c | Subtot HQ-OEHE Support -SFC Non-Base (29) | | | | | 0.0537 | 0 | 3,890 | 0 | 0 | | | |
| d | Subtotal HQ-OEHE Support -SFC Base (29) | | | | | | 0 | 0 | 0 | 0 | | | |
| 2401 | Total HQ-OEHE Support - SFC Related (29) | | | | | | 0 | 3,890 | 0 | 0 | | | |
| 16 | Environ Health Services - Basic Program | 56,000 | 56,000 | 0 | | | | | | | | | |
| 17 | Environ Health Services - Institutional Hlth | 0 | 0 | 0 | | | | | | | | | |
| 18 | Environ Health Services - Injury Prevention | 0 | 0 | 0 | | | | | | | | | |
| 19 | AO Environmental Health Services Support | 17,675 | 17,554 | 0 | | | | | | | | | |
| 20 | Other: IPP Equalized Shares: | 1,000 | 1,000 | 0 | | | | | | | | | |
| a | Subtotal Non-Base (27) | 18,675 | 74,554 | 0 | | | | | | | | | |
| b | Subtotal Base (27) | 56,000 | 0 | 0 | | | | | | | | | |
| c | Subtot HQ-OEHE Support EHS Non-Base (29) | | | | | 0.0537 | 0 | 4,005 | 0 | 0 | | | |
| d | Subtotal HQ-OEHE Support EHS Base (29) | | | | | | 0 | 0 | 0 | 0 | | 0 | |
| 2402 | Total HQ-OEHE Support - EHS Related (29) | | | | | | 0 | 4,005 | 0 | 0 | | | |
| | Facilities Support Account (FSA) | | | | | | | | | | | | |
| 31 | Service Unit Operations | 0 | 0 | 0 | | | | | | | | | |
| 32 | Biomedical | 0 | 0 | 0 | | | | | | | | | |
| 33 | AO FSA Support | 11,854 | 11,757 | 0 | | | | | | | | | |
| 34 | AO Real Property Support | 0 | 0 | 0 | | | | | | | | | |
| 35 | AO Biomedical Program | 0 | 0 | 0 | | | | | | | | | |
| 36 | M&I Engineering Support | 8,349 | 8,349 | 0 | | | | | | | | | |
| 37 | Other: | 0 | 0 | 0 | | | | | | | | | |
| | Total FSA (22) | 20,203 | 20,105 | 0 | | | | | | | | | |
| 2403 | HQ Facilities and Real Property Support | | | | | | | | | | | | |
| a | Total HQ - OEHE Support - FSA Related (29) | | | | | 0.0169 | 0 | 338 | 0 | 0 | | | |
| b | HQ Real Property(based on net # of bldgs transferred to tribe) (29) | | 0 | 0 | | | 216.92 | 0 | 0 | 0 | | | |
| 2404 | Facilities Planning and Construction Support | | | | | | | | | | | | |
| 2405 | Engineering Services Support | | | | | | | | | | | | |
| a | M&I Contracting Services (29) | | | | | 0.0083 | 0 | 1,701 | 0 | 0 | | | |
| b | New Health Care Facilities (29) | | | | | | | | | | | | |
| 2400 | TOTAL Facilities and Environ Support (29) | 84,878 | 187,065 | 0 | | | 0 | 9,935 | 0 | 0 | | | |
| 2500 | Equipment Replacement (01) | 151,904 | 151,642 | 0 | | | | | | | | | |
| | SubTotal (Non-Base) | 190,782 | 524,488 | 0 | | | 0 | 9,935 | 0 | 0 | | | |
| | SubTotal (Base Budget Pilo) | 56,000 | 0 | 0 | | | 0 | 0 | 0 | 0 | | | |
| d:verh | GRAND TOTAL | 246,782 | 524,488 | 0 | | | 0 | 9,935 | 0 | 0 | | | |

