

Gaming Compliance Audit Reports	
Area of Audit	Report Issue Date
Slots	July 26, 2017
Information Technology	December 7, 2017
Craps	June 26, 2018
Let it Ride	July 27, 2018
Drop & Count	August 22, 2018
EZ Baccarat	August 29, 2018
Surveillance	September 7, 2018
Slots YE	October 10, 2018
Title 31	October 15, 2018
Gaming Contracts	October 22, 2018
Table Games	October 29, 2018
Player Tracking	November 2, 2018
Card Games & Poker	November 16, 2018
Cage/Vault/Kiosk & YE	November 20, 2018
Roulette	December 10, 2018
Four Card Poker	January 31, 2019
Mississippi Stud	March 27, 2019
Three Card Poker	March 25, 2019
Ultimate Texas Hold'em	March 21, 2019
Complimentary Services	May 29, 2019
Pari-mutuel Wagering	May 29, 2019
Blackjack	June 4, 2019
Bingo	June 13, 2019
Drop & Count (Class II Poker & Kiosk)	June 13, 2019

Tribal Audit Reports		
Area of Audit	Type of Audit	Report Issue Date
Contract Procurement		
Legislative	Operational	January 15, 2008
IT Security	Operational	April 2, 2008
Land Management Legislative	Operational	June 19, 2008
Retail	Operational	July 22, 2008
Veterans Services	Operational	November 17, 2008
Tribal Investments & Allocations Process	Operational	November 25, 2008
Oneida Seven Generations Corp.	Operational	December 16, 2008
Gaming Commission	Operational	July 28, 2009
New York Land Claims	Financial	July 8, 2009
Employee-vendor relationships	Data Analytics	August 21, 2009
IRS 1099-Misc Cash payment reporting & document retrieval	Data Analytics	August 21, 2009
Payables documentation	Data Analytics	August 21, 2009
Travel Advances	Data Analytics	August 21, 2009
Slot Management & Admin	Operational	August 27, 2009
Dept. of Public Works	Operational	September 25, 2009
Insurance Broker Selection	Due Diligence	February 18, 2010
MIS Business Process Survey	Operational	February 19, 2010
Food Pantry Restart	Consultation	February 22, 2010
Behavioral Health	Operational	March 3, 2010
Purchasing	Operational	April 8, 2010
Coca Cola Fund	Financial	May 14, 2010
Due Diligence Process for Business Investments	Consultation	May 21, 2010
Center for Self Sufficiency Follow up	Operational	June 7, 2010
Land Commission & Land Management Follow up	Operational	July 28, 2010
Gaming Accounting	Operational	October 22, 2010
Land Management Leasing Supplement	Operational	May 24, 2011
Gmg Commission Follow-up	Operational	May 6, 2011
Oakridge Plaza Leasing	Operational	May 20, 2011
Cash & Debt Management	Operational	August 11, 2011
Voluntary Severance Program	Operational	September 16, 2011
Oneida Pharmacy (Co-source)	Operational	November 7, 2011
Internal Security	Operational	November 9, 2011
Contract Health	Operational	May 17, 2011
Slot Drop Variances	Investigative	December 22, 2011
Oneida Golf Enterprise	Investigative	December 21, 2011
IRS 1099-Misc Reporting	Data Analytics	January 26, 2012
Oneida Veterans' Transportation	Investigative	February 10, 2012
Oneida Resident Centered Community Care Facility - 1	Operational	February 10, 2012
OHA Mutual Help Equity Prog.	Operational	February 10, 2012
IRS 1099-Misc Reporting	Investigative	June 10, 2011
HRD and Gaming Personnel Services Hiring Practices and Backgrounds	Investigative	May 14, 2012
OHA ARRA Grant	Operational	June 26, 2012
Oneida Resident Centered Community Care Facility - 2	Operational	January 14, 2013
Oneida Golf Enterprise	Operational	June 13, 2013
Oneida Golf Enterprise Supplement	Operational	June 13, 2013

Tribal Audit Reports		
Area of Audit	Type of Audit	Report Issue Date
Proper Commission Records Disposal	Investigative	February 18, 2014
Oneida Housing Low Income Home Construction	Operational	February 7, 2014
Anna John Resident Centered Care Community	Operational	April 14, 2014
Law Enforcement Compliant Process	Operational	May 30, 2014
Governmental Services Division Office Governance	Operational	July 15, 2014
Land Management/Land Commission Real Estate Acquisition Function Preliminary Evaluation	Consultation	July 15, 2014
Ecolane Transit System	Consultation	December 13, 2013
Oneida Food Pantry	Operational	July 20, 2007
DPW Cemetery Maintenance	Operational	October 11, 2016
Oneida Minors Trust Fund	Operational	October 14, 2016
Retail Open Road Rewards Prog	Consultation	December 1, 2016
Ticket & Merchandise Distribution	Operational	February 10, 2017
Oneida Nation School Board	Performance	April 24, 2017
Anna John Resident CCC Board	Performance	July 28, 2017
Final Employee Advocacy Dept.	Performance	October 18, 2017
Final Kalihwisaks	Performance	October 18, 2017
Final Integrated Food Systems	Performance	October 19, 2017
Final Tourism	Performance	November 27, 2017
Final Veterans Service Admin	Performance	November 29, 2017
Final Grants	Performance	November 30, 2017
Final Utilities	Performance	November 30, 2017
Final Environmental Health & Safety	Performance	November 30, 2017
Final SEOTS	Performance	December 13, 2017
Final Pardon & Forgiveness Screening Committee	Performance	January 3, 2018
Final Tsyunhehkwá	Performance	January 17, 2018
Final Oneida Police Department	Performance	January 17, 2018
Final Vocational Rehab Job Training	Performance	January 23, 2018
Final Custodial	Performance	February 9, 2018
Final Gaming Custodial	Performance	February 9, 2018
Final Human Resource Depart	Performance	February 28, 2018
Final Oneida Election Board	Performance	March 8, 2018
Final Oneida Elder Services	Performance	March 9, 2018
Final Higher Education	Performance	March 9, 2018
Final Oneida Seven Generations	Performance	April 5, 2018
Business Committee Credit Card Activity	Operational	May 18, 2018
Oneida Golf Enterprise/Thornberry Creek at Oneida Forensic	Investigative	July 26, 2018
Final Medical Professionals	Performance	August 1, 2018
Final Engineering	Performance	August 2, 2018
Final Behavioral Health	Performance	October 15, 2018
Final Judiciary - Appellate & Trial Court	Performance	May 16, 2019
Final Judiciary - Family Court	Performance	May 16, 2019
Final Development Division Admin	Performance	August 2, 2018
Final Gaming CR Shuttle Dept.	Performance	August 13, 2018
Final Planning Department	Performance	October 15, 2018
Final Gaming Compliance	Performance	October 23, 2018

<b>Tribal Audit Reports</b>		
<b>Area of Audit</b>	<b>Type of Audit</b>	<b>Report Issue Date</b>
Final Zoning Administration	Performance	October 31, 2018
Final GIS	Performance	March 22, 2019
Final DPW Administration	Performance	March 22, 2019
Final Patient Registration	Performance	May 30, 2019

Revised: August 15, 2019