

ONEIDA GAMING COMMISSION QUARTERLY REPORT

FY 2014 Third Quarter: April, May, and June

Prepared on June 17, 2014

Article I. Purpose

The purpose of the Oneida Gaming Commission (OGC) is to protect the assets and integrity of Oneida Gaming through regulatory oversight. The OGC regulatory oversight leads to current and consistent regulatory practices within the gaming operation.

Article II. Names

2-1. There are four (4) full time Commissioners which include: Chairman- Mark A. Powless Sr., Vice Chair- Shirley Hill, Secretary- Lora Skenandore, and Commissioner R. Tom Danforth. The OGC also has an Executive Director that oversees ten (10) staff members and thirty-eight (38) Surveillance personnel. Currently, the OGC is short staffed by four (4) positions in the Surveillance area. We are currently going through the hiring approval process and working with HRD to post and fill the positions.

2-2. Report is submitted by the Oneida Gaming Commission Executive Director, Tamara Van Schyndel. The Oneida Gaming Commission can be reached by calling 920-497-5850.

2-3. The Oneida Business Committee Liaison for the Oneida Gaming Commission is David Jordan.

Article III. Meetings & Reports

OGC Meetings

| | |
|-------|------------------|
| April | 04/14/14 Regular |
| | 04/28/14 Regular |
| May | 05/19/14 Regular |
| June | 06/02/14 Regular |
| | 06/16/14 Regular |

Security Joint Meetings

| | |
|-------|----------|
| April | 04/14/14 |
| May | 05/12/14 |
| June | 06/16/14 |

Gaming Joint Meetings

| | |
|-------|----------|
| April | 04/29/14 |
| May | 05/29/14 |
| June | 06/24/14 |

Employee Investigations and Vendor Licensing

| | License Investigations & Revocations | Temporary Licenses (Work Permits) Approvals/Denials | New Employee Orientation/Ovations | Vendor Work Permits |
|-------|--------------------------------------|---|-----------------------------------|---------------------|
| April | 43/2 | 78/16 Denials | 29/24 | 8/0 Denials |
| May | 14/3 | 69/6 Denials | 10/1 | 8/0 Denials |
| June | 9/0 | 50/10 Denials | 18/0 | 11/0 Denials |

Employee Licensing and Hearings

| | Employees Licensed | Hearings |
|-------|--------------------|----------|
| April | 29 | 0 |
| May | 10 | 3 |
| June | 18 | 0 |

Surveillance Reports

| | # of Reports |
|-------|--------------|
| April | 54 |
| May | 58 |
| June | 52 |

April

- OGC Retreat Process-Off site working meetings (on-going)
- FY15-FY17 Budget Preparation
- Forwarded liability insurance certificate to the State
- OGC completed reporting structure for the Surveillance Director and is now reporting to the Commission directly through the OGC Chairs office.

May

- OGC Retreat Process- Off site working meetings (on-going)
- Updated and received approval on the Rules of Play for Mini-Bac and Roulette
- Had a presentation from Planet Bingo
- Completed budget submission

June

- OGC Retreat Process- Off site working meetings (on-going)
- WGRA Conference June 5th & 6th at Ho-Chunk in Wis. Dells
- Annual payment to the State completed
- Quarterly Payment to NIGC completed
- Budget review meeting with OBC