TRIBE: ONEIDA 10/01/08 09/30/09
 DATE: 5.9.08

BEMIDJI AREA - Pre-Negotiation Sheet

2009 AFA DETAIL BY ACCOUNT
 Based on 2008 Appropriations

Prepared by Finance Office

| A | B | C | D | E | F | G | H | I |
|--|---|---------|------------------------------|---------------------|--------------------------|----------------------|----------------------|------------------------|
| Item Numbers From Tables | AFA SHARES BY AREA ACCOUNT | Sub-Sub | 2008 BAO Total Starting Base | 2009 Initial Shares | 2008 Mandatory Increases | 2009 Shares Eligible | 2009 Retained Amount | 2009 Negotiated Amount |
| Health Services Account | | | | | | | | |
| 301 | Area Director | H/C | 475,960 | 50,950 | | 50,950 | 0 | 50,950 |
| 302 | Program Planning | H/C | 100,700 | 10,780 | | 10,780 | 0 | 10,780 |
| 303 | OSD | H/C | 270,560 | 28,960 | | 28,960 | 0 | 28,960 |
| 304 | CMO/OCS Support | H/C | 217,160 | 23,240 | | 23,240 | 0 | 23,240 |
| 305 | Behavioral Health | ASA | 126,270 | 13,520 | | 13,520 | 0 | 13,520 |
| 306 | Recruitment | H/C | 102,900 | 11,010 | | 11,010 | 11,010 | 0 |
| 307 | Non-Contractable | H/C | | | | 0 | 0 | 0 |
| 309 | Contract Health Service | H/C | 17,890 | 1,910 | | 1,910 | 0 | 1,910 |
| 310 | Executive Officer & Support | H/C | 48,580 | 5,200 | | 5,200 | 0 | 5,200 |
| 311 | Budget | H/C | 261,930 | 28,040 | | 28,040 | 0 | 28,040 |
| 312 | Contracting | H/C | 284,430 | 30,450 | | 30,450 | 0 | 30,450 |
| 313 | Office Services | H/C | 88,460 | 9,470 | | 9,470 | 0 | 9,470 |
| 314 | MIS | H/C | 427,080 | 45,710 | | 45,710 | 45,710 | 0 |
| TOTAL HEALTH SERVICES ACCOUNT | | | 2,421,920 | 259,240 | | 259,240 | 56,720 | 202,520 |
| AREA OEHE* | | | | | | | | |
| 319 | Facility Support | | 192,882 | 11,757 | | 11,757 | 11,757 | 0 |
| 320 | Environmental Health Support | | 297,136 | 10,971 | | 10,971 | 10,971 | 0 |
| 321 | Engineering Services | | 130,000 | 8,349 | | 8,349 | 8,349 | 0 |
| 322 | SFC Area | | 167,635 | 8,920 | | 8,920 | 8,920 | 0 |
| TOTAL AREA OEHE | | | 787,653 | 39,997 | | 39,997 | 39,997 | 0 |
| AREA MANAGED* | | | | | | | | |
| Alcohol Reg. Trtmt. Ctrs. | | | | | | | | |
| 326A | OEH Sanitarian (Field) | | 713,127 | 56,000 | | 56,000 | 0 | 56,000 |
| 326B | OEH Sanitarian (District) | | 178,282 | 6,583 | | 6,583 | 6,583 | 0 |
| 327 | SFC Field OEH Engineer | | 1,947,871 | 63,486 | | 63,486 | 63,486 | 0 |
| 328 | M&I | | 2,173,081 | 205,780 | | 205,780 | 0 | 205,780 |
| 328A | Equipment | | 996,645 | 151,642 | | 151,642 | 0 | 151,642 |
| TOTAL AREA MANAGED | | | 3,169,726 | 357,422 | | 483,491 | 70,069 | 413,422 |
| TRIBES OPERATING UNIT | | | 2008 Starting | 2008 Initial | 2008 | 2009 | 2009 | 2009 |
| BASE FUNDING | | | Base | Base | Increases | Eligible | Withheld | Negotiated |
| | Hospitals & Clinics ^{1/} | H/C | 69,911,202 | 5,131,193 | 239,169 | 5,370,362 | 44,980 | 5,325,382 |
| | Dental | DEN | 3,595,907 | 212,320 | 20,428 | 232,748 | 0 | 232,748 |
| | Mental Health | M/H | 1,953,171 | 47,430 | 8,778 | 56,208 | 0 | 56,208 |
| | Alcohol/Substance Abuse | ASA | 8,748,733 | 359,200 | 42,922 | 402,122 | 0 | 402,122 |
| | Public Health Nursing | PHN | 1,869,382 | 122,120 | 9,448 | 131,568 | 0 | 131,568 |
| | Health Education | HE | 531,828 | 149,310 | 3,186 | 152,496 | 0 | 152,496 |
| | Community Health Reps. | CHR | 4,360,298 | 284,880 | (215) | 284,665 | 0 | 284,665 |
| | Contract Health Service | CHS | 36,093,969 | 2,210,880 | 244,411 | 2,455,291 | 0 | 2,455,291 |
| | Direct Contract Support Costs | DCSC | 3,190,846 | 245,854 | | 245,854 | 0 | 245,854 |
| | Indirect Contract Support Costs ^{2/} | IDCSC | 9,446,162 | 462,032 | | 462,032 | 0 | 462,032 |
| 341 | Environmental Health | OEH | 33,000 | 1,000 | | 1,000 | 0 | 1,000 |
| TRIBE BASE TOTAL | | | 139,734,498 | 9,226,219 | 568,127 | 9,794,346 | 44,980 | 9,749,366 |
| TOTAL BEMIDJI AREA | | | 146,113,797 | 9,882,878 | 568,127 | 10,577,074 | 211,766 | 10,365,308 |
| Aberdeen Area | | | 386,136 | 33,630 | | 33,630 | | 33,630 |
| Technical Assistance | | | | | | | Withheld | |
| Supports Clinical Applications Coordinator (CAC) | | | | | | | 2,800 | 2,800 |
| Supports Business Office Coordinator (BOC) | | | | | | | 2,500 | 2,500 |
| Total Technical Assistance | | | | | | | 5,300 | 5,300 |
| GRAND TOTAL | | | | \$9,916,508 | \$568,127 | \$10,610,704 | \$211,766 | \$10,398,938 |

1/ Withheld: Biomed (\$39,680)+ \$5,300 (CAC & BOC)= \$44,980

2/ Indirect Contract Support Costs (IDC) are nonrecurring, must be justified annually, and can only be used for IDC.

* OEHE funds are based on workload and change each year

Reviewed by Finance: _____ Date: _____

SELF-GOVERNANCE FA TABLE

Tribe: Oneida Tribe

FY: 2009

AFA #:67G970045

Date May 21, 2008

| SUB-SUB ACTIVITY | PROGRAM | | | AREA | | | HEADQUARTERS | | | TOTALS | | |
|---------------------------------|---------------|----------------------|------------------------------------|---------------|----------------------|-------------------------------------|---------------|----------------------|-----------------------------------|---------------|----------------------|------------------------------------|
| | AFA Amount | Retained Services | Pgm Total Amount to Be Rec'd | AFA Amount | Retained Services | Area Total Amount to Be Rec'd | AFA Amount | Retained Services | HQ Total Amount to Be Rec'd | AFA Amount | Retained Services | AFA Total Amount to Be Rec'd |
| | (1) | (2) | (3) | (4) | (5) | (6) | (7) | (8) | (9) | (10) | (11) | (12) |
| (1) Hospitals & Clinics | 5,370,362 | (44,980) | 5,325,382 | 245,720 | (56,720) | 189,000 | 228,784 | (135,109) | 93,675 | 5,844,866 | (236,809) | 5,608,057 |
| (2) Dental | 232,748 | 0 | 232,748 | 0 | 0 | 0 | 5,970 | 0 | 5,970 | 238,718 | 0 | 238,718 |
| (3) Mental Health | 56,208 | 0 | 56,208 | 0 | 0 | 0 | 10,368 | 0 | 10,368 | 66,576 | 0 | 66,576 |
| (4) Alcohol & Subst Abuse | 402,122 | 0 | 402,122 | 13,520 | 0 | 13,520 | 21,661 | 0 | 21,661 | 437,303 | 0 | 437,303 |
| (5) Reimbursements | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| (6) Public Health Nursing | 131,568 | 0 | 131,568 | 0 | 0 | 0 | 4,242 | 0 | 4,242 | 135,810 | 0 | 135,810 |
| (7) Health Education | 152,496 | 0 | 152,496 | 0 | 0 | 0 | 5,261 | 0 | 5,261 | 157,757 | 0 | 157,757 |
| (8) Community Health Reps. | 284,665 | 0 | 284,665 | 0 | 0 | 0 | 10,819 | 0 | 10,819 | 295,484 | 0 | 295,484 |
| (9) Immunization AK | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| (10) Direct Operations | 0 | 0 | 0 | 0 | 0 | 0 | 76,456 | (12,539) | 63,917 | 76,456 | (12,539) | 63,917 |
| (11) Contr Supp Costs-Direct | 245,854 | 0 | 245,854 | 0 | 0 | 0 | 0 | 0 | 0 | 245,854 | 0 | 245,854 |
| (12) Contr Supp Costs-Indirect | 462,032 | 0 | 462,032 | 0 | 0 | 0 | 0 | 0 | 0 | 462,032 | 0 | 462,032 |
| (13) Self-Governance | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| (14) Other, Services (Annual) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| (15) Total, Services | 7,338,055 | (44,980) | 7,293,075 | 259,240 | (56,720) | 202,520 | 363,561 | (147,648) | 215,913 | 7,960,856 | (249,348) | 7,711,508 |
| (16) Contract Health Services | 2,455,291 | 0 | 2,455,291 | 0 | 0 | 0 | 10,413 | 0 | 10,413 | 2,465,704 | 0 | 2,465,704 |
| (17) Environ Hlth Support | 1,000 | 0 | 1,000 | 145,960 | (89,960) | 56,000 | 9,935 | (9,935) | 0 | 156,895 | (99,895) | 57,000 |
| (18) Facilities Support | 0 | 0 | 0 | 20,106 | (20,106) | 0 | 0 | 0 | 0 | 20,106 | (20,106) | 0 |
| (19) SFC Support | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| (20) Maint & Improvement | 0 | 0 | 0 | 205,780 | 0 | 205,780 | 0 | 0 | 0 | 205,780 | 0 | 205,780 |
| (21) Sanit Facilities - Housing | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| (22) Sanit Facilities - Regular | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| (23) Equipment | 0 | 0 | 0 | 151,642 | 0 | 151,642 | 0 | 0 | 0 | 151,642 | 0 | 151,642 |
| (24) Total, Indian Hlth Facil | 1,000 | 0 | 1,000 | 523,488 | (110,066) | 413,422 | 9,935 | (9,935) | 0 | 534,423 | (120,001) | 414,422 |
| (25) Catastrophic | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| (26) Medicare | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| (27) Medicaid | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| (28) Other | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| (29) Total, No-year IHS | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| (30) Quarters | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| (31) Contract Hlth Svs (pr yr) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| (32) Indian Hlth Facil (pr yr) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| (33) Other 1/ | 0 | 0 | 0 | 33,630 | 0 | 33,630 | 0 | 0 | 0 | 33,630 | 0 | 33,630 |
| (34) Total, Other | 0 | 0 | 0 | 33,630 | 0 | 33,630 | 0 | 0 | 0 | 33,630 | 0 | 33,630 |
| (35) GRAND TOTAL, AFA | 9,794,346 | (44,980) | 9,749,366 | 816,358 | (166,786) | 649,572 | 383,909 | (157,583) | 226,326 | 10,994,613 | (369,349) | 10,625,264 |

Remarks:

1/ AAO Shares

Certification:

Robert Ailken, Budget Officer, BAO

AVAILABLE FY-2009 D.I.R.* TRIBAL SHARES

Oneida \$140,349
(USA)

| BUDGET LINE ITEM | | #126 | | #137 | | #1301 | | TOTAL DIR SHARES AVAILABLE |
|--|-----------------|--------------|--------|------------------|--------|------------------|--------|----------------------------|
| | | IRM | | STAFF/OPERATIONS | | STAFF/OPERATIONS | | |
| FUNCTIONS/SERVICES | SUPPORT PACKAGE | SUPPORT FUND | | HQW FUND | | HQE FUND | | |
| | | 1 | 2 | 3 | | | | |
| | | \$89,640 | 100.0% | \$38,170 | 100.0% | \$12,539 | 100.0% | \$140,349 |
| | | | | | | | | |
| <u>NATIONAL DATABASE SERVICES</u> | | | | | | | | |
| Maintain/Manage Central Databases | X X X | \$4,482 | 5.0% | \$13,360 | 35.0% | \$3,135 | 25.0% | \$20,976 |
| Process National Applications | X X | | | | | | | 14.95% |
| Provide Workload/Statistical Info (Outputs) | X | | | | | | | |
| Provide Tech Assist & Problem Resolution | X | | | | | | | |
| | | | | | | | | |
| <u>TELECOMMUNICATIONS MGMT SERVICES</u> | | | | | | | | |
| Provide Telecommunications Network | X X X | \$35,856 | 40.0% | \$6,107 | 16.0% | \$3,762 | 30.0% | \$45,725 |
| Provide for Data Movement | X X | | | | | | | 32.58% |
| Provide Tech Assist & Problem Resolution | X | | | | | | | |
| | | | | | | | | |
| <u>SOFTWARE DEVELOPMENT AND MAINTENANCE SERVICES</u> | | | | | | | | |
| Operating Syst Supt & Sftwr Licenses Coord | X X X | \$40,338 | 45.0% | \$9,161 | 24.0% | \$3,135 | 25.0% | \$52,633 |
| Software Upgrades/Patches distribution | X X | | | | | | | 37.50% |
| RPMS Applications related support | X | | | | | | | |
| | | | | | | | | |
| <u>SYSTEM SUPPORT/TRAINING SERVICES</u> | | | | | | | | |
| Provide Tech Support and Training | X X | \$8,964 | 10.0% | \$9,543 | 25.0% | \$2,508 | 20.0% | \$21,014 |
| Support Distributed Application Systems | X | | | | | | | 14.97% |
| | | | | | | | | |
| <u>RECAP OF TOTAL SHARES AVAILABLE</u> | | | | | | | | |
| | | \$89,640 | 100.0% | \$38,170 | 100.0% | \$12,539 | 100.0% | \$140,349 |
| | | | | | | | | 100.00% |

* DIVISION OF INFORMATION RESOURCES

Shaded area to be filled in by IHS ALN w/ share info from Table # 4 =

AVAILABLE FY-2009 D.I.R.* TRIBAL SHARES

Oneida
(USA) **\$140,349**

| BUDGET LINE ITEM | SUPPORT PACKAGE | #126 | | #137 | | #1301 | | TOTALs | |
|--|-----------------|-----------------|---------------|------------------|---------------|------------------|---------------|------------------|----------------|
| | | IRM | SUPPORT FUND | STAFF/OPERATIONS | HOW FUND | STAFF/OPERATIONS | HQE FUND | | |
| FUNCTIONS/SERVICES | 1 2 3 | \$89,640 | 100.0% | \$38,170 | 100.0% | \$12,539 | 100.0% | \$140,349 | |
| NATIONAL DATABASE SERVICES | | \$4,482 | 5.0% | \$13,360 | 35.0% | \$3,135 | 25.0% | \$20,976 | 14.95% |
| Maintain/Manage Central Databases | X X X | \$2,510 | 56.0% | \$7,481 | 56.0% | \$1,755 | 56.0% | \$11,747 | 8.37% |
| Process National Applications | X X | \$1,076 | 24.0% | \$3,206 | 24.0% | \$752 | 24.0% | \$5,034 | 3.59% |
| Provide Workload/Statistical Info (Outputs) | X | \$762 | 17.0% | \$2,271 | 17.0% | \$533 | 17.0% | \$3,566 | 2.54% |
| Provide Tech Assistance/Problem Resolution | X | \$134 | 3.0% | \$401 | 3.0% | \$94 | 3.0% | \$629 | 0.45% |
| Subtotal | | \$4,482 | 100.0% | \$13,360 | 100.0% | \$3,135 | 100.0% | \$20,976 | 5,873 |
| TELECOMMUNICATIONS MGMT SERVICES | | \$35,856 | 40.0% | \$6,107 | 16.0% | \$3,762 | 30.0% | \$45,725 | 32.58% |
| Provide Telecommunications Network | X X X | \$14,701 | 41.0% | \$2,504 | 41.0% | \$1,542 | 41.0% | \$18,747 | 13.36% |
| Provide for Data Movement | X X | \$12,550 | 35.0% | \$2,138 | 35.0% | \$1,317 | 35.0% | \$16,004 | 11.40% |
| Provide Tech Assistance & Problem Resolution | X | \$8,605 | 24.0% | \$1,466 | 24.0% | \$903 | 24.0% | \$10,974 | 7.82% |
| Subtotal | | \$35,856 | 100.0% | \$6,107 | 100.0% | \$3,762 | 100.0% | \$45,725 | |
| SOFTWARE DEVELOPMENT AND MAINTENANCE SERVICES | | \$40,338 | 45.0% | \$9,161 | 24.0% | \$3,135 | 25.0% | \$52,633 | 37.50% |
| Operating Syst Supt & Sftwr Licenses Coord | X X X | \$8,471 | 21.0% | \$1,924 | 21.0% | \$658 | 21.0% | \$11,053 | 7.88% |
| Software Upgrades/Patches distribution | X X | \$7,664 | 19.0% | \$1,741 | 19.0% | \$596 | 19.0% | \$10,000 | 7.13% |
| RPMS Applications related support | X | \$24,203 | 60.0% | \$5,496 | 60.0% | \$1,881 | 60.0% | \$31,580 | 22.50% |
| Subtotal | | \$40,338 | 100.0% | \$9,161 | 100.0% | \$3,135 | 100.0% | \$52,633 | |
| SYSTEMS SUPPORT/TRAINING SERVICES | | \$8,964 | 10.0% | \$9,543 | 25.0% | \$2,508 | 20.0% | \$21,014 | 14.97% |
| Provide Tech Support & Training | X X | \$2,241 | 25.0% | \$2,386 | 25.0% | \$627 | 25.0% | \$5,254 | 3.74% |
| Support Distributed Application Systems | X | \$6,723 | 75.0% | \$7,157 | 75.0% | \$1,881 | 75.0% | \$15,761 | 11.23% |
| Subtotal | | \$8,964 | 100.0% | \$9,543 | 100.0% | \$2,508 | 100.0% | \$21,014 | |
| TOTAL SHARES AVAILABLE | | \$89,640 | 100.0% | \$38,170 | 100.0% | \$12,539 | 100.0% | \$140,349 | 100.00% |

Recap of Total DIR Shares **\$140,349**

* DIVISION OF INFORMATION RESOURCES

IHS Lead Negotiator:

SUPPORT PACKAGE SELECTION
Identifies Total DIR Shares Available for selected Tribe

Tribal Lead Negotiator:

Name/Site:

TITLE I or V

(DIR worksheet # 3)

Oneida

| | NATIONAL DATABASE SERVICES | TELECOMM. MANAGEMENT SERVICES | SOFTWARE DEVELOPMENT & MAINTENANCE SERVICES | SYSTEM SUPPORT & TRAINING SERVICES | DIR/ITSC RETAINED SHARES |
|-----------------------------------|----------------------------|-------------------------------|---|------------------------------------|--------------------------|
| <u>SUPPORT PACKAGE # 1</u> | PREMIER | PREMIER | PREMIER | PREMIER | |
| Tribal Shares Available | \$20,976 100% | \$45,725 100% | \$52,633 100% | \$21,014 100% | |
| RE-ENTER Select Share(s) | \$0 | \$0 | \$0 | \$0 | \$0 |
| <u>SUPPORT PACKAGE # 2</u> | REGULAR | REGULAR | REGULAR | REGULAR | |
| Tribal Shares Available | \$16,781 80.0% | \$34,751 76.0% | \$21,053 40.0% | \$5,254 25.0% | |
| RE-ENTER Select Share(s) | \$0 | \$0 | \$0 | \$0 | \$0 |
| <u>SUPPORT PACKAGE # 3</u> | ECONOMY | ECONOMY | ECONOMY | | |
| Tribal Shares Available | \$11,747 56.0% | \$18,747 41.0% | \$11,053 21.0% | | |
| RE-ENTER Select Share(s) | \$8,482 | \$0 | \$0 | | \$8,482 |
| | | | 6% -----> | TOTAL RETAINED | \$8,482 |
| | | | | TOTAL AVAILABLE | \$140,349 |

OVERVIEW OF SERVICE LEVELS

Based on the above package selection, the Indian Health Service and Tribe have both acknowledged and accept the terms and responsibilities required for effective and efficient service delivery. Should there be a need to modify the level of support, this will be done by designated individuals/teams of each party.

Note: The above support packages are based on aggregate available FY2007 DIR Tribal Shares. It will be left to the discretion of the Lead Negotiator or Area Office Representative to break down the dollar amounts to more detail if required by customer.

TOTAL AVAILABLE FY-2009 DIR & AO TRIBAL SHARES

SAMPLE WORKSHEET

Oneida
(USA)

\$50,101

(AO compared to HQ Budget Line Item #137)

BUDGET LINE ITEM

| | | | | | |
|--|----------------------|--|--------------------|--|-----------------|
| | DIR HQ SHARES | | AREA OFFICE | | COMBINED |
| | SHARES * | | SHARES ** | | SIMILAR |
| | | | SHARES | | SHARES |

| | | | | | |
|---------------------------|-----------------|---------------|-----------------|---------------|-----------------|
| SUPPORT PACKAGE | | | | | |
| FUNCTIONS/SERVICES | \$38,170 | 100.0% | \$11,931 | 100.0% | \$50,101 |
| | 1 | 2 | 3 | | |

NATIONAL DATABASE SERVICES

| | | | | | |
|---|----------|-------|---|--|----------|
| IHS HEADQUARTERS | | | | | |
| Maintain/Manage Central Databases | \$13,360 | 35.0% | | | \$13,360 |
| Process National Applications | X | X | X | | |
| Provide Workload/Statistical Info (Outputs) | X | X | | | |
| Provide Tech Assist & Problem Resolution | X | | | | |

AREA OFFICE DATABASE SERVICES

| | | | | | |
|---|---|---|-------|-------|-------|
| | | | | | |
| Process Statistical files and transmit to NPIRS | | | \$597 | 5.0% | \$597 |
| Provide tech. Asst. for data integrity | X | X | | 90.0% | |
| Provide Ad Hoc reporting | X | | | 8.0% | |
| Provide Tech Assist & Problem Resolution | X | | | 1.0% | |

Value-added services

| | | | | | |
|-----------------------------------|---|---|---|--|--|
| Backing up of Files/Databases | X | X | X | | |
| Re-export missing data | X | X | X | | |
| Data recovery | X | X | X | | |
| Manage & support Area Database(s) | X | X | X | | |
| Manage files at facilities | X | X | | | |
| Vendor coordination & support | X | | | | |

TELECOMMUNICATIONS MGMT SERVICES

| | | | | | |
|--|---------|-------|---|--|---------|
| IHS HEADQUARTERS | | | | | |
| Provide Telecommunications Network | \$6,107 | 16.0% | | | \$2,592 |
| Provide for Data Movement | X | X | X | | |
| Provide Tech Assist & Problem Resolution | X | | | | |

AREA OFFICE TELECOMM SERVICES

| | | | | | |
|---|---|---|---------|-------|---------|
| | | | | | |
| Provide Telecommunications Network | | | \$2,386 | 20.0% | \$2,386 |
| Provide for FTS support and Internet access | X | X | | 65.0% | |
| Provide Tech Assist & Problem Resolution | X | | | 25.0% | |

Value-added services

| | | | | | |
|--------------|---|---|---|--|--|
| Circuit cost | X | X | X | | |
| TC Equipment | X | | | | |

| | | | |
|-------------------------------|---|--|--|
| Local telecom partnerships | X | | |
| Vendor coordination & support | X | | |

SOFTWARE DEVELOPMENT AND MAINTENANCE SERVICES

\$9,161 24.0%

\$9,161

IHS HEADQUARTERS

| | | | |
|--|---|---|---|
| Operating Syst Supt & Sftwr Licenses Coord | X | X | X |
| Software Upgrades/Patches distribution | X | X | |
| RPMS Applications related support | X | | |

AREA OFFICE SOFTWARE SERVICES

\$5,966 50.0% \$5,966

| | | | |
|--|---|---|---|
| Operating Syst Supt & Sftwr Licenses Coord | X | X | X |
| Software Upgrades/Patches distribution | X | X | |
| RPMS Applications related support | X | | |

10%
60.0%
30.0%

Value-added services

| | | | |
|---|---|---|---|
| Distribution/installation of operating system | X | X | X |
| Peripheral support | X | X | |
| Basic site management support | X | X | |
| Local software development (expand this item) | X | | |
| National software development | X | | |
| Interfacing RPMS with non-RPMS systems | X | | |
| Distribution/installation of COTS, to include | X | | |
| Vendor coordination & support | X | | |

SYSTEM SUPPORT/TRAINING SERVICES

\$9,543 25.0%

\$9,543

IHS HEADQUARTERS

| | | | |
|---|---|---|--|
| Provide Tech Support and Training | X | X | |
| Support Distributed Application Systems | X | | |

AREA OFFICE SUPPORT SERVICES

\$2,983 25.0% \$2,983

| | | | |
|---|---|---|--|
| Provide Tech Support and Training | X | X | |
| Support Distributed Application Systems | X | | |

80.0%
20.0%

Value-added services

| | | | |
|---|---|---|--|
| Consultation on new/existing facilities | X | X | |
| Vendor coordination & support | X | | |
| Installation of hardware | X | | |
| Hardware problem resolution | X | | |

RECAP OF TOTAL SHARES AVAILABLE

\$38,170 100.0%

\$11,931 100.0%
check:

\$46,586
\$50,101

* This figure is automatically brought from WorkSheet #1

** AO shaded area to be filled in by IHS ALN with Area Office Share Information

Attachment 4
INDIAN HEALTH SERVICE
GOVERNMENT PERFORMANCE AND RESULTS (GPRA)
FY 2009 TREATMENT & PREVENTION MEASURES

| GPRA MEASURES | |
|----------------------|--|
| 1 | Diabetes- Poor Glycemic Control: Proportion of patients with diagnosed diabetes with poor glycemic control (A1c > 9.5). Achieve target rate of 17% or less (19% audit). |
| 2 | Diabetes – Improved Glycemic Control: Proportion of patients with diagnosed diabetes with ideal glycemic control (A1c < 7.0). Achieve target rate of 29% (or greater) (38% audit). |
| 3 | Diabetes - Blood Pressure Control: Proportion of patients with diagnosed diabetes that have achieved blood pressure control (<130/80). Achieve target rate of 37% (or greater) (38% audit). |
| 4 | Diabetes - Dyslipidemia Assessment: Proportion of patients with diagnosed diabetes assessed for dyslipidemia (LDL cholesterol). Achieve target rate of 58% or greater (74% audit). |
| 5 | Diabetes - Nephropathy Assessment: Proportion of patients with diagnosed diabetes assessed for nephropathy. Achieve target rate of 38% or greater. (maintain audit) |
| 6 | Diabetes – Retinopathy Assessment: Proportion of patients with diagnosed diabetes who receive an annual retinal examination. Achieve target rate of 47% or greater. |
| 7 | Cancer Screening – Pap Smear Rates: Proportion of eligible women who have had a Pap screen within the previous three years. Achieve target rate of 56% or greater. |
| 8 | Cancer Screening – Mammogram Rates: Proportion of eligible women who have had mammography screening within the previous two years. Achieve target rate of 40% (or greater). |
| 9 | Cancer Screening: Colorectal Rates: Proportion of eligible patients who have had appropriate colorectal cancer screening. Achieve target rate of 24% (or greater). |
| 11 | Alcohol Screening (FAS Prevention): Alcohol use screening (to prevent Fetal Alcohol Syndrome) among appropriate female patients. Maintain at the FY-2008 target rate of 41% (or greater). |
| 12 | Topical Fluoride: # of patients receiving one or more topical fluoride. Achieve target rate of 102,537 patients receiving topical fluoride. |
| 13 | Dental Access: % of patients who receive dental services. Achieve target rate of 24% (or greater). |

| | |
|----|---|
| 14 | Dental Sealants: # of sealants placed per year in AI/AN patients. Achieve target rate of 233,177. |
| 16 | Domestic (Intimate Partner) Violence Screening: Proportion of women who are screened for domestic violence at health care facilities. Maintain at the FY-2008 target rate of 36% (or greater). |
| 18 | Behavioral Health – Depression Screening: Proportion of adults ages 18 and over who are screened for depression. Maintain at the FY-2008 target rate of 24% (or greater). |
| 24 | Childhood Immunization: Combined (4:3:1:3:3) immunization rates for AI/AN patients aged 19-35 months. Achieve target rate of 76% (or greater). |
| 25 | Adult Immunizations: Influenza: Influenza vaccination rates among adults patients age 65 years and older. Achieve target rate of 58% (or greater). |
| 26 | Adult Immunizations: Pneumovax: Pneumococcal vaccination rates among adult patients age 65 years and older. Achieve target rate of 77% (or greater). |
| 29 | Suicide Surveillance: Increase the incidence of suicidal behavior reporting by health care (or mental health) providers. Increase the number of suicidal behavior report forms completed and submitted to 1846 (or greater). |
| 30 | CVD Prevention: Comprehensive Assessment: Proportion of at risk patients who have a comprehensive assessment for all CVD-related risk factors. Maintain at the FY-2008 target rate 30% (or greater). |
| 32 | Tobacco Cessation Intervention: Proportion of tobacco-using patients that receive tobacco cessation intervention. Maintain at the FY-2008 target rate of 16% (or greater). |
| 33 | HIV Screening: Proportion of pregnant women screened for HIV. Achieve target rate of 72% (or greater). |
| | |